

# Laboratory Diagnosis of Invasive Fungal Infection in Transplant Recipients: Theory & Practice

Jasper F. W. Chan  
Department of Microbiology  
The University of Hong Kong

Workshop on infections in transplant recipients: prevention, control and management  
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# Clinical classification of fungal infections

## Superficial

Oral and genital mucosae

Yeasts

Skin and skin appendages

Moulds (dermatophytes)

## Subcutaneous (post-traumatic)

Mycetoma or chromoblastomycosis

Dematiaceous and hyaline moulds

## Invasive, deep, or disseminated

Yeasts, moulds, dimorphic fungi

# Revised Definitions of Invasive Fungal Disease from the European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group and the National Institute of Allergy and Infectious Diseases Mycoses Study Group (EORTC/MSG) Consensus Group

**Table 1.** Criteria for **proven** invasive fungal disease except for endemic mycoses. (Identification at genus or species level if **culture** is a/v)

Analysis and specimen	Molds <sup>a</sup>	Yeasts <sup>a</sup>
Microscopic analysis: sterile material	Histopathologic, cytopathologic, or direct microscopic examination <sup>b</sup> of a specimen obtained by needle aspiration or biopsy in which hyphae or melanized yeast-like forms are seen accompanied by evidence of associated tissue damage	Histopathologic, cytopathologic, or direct microscopic examination <sup>b</sup> of a specimen obtained by needle aspiration or biopsy from a normally sterile site (other than mucous membranes) showing yeast cells—for example, <i>Cryptococcus</i> species indicated by encapsulated budding yeasts or <i>Candida</i> species showing pseudo-hyphae or true hyphae <sup>c</sup>
Culture		
Sterile material	Recovery of a mold or “black yeast” by culture of a specimen obtained by a sterile procedure from a normally sterile and clinically or radiologically abnormal site consistent with an infectious disease process, excluding bronchoalveolar lavage fluid, a cranial sinus cavity specimen, and urine	Recovery of a yeast by culture of a sample obtained by a sterile procedure (including a freshly placed [ $<24$ h ago] drain) from a normally sterile site showing a clinical or radiological abnormality consistent with an infectious disease process
Blood ( <b>NOT</b> <i>Aspergillus</i> sp.)	Blood culture that yields a mold <sup>d</sup> (e.g., <i>Fusarium</i> species) in the context of a compatible infectious disease process	Blood culture that yields yeast (e.g., <i>Cryptococcus</i> or <i>Candida</i> species) or yeast-like fungi (e.g., <i>Trichosporon</i> species)
Serological analysis: CSF	Not applicable	Cryptococcal antigen in CSF indicates disseminated cryptococcosis

## Most hematology / transplant patients

1. Often **not a/v**
2. Often **absent**

(*Clin Infect Dis.* 2010;51:1273-80)

Probable Invasive Aspergillosis without Prespecified Radiologic Findings: Proposal for Inclusion of a New Category of Aspergillosis and Implications for Studying Novel Therapies

**Possible IFI:**  
**Host** factor + **clinical**  
 criterion but **NOT**  
**mycological** criteria

**Table 2. Criteria for probable invasive fungal disease except for endemic mycoses.**

### Host factors<sup>a</sup>

- Recent history of neutropenia ( $<0.5 \times 10^9$  neutrophils/L [ $<500$  neutrophils/mm<sup>3</sup>] for  $>10$  days) temporally related to the onset of fungal disease
- Receipt of an allogeneic stem cell transplant
- Prolonged use of corticosteroids (excluding among patients with allergic bronchopulmonary aspergillosis) at a mean minimum dose of 0.3 mg/kg/day of prednisone equivalent for  $>3$  weeks
- Treatment with other recognized T cell immunosuppressants, such as cyclosporine, TNF- $\alpha$  blockers, specific monoclonal antibodies (such as alemtuzumab), or nucleoside analogues during the past 90 days
- Inherited severe immunodeficiency (such as chronic granulomatous disease or severe combined immunodeficiency)

### Clinical criteria<sup>b</sup>

#### Lower respiratory tract fungal disease<sup>c</sup>

The presence of 1 of the following 3 signs on CT:

- Dense, well-circumscribed lesions(s) with or without a halo sign
- Air-crescent sign
- Cavity

#### Tracheobronchitis

Tracheobronchial ulceration, nodule, pseudomembrane, plaque, or eschar seen on bronchoscopic analysis

#### Sinonasal infection

Imaging showing sinusitis plus at least 1 of the following 3 signs:

- Acute localized pain (including pain radiating to the eye)
- Nasal ulcer with black eschar
- Extension from the paranasal sinus across bony barriers, including into the orbit

#### CNS infection

1 of the following 2 signs:

- Focal lesions on imaging
- Meningeal enhancement on MRI or CT

#### Disseminated candidiasis<sup>d</sup>

At least 1 of the following 2 entities after an episode of candidemia within the previous 2 weeks:

- Small, target-like abscesses (bull's-eye lesions) in liver or spleen
- Progressive retinal exudates on ophthalmologic examination

### Mycological criteria

#### Direct test (cytology, direct microscopy, or culture)

Mold in sputum, bronchoalveolar lavage fluid, bronchial brush, or sinus aspirate samples, indicated by 1 of the following:

- Presence of fungal elements indicating a mold
- Recovery by culture of a mold (e.g., *Aspergillus*, *Fusarium*, *Zygomycetes*, or *Scedosporium* species)

#### Indirect tests (detection of antigen or cell-wall constituents)<sup>e</sup>

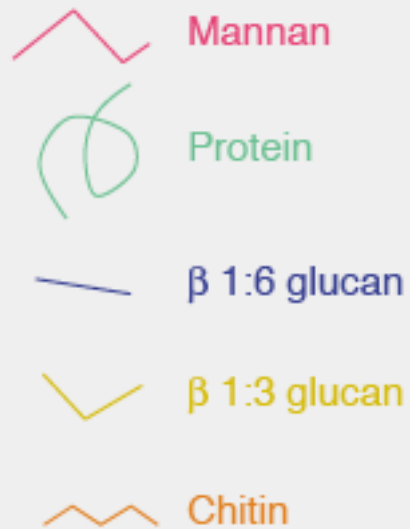
##### Aspergillosis

Galactomannan antigen detected in plasma, serum, bronchoalveolar lavage fluid, or CSF

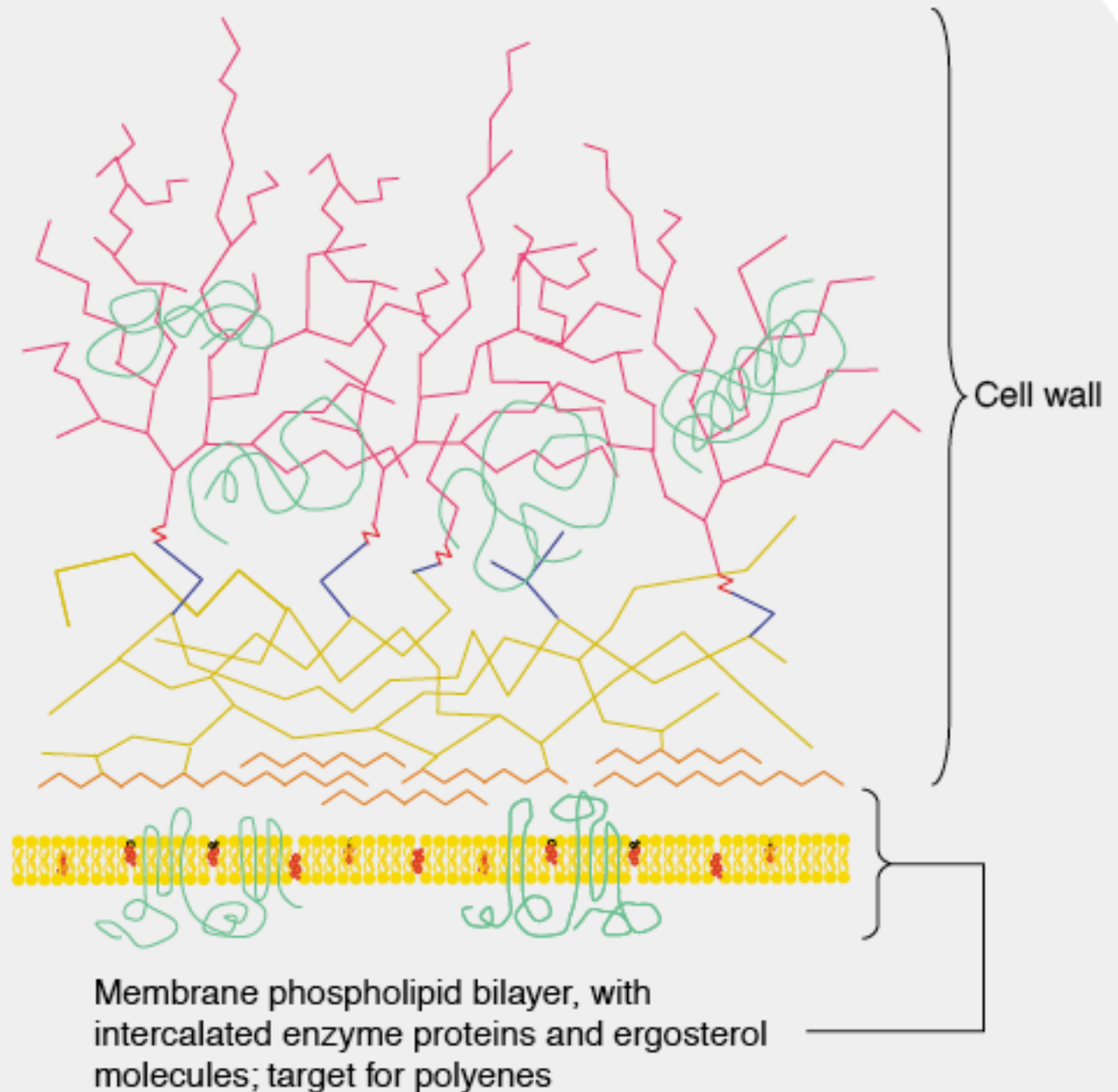
Invasive fungal disease other than cryptococcosis and zygomycoses

$\beta$ -D-glucan detected in serum

Fungal cell wall:  
target for  
echinocandins,  
nikkomycins



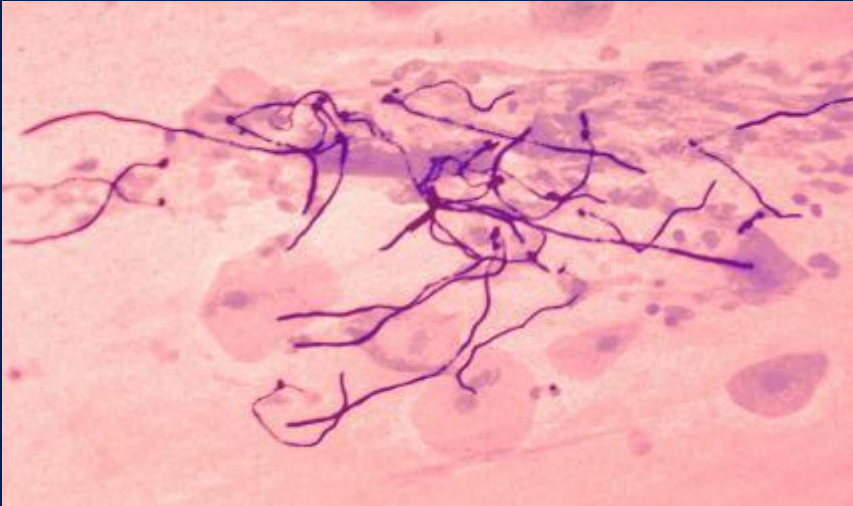
**Dimorphic fungi:**  
Yeast has  $\alpha$ -glucan



Diagnostic utility	Fungal component	Therapeutic utility
PCR (ribosomal, mitochondrial)	Cellular DNA	5-Fluorocytosine
Protein (ribosomal)	MALDI-TOF MS	
Fontana-Masson stain	Melanin	
	Cell membrane	AmpB, nystatin
	Ergosterol	Azoles (flu / itra / vori / posa-conazole) Terbinafine Nikkomycins
Caucofluor white stain	Chitin	
Silver/PAS stain	Glucan	Caspofungin, Micafungin Anidulafungin
Antigen detection	Galactomannan ( <i>Aspergillus</i> )	
	D-glucan (all fungi)	
Antigen detection ( <i>Cryptococcus</i> ), mucicarmin stain, Indian ink	Capsular material	



# Conventional mycology ID methods



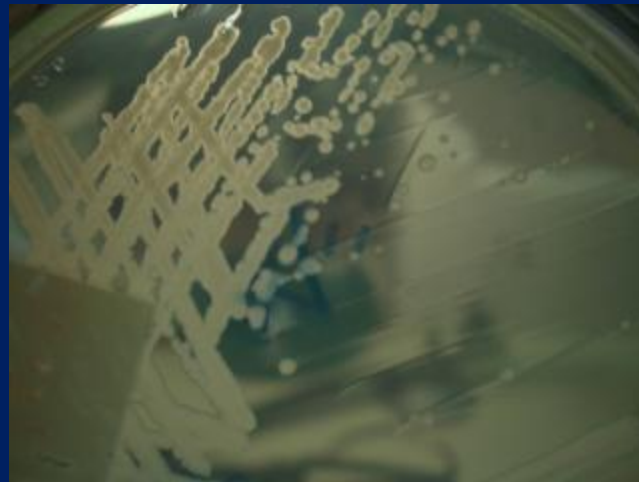
Gram stain: mucosal candidiasis



Caucoflour white stain: germ tube formation



Suc Malt Lac Glu  
Sugar fermentation tests



Culture (Sabouraud agar)

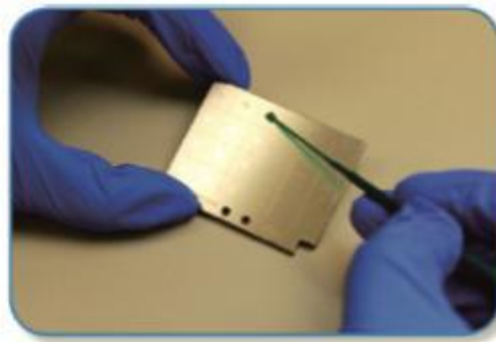


Culture (Chromagar)





Bacterial / fungal colony



MALDI-TOF MS target plate  
(≥1 isolate per run)



**Add Formic Acid  
and Matrix and Dry**



Comparison against a  
database of mass spectra



# MALDI-TOF MS workflow



Ionization chamber of MS



Target plate placed into the  
ionization chamber



Spots shot by an UV N<sub>2</sub> laser  
desorbing microbial and matrix  
molecules from the target plate



Energy absorbed by the matrix →  
ionized state



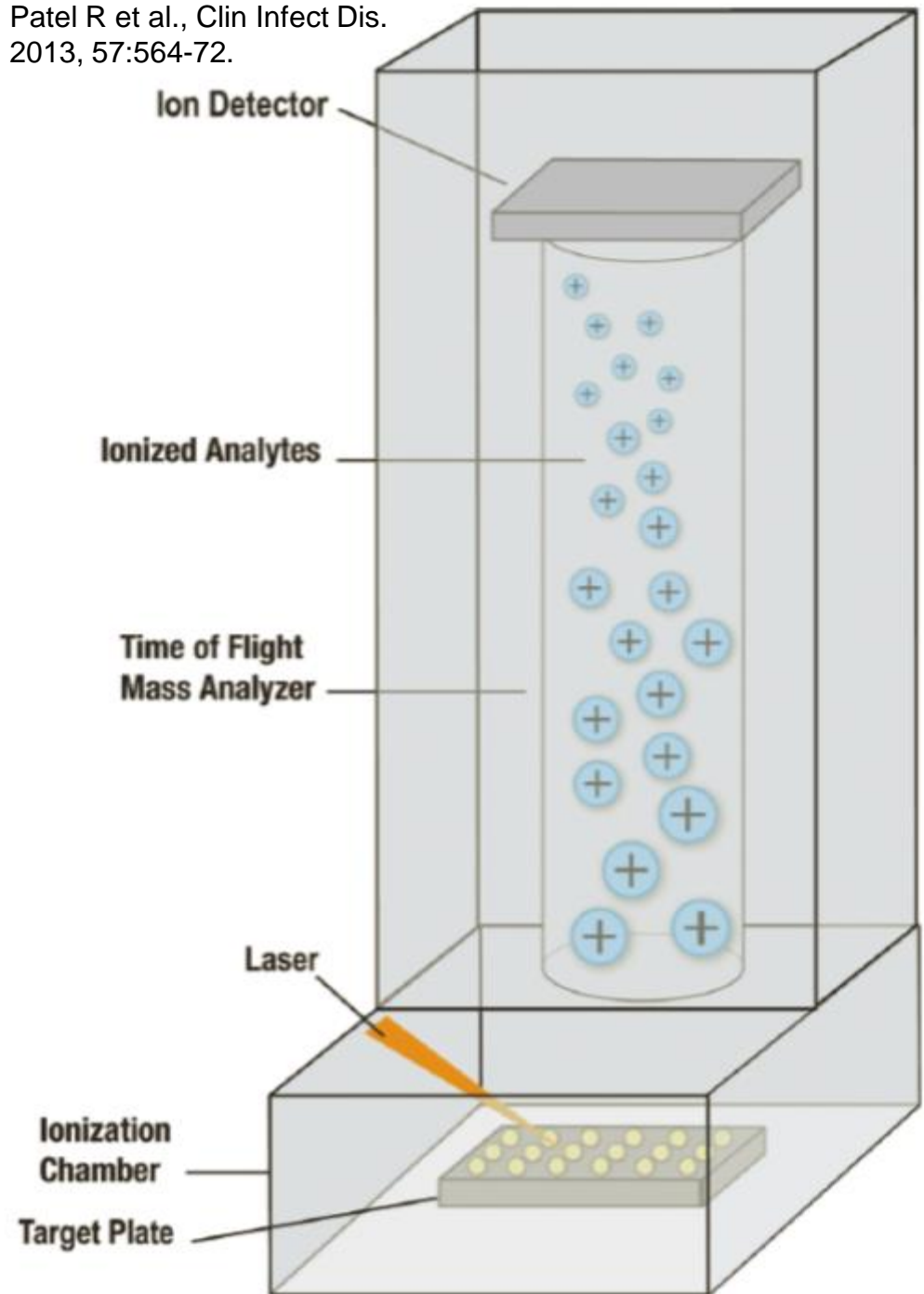
Random collision in the gas phase  
→ charge transferred from matrix to  
microbial molecules



Cloud of ionized molecules funneled  
through a +ve charged electrostatic  
field into TOF mass analyzer (tube  
under vacuum)



Ions collide with an ion detector →  
mass spectrum (representing # of  
ions hitting the detector over time)



# Direct identification of bacteria / yeasts from positive blood culture



Positive blood culture



## Conventional

Day 1

Gram stain  
Direct ID  
(Direct ST)

Day 2

Preliminary ID  
Preliminary ST  
Colony ID & ST

Day 3

Final report  
(ID & ST)

## MALDI-TOF MS

Day 1

(3 hr)

Report ID (+ST?)  
(91.3-100% for  
*Candida* sp.)

Failure:

1. Polymicrobial
2.  $<10^4$  CFU/ml  
( $<5.9 \times 10^5$  CFU/ml threshold)

Buchan BW et al., J Clin Microbiol. 2013;51:1359-66.

# HKU/QMH data (colony identification – yeasts)

TABLE 1 Identification results of yeast isolates by Biotyper and Vitek MS IVD ( $n = 98$ )

Yeast/fungal species	No. of isolates	MALDI-TOF MS results (no. [%]) using <sup>a</sup> :					
		Biotyper plus fungus RUO database			Vitek MS IVD		
		Unreliable ID <sup>b</sup> (score, <1.6)	Genus-level ID only (score, 1.6–1.99)	Species-level ID (≥2.0)	Unreliable ID <sup>b</sup> (<90.0%)	Correct ID (90.0–97.9%)	Correct ID (≥98.0%)
<i>Candida</i> spp.							
<i>C. albicans</i>	24	0 (0)	* 2 (8.3)	22 (91.7)	1 (4.2)	0 (0)	23 (95.8)
<i>C. boidinii</i>	1	1 (100)	0 (0)	0 (0)	0 (0)	0 (0)	1 (100)
<i>C. dubliniensis</i>	3	0 (0)	* 1 (33.3)	2 (66.7)	3 (100)	0 (0)	0 (0)
<i>C. glabrata</i>	5	0 (0)	* 0 (0)	5 (100)	0 (0)	1 (20.0)	4 (80.0)
<i>C. guilliermondii</i>	2	0 (0)	* 1 (50.0)	1 (50.0)	0 (0)	0 (0)	2 (100)
<i>C. kefyr</i> ( <i>Kluyveromyces marxianus</i> )	3	0 (0)	0 (0)	3 (100)	0 (0)	0 (0)	3 (100)
<i>C. krusei</i> ( <i>Issatchenkia orientalis</i> )	3	0 (0)	* 0 (0)	3 (100)	0 (0)	0 (0)	3 (100)
<i>C. lipolytica</i>	2	0 (0)	1 (50.0)	1 (50.0)	0 (0)	0 (0)	2 (100)
<i>C. magnoliae</i>	1	1 (100)	0 (0)	0 (0)	0 (0)	0 (0)	1 (100)
<i>C. norvegensis</i>	4	0 (0)	0 (0)	4 (100)	0 (0)	0 (0)	4 (100)
<i>C. parapsilosis</i>	18	0 (0)	* 5 (27.8)	13 (72.2)	4 (22.2)	1 (5.6)	13 (72.2)
<i>C. pararugosa</i>	1	0 (0)	0 (0)	1 (100)	1 (100)	0 (0)	0 (0)
<i>C. rugosa</i>	2	1 (50)	1 (50)	0 (0)	1 (50)	0 (0)	1 (50)
<i>C. tropicalis</i>	7	0 (0)	* 0 (0)	7 (100)	0 (0)	0 (0)	7 (100)
<i>C. (Clavispora) lusitaniae</i>	2	0 (0)	1 (50)	1 (50)	0 (0)	0 (0)	2 (100)
Subtotal	78	3 (3.8)	12 (15.4)	63 (80.8)	10 (12.8)	2 (2.6)	66 (84.6)
Other yeast and yeast-like fungi							
<i>Blastoschizomyces capitatus</i>	1	0 (0)	1 (100)	0 (0)	0 (0)	0 (0)	1 (100)
<i>Cryptococcus humicola</i> ( <i>Trichosporon mucoides</i> )	2	0 (0)	1 (50)	1 (50)	2 (100)	0 (0)	0 (0)
<i>Cryptococcus neoformans</i>	7	1 (14.3)	1 (14.3)	5 (71.4)	6 (85.7)	0 (0)	1 (14.3)
<i>Galactomyces candidum</i>	1	0 (0)	1 (100)	0 (0)	0 (0)	0 (0)	1 (100)
<i>Pichia anomala</i> ( <i>Candida pelliculosa</i> )	1	0 (0)	0 (0)	1 (100)	0 (0)	0 (0)	1 (100)
<i>Pichia ohmeri</i>	2	0 (0)	2 (100)	0 (0)	2 (100)	0 (0)	0 (0)
<i>Pseudozyma parantarctica</i>	1	1 (100)	0 (0)	0 (0)	1 (100)	0 (0)	0 (0)
<i>Saccharomyces cerevisiae</i>	1	0 (0)	0 (0)	1 (100)	0 (0)	0 (0)	1 (100)
<i>Trichosporon asahii</i>	2	0 (0)	0 (0)	2 (100)	0 (0)	0 (0)	2 (100)
<i>Trichosporon dermatis</i>	2	0 (0)	2 (100)	0 (0)	2 (100)	0 (0)	0 (0)
Subtotal	20	2 (10.0)	8 (40.0)	10 (50.0)	13 (65.0)	0 (0)	7 (35.0)
Total	98	5 (5.1)	20 (20.4)	73 (74.5)	23 (23.5)	2 (2.0)	73 (74.5)

(94.9%)

(76.5%)



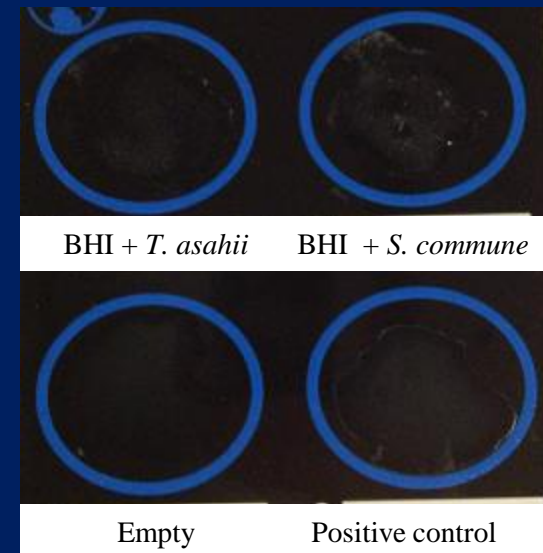
# MALDI-TOF MS: identification of medically important fungi

- Yeasts (85-100%):
  - *Candida* sp.
  - *Cryptococcus neoformans* & *C. gattii*
  - *Saccharomyces* sp.
  - *Trichosporon* sp.
  - *Geotrichum* sp.
  - *Pichia* sp.
- Molds (95-100%):
  - *Aspergillus* sp.
  - *Fusarium* sp.
  - *Rhizopus* sp. & Mucorales
  - *Penicillium* sp.
- Dermatophytes (~100%):
  - *Trichophyton rubrum*
  - *T. interdigitale*
  - *T. tonsurans*
  - *A. benhamiae*
- Dimorphic fungi?
- Antifungal susceptibility tests:
  - Fluconazole (*C. albicans*)
  - Echinocandins (*Candida* sp. & *Aspergillus* sp.)

Marianch C et al., Proteomics. 2009;9:4627-31.  
De Carolis E et al., Clin Microbiol Infect. 2012;18:475-84.  
Alanio A et al., Clin Microbiol Infect. 2011;17:750-5.  
Firacative C et al., PLoS One. 2012;7:e37566.  
Vella A et al., J Clin Microbiol. 2013;51:2964-9.

# Cryptococcal antigen

- Latex agglutination or EIA (>90% concordance) to detect capsular polysaccharide of *C. neoformans*
- Sensitivity:
  - 87% (vs blood culture; 42%);
  - higher in AIDS (disseminated) than non-AIDS / SOT (?BMT) patients (95% vs 77%)
  - Meningitis: similar to culture (97%)
  - Pulmonary: 62%
- Specificity:
  - Serum & CSF: 93-100%
  - False +ve:
    - Low titers (1:1-1:8)
    - Hemic malignancy with CNS involvement: may be false +ve
    - *Trichosporon asahii* (*begiellii*)
    - *Schizophyllum commune*
- Qualitative + semi-quantitative
- Prospective testing in HIV



# Case

- M/65
- PMH:
  - Follicular lymphoma (1985)
    - Chemotherapy & radiotherapy
    - Relapse: 1989 & 1997
- HPI:
  - Admitted KWH (4/6/2002)
  - Fever, severe right leg pain
  - Dx: cellulitis; Rx: ampicillin + cloxacillin
- Ix:
  - WBC 10.6, ANC 8.9, Lym 1.7
  - Hb 8.9, Plt 58, INR 1.1,
  - L/RFT: N







Clinical diagnosis: cellulitis + lymphangitis

Blood culture: Group G *Streptococcus*

Specimen Type:

Marrow Blood

Report :

Hb 8.7 WBC 3.7 Pl 54

The marrow aspirate is aparticulate. Marrow smears show predominantly leukaemic promyelocytes. Blast cells and promyelocytes number 5% and 94% of the nucleated cell count respectively. Occasional Auer rods are seen. Normal haemopoiesis is severely suppressed.

Conclusion :

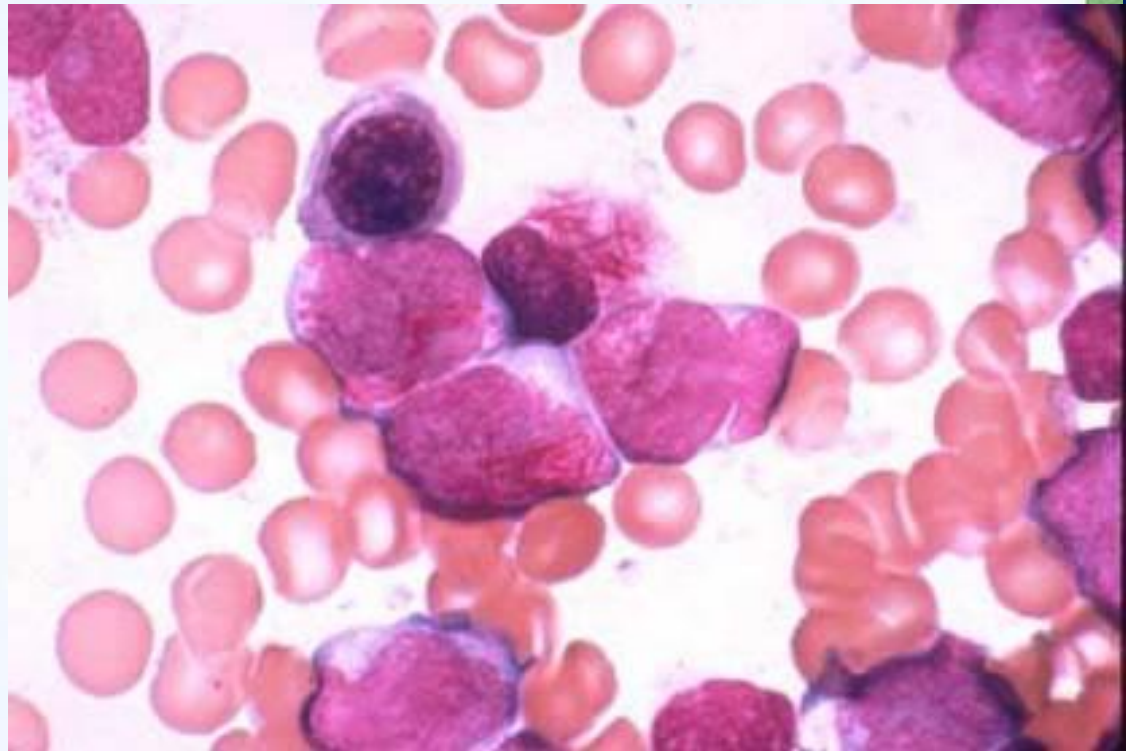
Findings show acute promyelocytic leukaemia.

Pathologist:   


## Diagnosis:

Bacteraemic  
cellulitis + lymphangitis  
(Group G *Streptococcus*)  
in  
AML-M3

Rx: Tazocin 4.5 g iv q8h  
All-trans-retinoic acid



# TEMPERATURE CHART

Unit No.

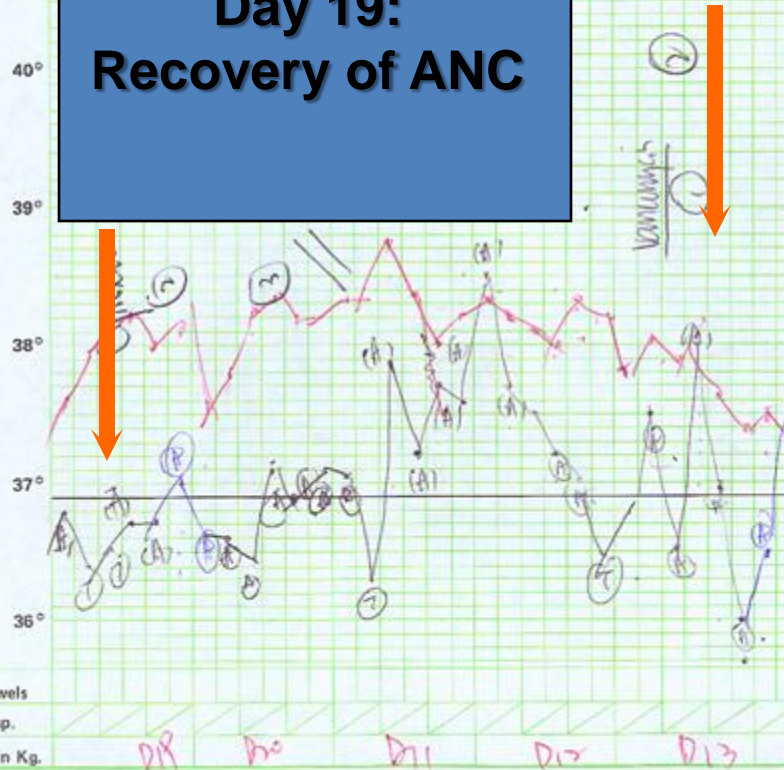
NOTES

DATE

TIME °C  
41°

8 12 4 8 12 4 8 12 4 8

**Day 19:  
Recovery of ANC**



HA 410

X = APICAL RATE

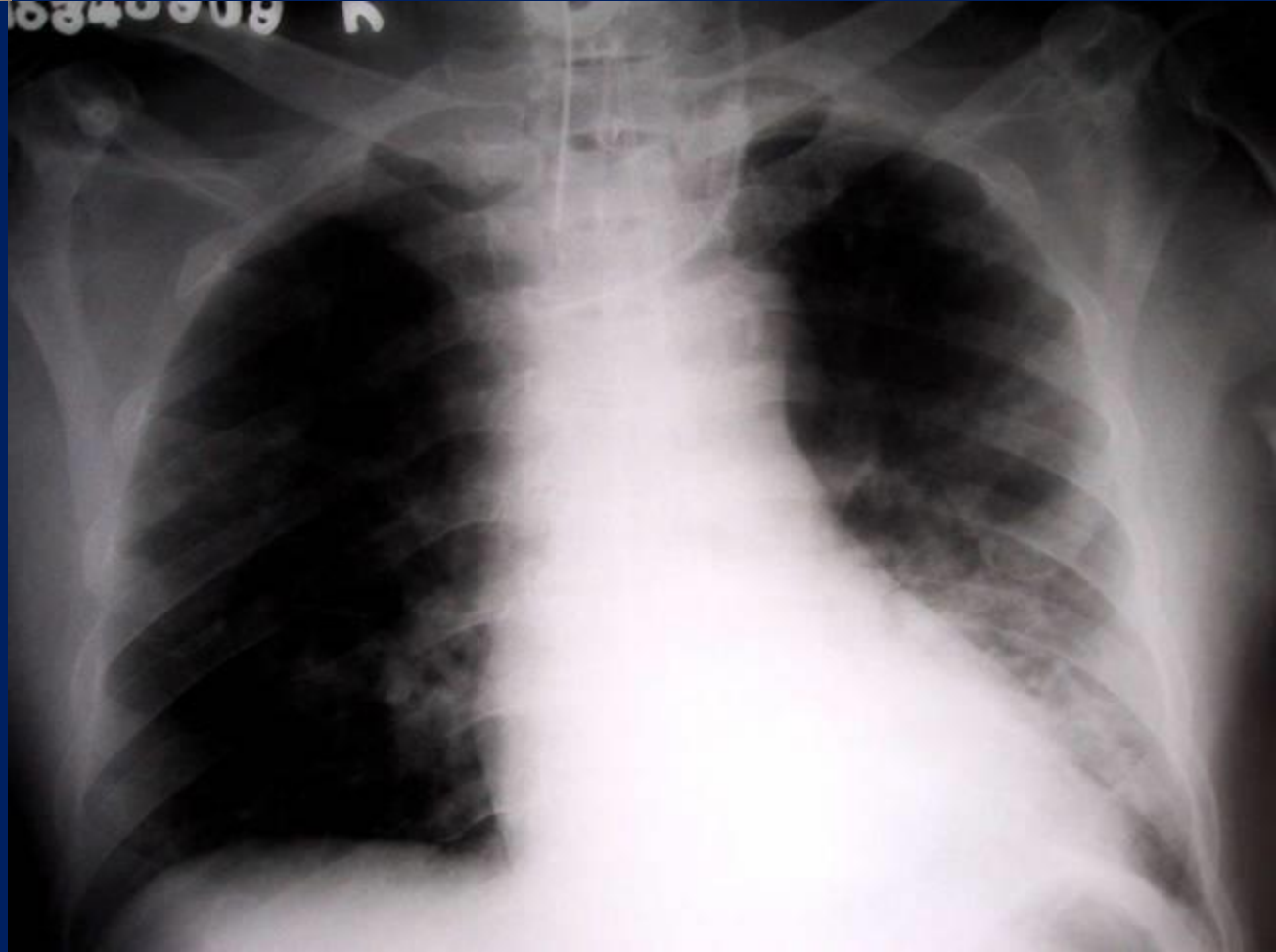
**Day 23:  
↑ Pulmonary infiltrates**

## Day 23: Nosocomial pneumonia

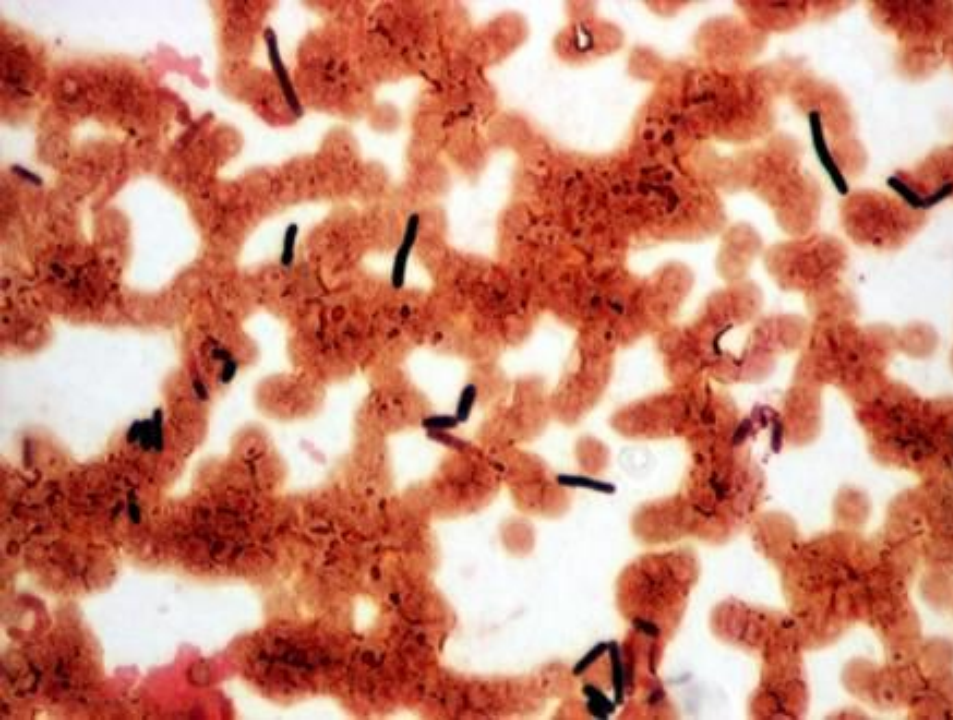


Serum cryptococcal antigen positive (1:8)

Blood and ETA grew a yeast which forms pseudohyphae and fragments into arthroconidia







Day 26:

Blood culture: yeast

**Disseminated trichosporonosis**

Rx: Amphotericin B 1 mg/kg/day; itraconazole 200mg bd for 3 days then 100 mg bd

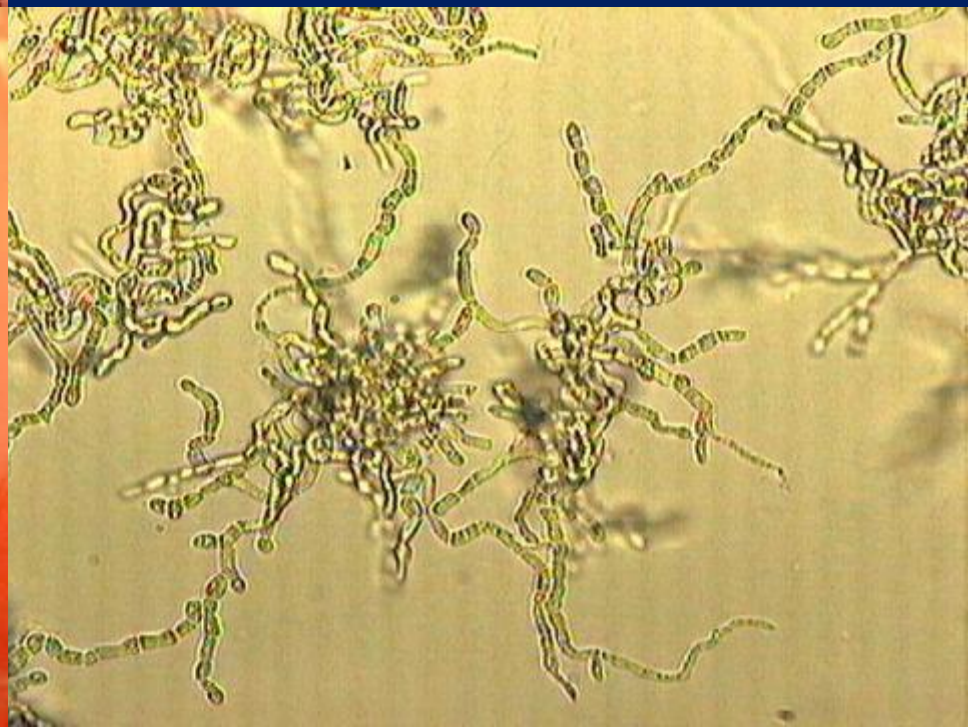
Volcano like colony morphology

Arthroconidia in agar culture

Capsular polysaccharide

Glucuronoxylomannan (GXM): xylose, arabinose, mannose

**Mannose** as **cross-reactive antigenic epitope** leading to false positive cryptococcal antigen test



# 1-3-β-D-Glucan

- 1-3-β-D-glucan:

- Cell wall component of most fungal species including *Candida* sp., *Trichosporon* sp., *Saccharomyces cerevisiae*, *Acromonium* sp., *Aspergillus* sp., *Fusarium* sp., *Coccidioides immitis*, *Histoplasma capsulatum*, *Pneumocystis jiroveci* (**NOT zygomycetes**, **Cryptococcus** sp., **Blastomyces dermatitidis**)
- 4 commercially available assays (different sources of substrate for the chromogenic reaction  
→ different reactivities / cutoffs for positivity)
- Monitoring strategy: **2-3 times / week**

Marchetti O et al., Bone Marrow Transplant. 2012;47:846-54.

**Table 3** Performance of the commercially available β-glucan assays for the diagnosis of IFD in hematological patients

<i>Commercial kit</i>	<i>% Sensitivity</i>	<i>% Specificity</i>	<i>% Positive PV</i>	<i>% Negative PV</i>
<i>Case-control studies<sup>a</sup></i>				
Fungitell <sup>b</sup>	64–78	71–92	72–89	73–77
Fungitec-G <sup>c</sup>	90–95	86–100	59–81	96–97
Wako-Maruha	NA	NA	NA	NA
<i>Cohort studies<sup>d</sup></i>				
Fungitell <sup>e</sup>	64–100	45–90	37–61	91–100
Fungitec-G <sup>f</sup>	63	76	19	96
Wako-Maruha <sup>g</sup>	50–55	89–98	56–67	87–96

No significant difference among the **different assays**

Concerns: different **study designs** & **patient groups**  
**Good NPV, poor SEN** (ie: not useful for exclusion)

**\*Good PPV & good SPE** (ie: good for ruling-in IFI)

# 1-3- $\beta$ -D-Glucan

- Practical considerations:
  - **Non-specific**: IC & IA similar (different Rx options)
  - May **precede** clinical s/s by 1-10 days (good for ruling-in IFI)
  - **Always** requires clinical, radiological & mycological support
  - Trend of BDG: may be useful for monitoring **treatment response**
  - **PJP**: pooled SEN 94.8% & SPE 86.3%
  - False –ve:
    - *Cryptococcus* sp.
    - Zygomycetes
    - Antifungal Rx / prophylaxis
  - False +ve:
    - **Blood transfusion**
    - **HD/hemofiltration**
    - **$\beta$ -lactams**
    - **IVIG**
    - Cellulose dressings
    - Laboratory contamination
    - Bacterial infections (*S. pneumoniae*, *P. aeruginosa*, *Alcaligenes faecalis*, etc.)

- Unknowns:
  - Optimal cutoff values?
  - # of +ve samples needed? (**2 consecutive** better than 1)
  - Frequency of tests?
  - Utility in follow-up of IFI?

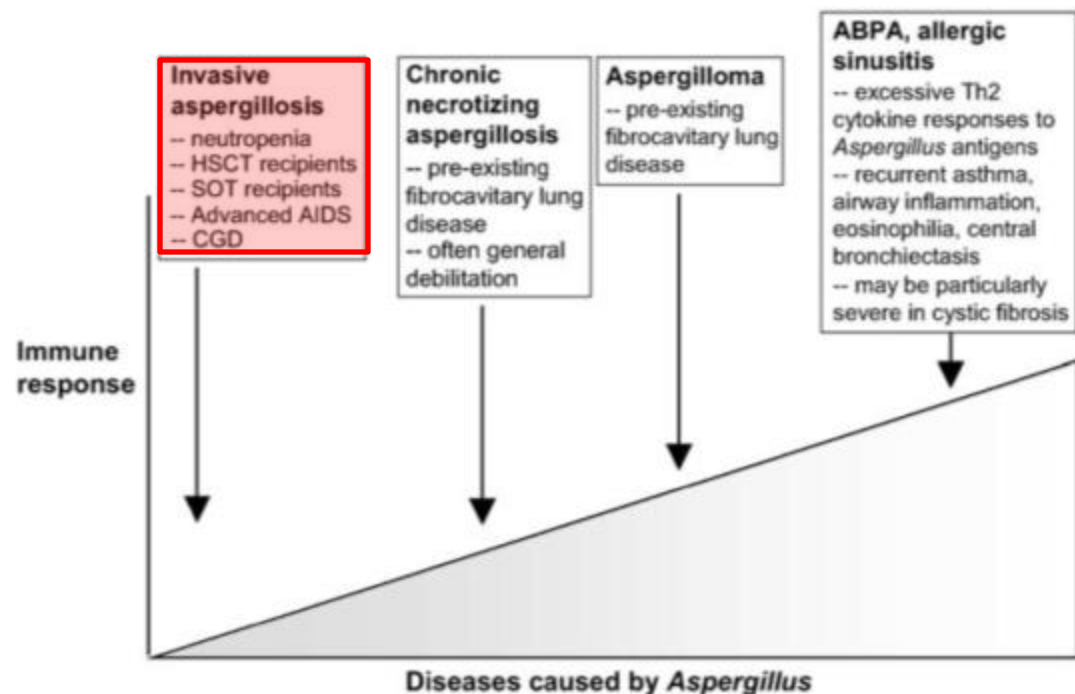




# Invasive aspergillosis and the *Aspergillus* Galactomannan antigen

TABLE 1. PATIENT POPULATIONS AT RISK FOR INVASIVE ASPERGILLOSIS

Patient Populations*	Comments
Neutropenia	<ul style="list-style-type: none"> <li>Severe (absolute neutrophil count &lt; 100/u) and prolonged (&gt; 10 d) neutropenia pose high risk for IA</li> </ul>
Allogeneic HSCT recipients	<ul style="list-style-type: none"> <li>Patients at highest risk include: receiving cytotoxic chemotherapy for acute leukemia; aplastic anemia</li> <li>First month neutropenia from conditioning regimen is the major risk factor for IA</li> <li>1–6 mo: defective cellular immunity contributes to risk of IA; high-dose corticosteroids cause global immunosuppression and disable phagocyte and cellular immunity</li> <li>&gt; 6 mo: partial reconstitution of cellular immunity expected in absence of significant immunosuppression for GVHD</li> </ul>
Autologous HSCT recipients	<ul style="list-style-type: none"> <li>Invasive aspergillosis much less common in autologous compared to allogeneic HSCT</li> <li>CD34-enriched autografts have higher risk of IA</li> </ul>
Solid organ transplantation	<ul style="list-style-type: none"> <li>Patients receiving more than one HSCT and those with prior treatment with potent immunosuppressive regimens for refractory malignancy are at higher risk for IA</li> <li>Lung transplant recipients at highest risk for IA</li> <li>Major risk factor is intensity of immunosuppression to treat allograft rejection</li> </ul>
Other patients receiving potent immunosuppressive therapy (e.g., for autoimmune diseases)	<ul style="list-style-type: none"> <li>High-dose systemic corticosteroids (e.g., prednisone equivalent &gt; 20 mg/d for &gt; 3 wk), calcineurin inhibitors, anti-lymphocyte immunoglobulin preparations; anti-TNF-<math>\alpha</math> agents (e.g., infliximab)</li> <li>Combination of high-dose corticosteroids and other immunosuppressive or cytotoxic agents (such as used for Wegener's granulomatosis) carries highest risk of IA among patients with autoimmune disease</li> </ul>
AIDS	<ul style="list-style-type: none"> <li>Occurs in patients with advanced AIDS (CD4 &lt; 100/u)</li> </ul>
CGD	<ul style="list-style-type: none"> <li>Incidence of IA in AIDS is significantly reduced in era of highly active antiretroviral therapy</li> <li>Inherited disorder of phagocyte NADP reduced oxidase</li> <li>IA is a leading cause of mortality in CGD</li> </ul>
Other primary immune disorders	<ul style="list-style-type: none"> <li>Diagnosis of IA in absence of known risk factors should prompt evaluation for CGD</li> <li>Serum galactomannan lacks sensitivity in IA in CGD</li> <li>Job's syndrome (<i>Aspergillus</i> colonizing pneumatoceles may lead to invasive disease)</li> <li>MELAS syndrome, Pearson's syndrome, and severe combined immunodeficiency are rarely associated with IA</li> </ul>



# Platelia™ *Aspergillus* Ag Kit

Early detection is key for the diagnosis and treatment of Invasive Aspergillosis

## The Galactomannan Test

- Detection of the *Aspergillus* galactomannan antigen, six days before onset of clinical symptoms and 10 days before diagnosis<sup>1</sup>
- Results in three hours
- EIA microplate format with ready-to-use reagents and controls  
(Double-sandwich ELISA)

<sup>1</sup> Marr KA, et al. *J Infect Dis* 2004 Aug 1; 190(3):641–649.

FDA-cleared  
for serum and BAL  
specimens from  
adults and pediatric  
patients



**BIO-RAD**

# Testing and Result Interpretation

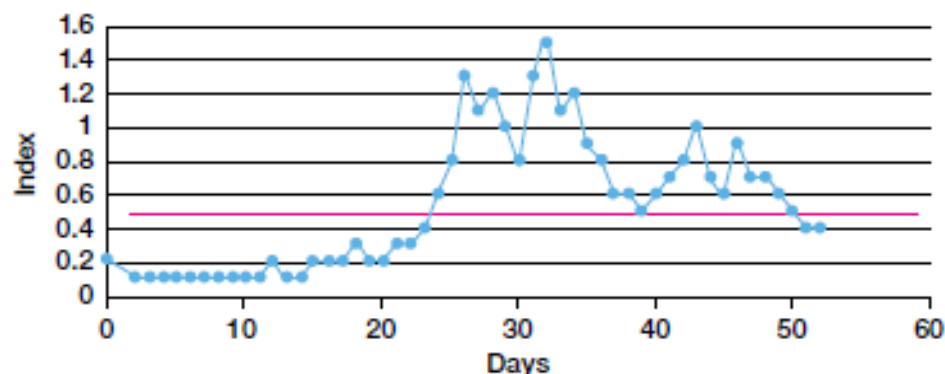
## Positive Result = Index $\geq 0.5$

- For all positive patient results, re-testing the same Serum/BAL sample (new aliquot) is recommended
- Regular screening (twice weekly) of serum samples of high risk patients is recommended
- Positive results should be considered in conjunction with other diagnostic procedures

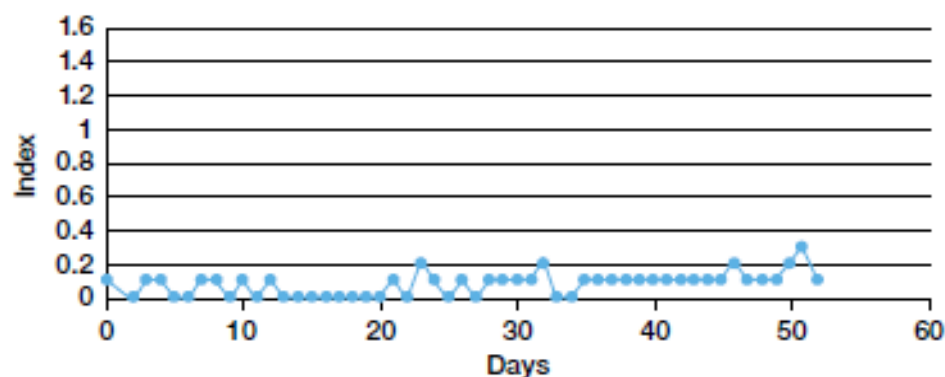
## Negative Result = Index $< 0.5$

- Repeat testing is recommended if result is negative but disease is suspected

### Positive Patient: Proven Invasive Aspergillosis Patient



### Negative Patient: Control Patient



[https://www.bio-rad.com/webroot/web/pdf/cdg/literature/J115\\_Microbiology.pdf](https://www.bio-rad.com/webroot/web/pdf/cdg/literature/J115_Microbiology.pdf)

## Convenient

- 96 well microplate with breakable strips
- Ready-to-use colored conjugate

## Objective

- Results reported as index

## Efficient

- Excellent sensitivity and specificity
- Faster initiation of appropriate treatment

# Aspergillus Galactomannan antigen

- Meta-analysis (serum):
  - Sensitivity: 61-71%
  - Specificity: 89-93%
  - PPV: 26-53%
  - NPV: 95-98%
  - (ie: good at ruling out the Dx of IA, but less good at confirming the Dx) – only used when high pretest probability (ie: >5-10%; high-risk groups)
- Concerns:
  - Heterogeneous patient groups (hemic better than SOT)
  - Cut-off value (signal in test sample : signal of reference sample) -  $\geq 1.5$  (manufacturer),  $\geq 1.0$ ?  $\geq 0.5$ ?
  - Differences in clinical practice and lack of study on clinicians' response to the result
- Impact:
  - Shorten the time to diagnosis (days before clinical/radiological findings) and pre-emptive antifungal therapy
  - Predicts outcome:
    - High baseline serum GM Ag at Dx of IA associated with poor outcome
    - Serum GMI trend: week 1 GMI (responder < non-responder;  $0.62 \pm 0.12$  vs  $1.15 \pm 0.22$ ;  $p < 0.05$ ); rising absolute GMI  $< 0.5 \rightarrow > 0.5$  at week 2 despite Rx – poor clinical outcome)
  - Other specimens: BAL, CSF (urine, pleural fluid, sputum?)

Pfeiffer CD et al., Clin Infect Dis. 2006;42:1417-27.  
Rex JH et al., Clin Infect Dis. 2006;42:1428-30.  
Bergeron A et al., J Clin Microbiol. 2012;50:823-30.  
Chai LY et al., J Clin Microbiol. 2012;50:2330-6.

# Aspergillus Galactomannan antigen

- Limitations:
  - False +ve:
    - Procedure-related
    - GI tract mucosal breach:
      - *Bifidobacterium* sp.
      - GVHD / severe mucositis
    - Other fungal infections:
      - *Cryptococcus neoformans* – AIDS
      - *Penicillium marneffe* – AIDS
      - *Histoplasma* sp.
      - *Fusarium* sp.
      - *Alternaria* sp.
      - *Paecilomyces* sp.
      - *Geotrichum* sp.
      - *Trichosporon dermatis*
      - *Prototheca* sp. (algae)
    - Drugs / infusate:
      - $\beta$ -lactams: piperacillin-tazobactam, amoxicillin-clavulanate
      - Blood products: pooled platelet concentrates, FFP, packed RBCs, anticoagulant (Fresenius Kabi)
      - Gluconate-containing IVF
  - False -ve:
    - Procedure-related
    - Antifungal Rx
    - Patients with CGD / Job's syndrome
  - Neonatal / pediatric serum samples: lack of data

## Factors that influence the performance of antigen detection in invasive aspergillosis.

### Biological factors

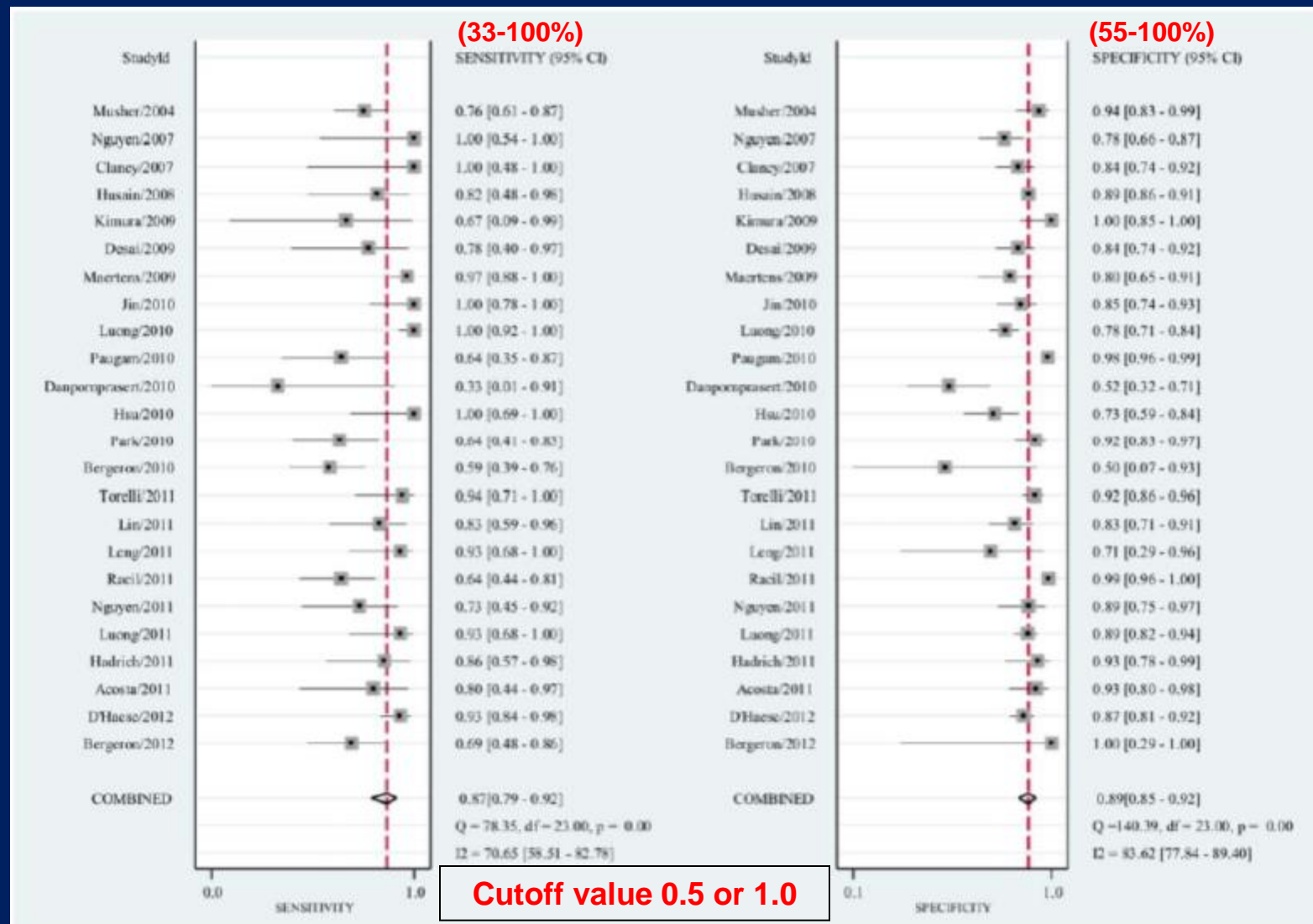
Site of infection  
*Aspergillus* species causing infection  
 Microenvironment at the site of infection: nutrients, oxygen level, pH  
 Exposure to antifungal agents  
 Molecule structure of released galactomannan  
 Underlying condition/level of immunosuppression  
 Renal clearance, hepatic metabolism  
 Presence of galactomannan antibodies  
 Storage of sample  
 Pretreatment procedure

### Epidemiological factors

Patient population  
 Sampling strategy  
 Definition of a positive result  
 Definition of an infected patient  
 Prevalence of infection  
 Cut-off  
 Laboratory experience



# Aspergillus Galactomannan antigen (BAL)



Forest plot of sensitivities and specificities from test accuracy studies of BAL-GM in the Dx of IA

# Aspergillus Galactomannan antigen (BAL)

- Meta-analysis:
  - Serum GM vs BAL-GM:
    - Pooled SEN: 65% vs **85%** (larger fungal burden in bronchial tree; hyphae secrete more antigenic GM than conidia)
    - Pooled SPE: 95% vs **86%** (**different stages** of disease – BAL: airway cellular invasion of *Aspergillus* sp; serum: later penetration of hyphae via endothelial cells)
  - PCR vs BAL-GM:
    - Pooled SEN: 82% vs **78-94%**
    - Pooled SPE: 98% vs 91-97%

Avni T et al., J Clin Microbiol. 2012;50:3652-8.  
Zou M et al., PLoS One. 2012;7:e43347.

TABLE 2 Direct comparisons of PCR and GM (BAL)

Comparison (no. of studies)	Sensitivity (%) (95% CI)	Specificity (%) (95% CI)	DOR (95% CI)	P value
PCR vs GM with an ODI of >0.5 (7)				0.088
PCR	86.4 (68.2–95)	96.2 (90.2–98.6)	163 (47–560)	
GM	82 (52.7–94.9)	96.6 (92.2–98.6)	129 (32–516)	
PCR vs GM with an ODI of >1.0 (7)				0.01
PCR	92.6 (69.9–98.5)	97.7 (92.6–99.3)	516 (82–3,248)	
GM	85.1 (62.5–95.1)	99.7 (97.3–100)	1,731 (202–14,802)	
Any positive result vs GM (10) <sup>a</sup>				0.001
GM or PCR	97 (83–99.5)	97.5 (92.9–99.1)	1,258 (155–10,215)	
GM	89 (63–97.5)	98.5 (94.5–99.6)	516 (74–3,611)	

<sup>a</sup> Comparison between a positive result defined by either PCR or GM positivity when both tests are performed and GM alone. Paired analysis was conducted with all studies reporting on GM in BAL fluid preferably using the results obtained with an ODI cutoff of >0.5.



# HKU/QMH data (07/2003-06/2013)

Parameters	Values
Age (median, range)	53.0 / 24 days to 92 years
Sex	M:F = 66:41
Working diagnosis:	
Neutropenic fever with pneumonia	14 (13.1%)
Neutropenic fever without pneumonia	7 (6.5%)
* Non-neutropenic fever with pneumonia	57 (53.3%)
Non-neutropenic fever without pneumonia	18 (16.8%)
Radiological abnormality without symptoms	8 (7.5%)
Others	3 (2.8%)
Underlying conditions:	
* Neutropenia for >10 days before onset of symptoms	21 (19.6%)
Previous pulmonary tuberculosis	21 (19.6%)
Active pulmonary tuberculosis	5 (4.7%)
Chronic pulmonary disease	29 (27.1%)
Chronic cardiac disease	24 (22.4%)
Chronic renal disease	8 (7.5%)
Chronic hepatic disease	16 (15.0%)
Central nervous system disease	9 (8.4%)
Diabetes mellitus	13 (12.1%)
Autoimmune disease	11 (10.3%)
Human immunodeficiency virus infection	11 (10.3%)
Congenital immunodeficiency	0 (0%)
* Corticosteroids / immunosuppressive drugs	31 (29.0%)
Chemotherapy in preceding 30 days	25 (23.4%)
Solid organ malignancy	9 (8.4%)
* Hematological malignancy	34 (31.8%)
* Solid organ transplantation	7 (6.5%)
* Hematopoietic stem cell transplantation	21 (19.6%)
Presenting symptoms:	
Fever	64 (59.8%)
Cough	46 (43.0%)
Sputum	32 (29.9%)
Hemoptysis	20 (18.7%)
Dyspnea	50 (46.7%)
* Asymptomatic (radiological abnormality only)	8 (7.5%)

# HKU/QMH data (07/2003-06/2013)

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## Laboratory parameters (median, range):

Total white cell count, x 10 <sup>9</sup> cells/liter	5.90/0.03-40.80
* Neutrophil count, x 10 <sup>9</sup> cells/liter	4.13/0.00-38.10
Lymphocyte count, x 10 <sup>9</sup> cells/liter	0.80/0.00-91.50
Hemoglobin, g/dl	10.30/6.40-16.60
Platelet count, x 10 <sup>9</sup> cells/liter	163.00/4.00-731.00
Sodium, mmol/liter	138.00/124.00-151.00
Potassium, mmol/liter	3.90/2.00-8.70
Urea, mmol/liter	5.80/1.80-62.20
Creatinine, µmol/liter	76.00/23.00-603.00
Random glucose, mmol/liter	6.35/2.70-22.10
Albumin, g/liter	29.00/11.00-54.00
Globulin, g/liter	34.00/12.00-78.00
Total bilirubin, µmol/liter	12.00/2.00-301.00
Alkaline phosphatase, IU/liter	98.00/11.00-989.00
Alanine transaminase, IU/liter	27.00/7.00-843.00
Aspartate transaminase, IU/liter	28.50/8.00-116.00
ESR, mm/hour	65.00/6.00-138.00
C-reactive protein, mg/dl	5.28/0.35-45.00

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## Chest radiograph features:

No abnormality	17 (16.0%)
* Consolidation / collapse	62 (58.5%)
* Cavity ( <b>Halo / air-crescent</b> )	22 (20.8%)
Nodule / granuloma	7 (6.6%)
Pleural effusion	20 (18.9%)
Fibrosis	21 (19.8%)

## Site of chest radiograph abnormality:

RUL	8 (7.5%)
RML	7 (6.6%)
RLL	8 (7.5%)
LUL	5 (4.7%)
LLL	9 (8.5%)
* Multilobar	53 (50.0%)

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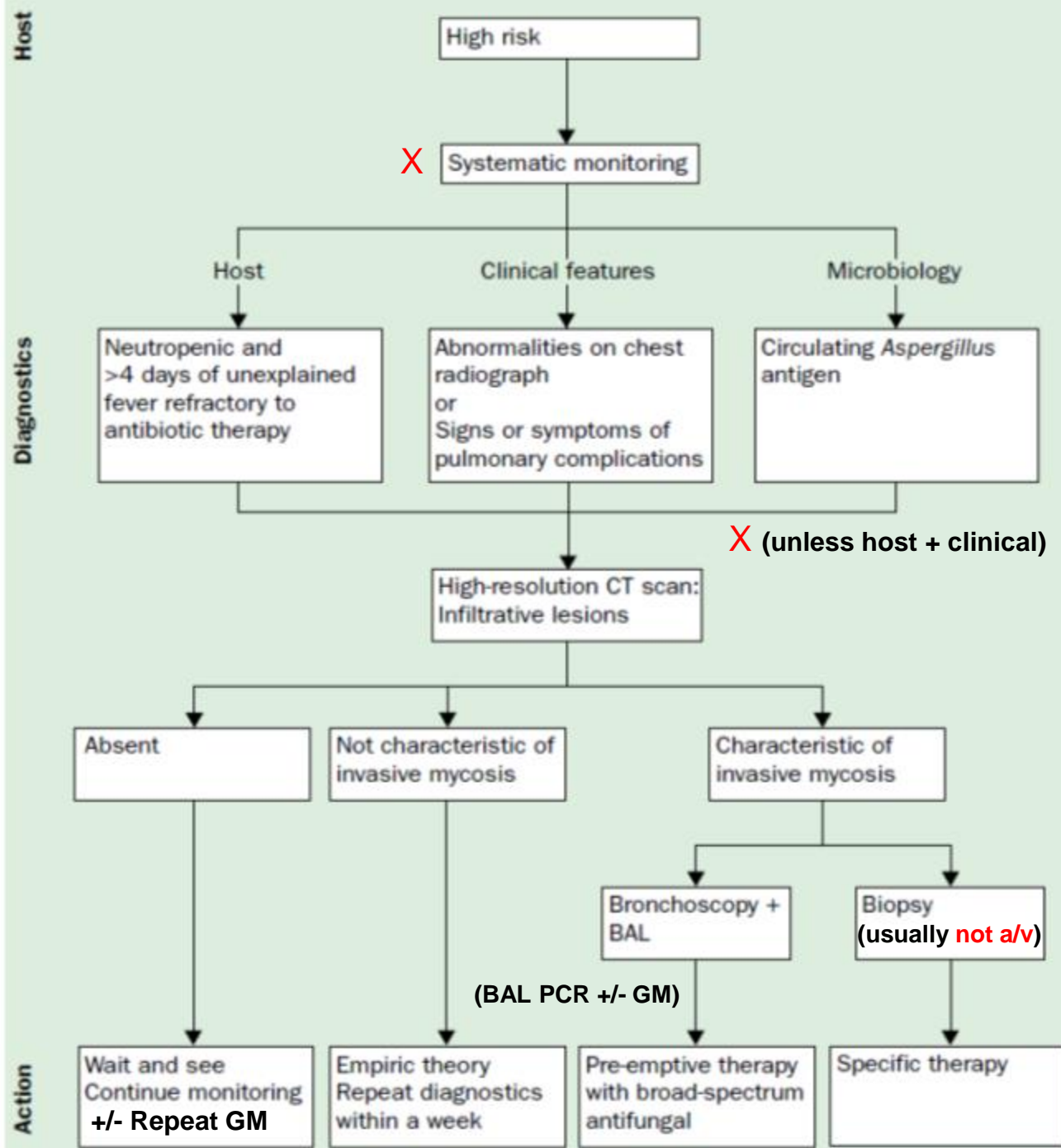
# HKU/QMH data (07/2003-06/2013)

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Alternative reasons for positive Ag in “no IA” group (n = 55):

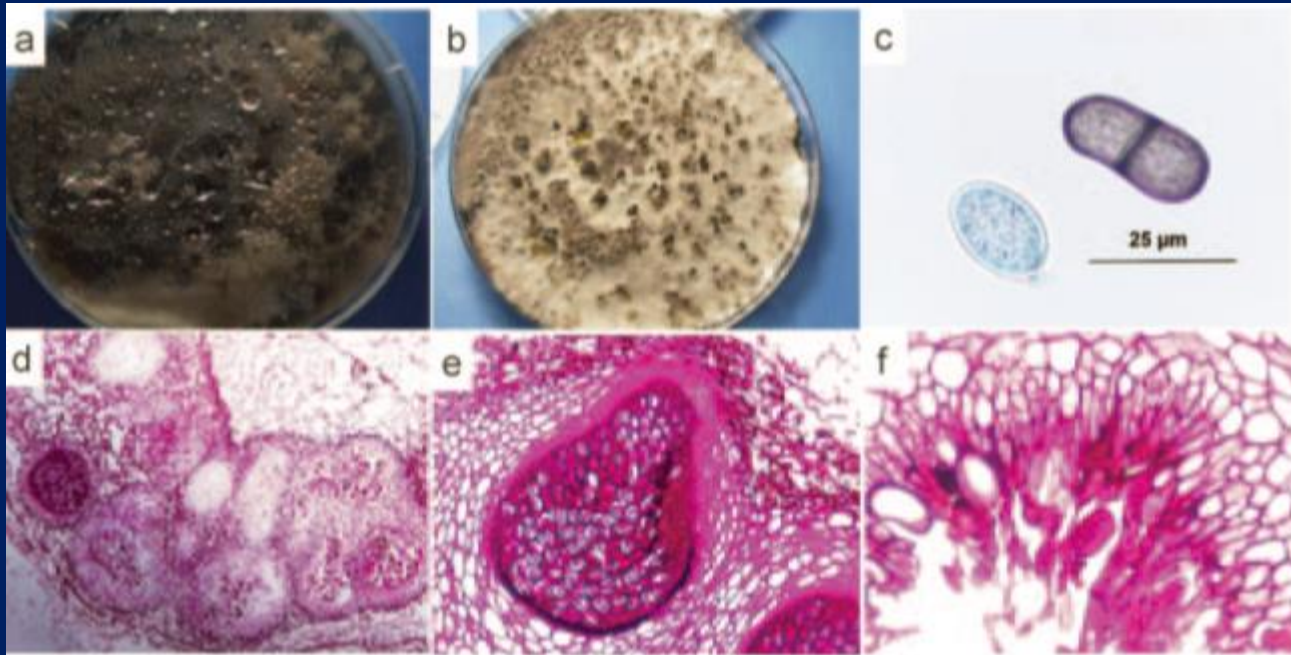
* Use of amoxicillin-clavulanate / piperacillin-tazobactam	21/55 (38.2%)
* Fungemic penicilliosis	4/55 (7.3%)
False-positive with alternative diagnosis	5/55 (9.1%)
No alternative reasons for positive Ag:	
Non-invasive pulmonary aspergillosis	10/55 (18.2%)
Community-acquired pneumonia (unknown etiology)	9/55 (16.4%)
HIV with fever	5/55 (9.1%)
Leukemia with fever	1/55 (1.8%)

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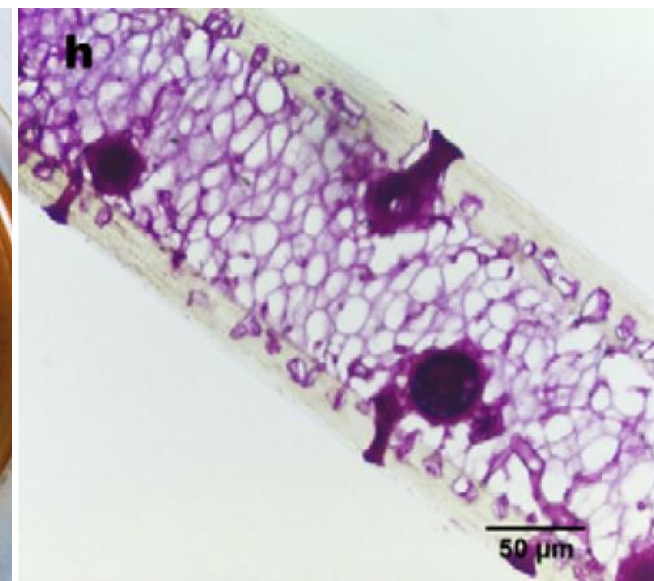
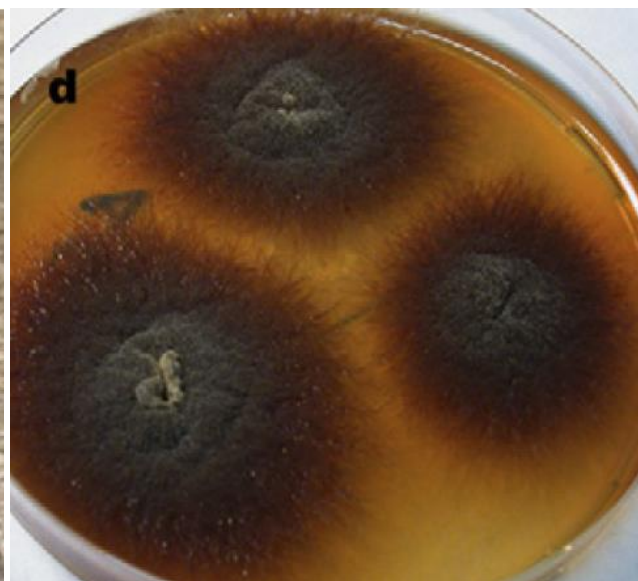
# Polymerase chain reaction

- **Not** included in EORTC/MSG definitions of IFD:
  - Not standardized
  - Not thoroughly evaluated
  - Mainly investigated in IA
  - Gene targets: ITS, 18S rRNA, LSU rRNA, EF1 $\alpha$ ,  $\beta$ -tubulin,  $\beta$ -actin, etc.
- Practical uses:
  - **Unusual** fungal pathogens
  - **Unusual** clinical syndromes
  - **Novel** fungus discovery
  - **Rapid** identification of fastidious fungi
  - **Quantification**
  - **Multiplex** PCR (eg: with TB, PCP, etc)

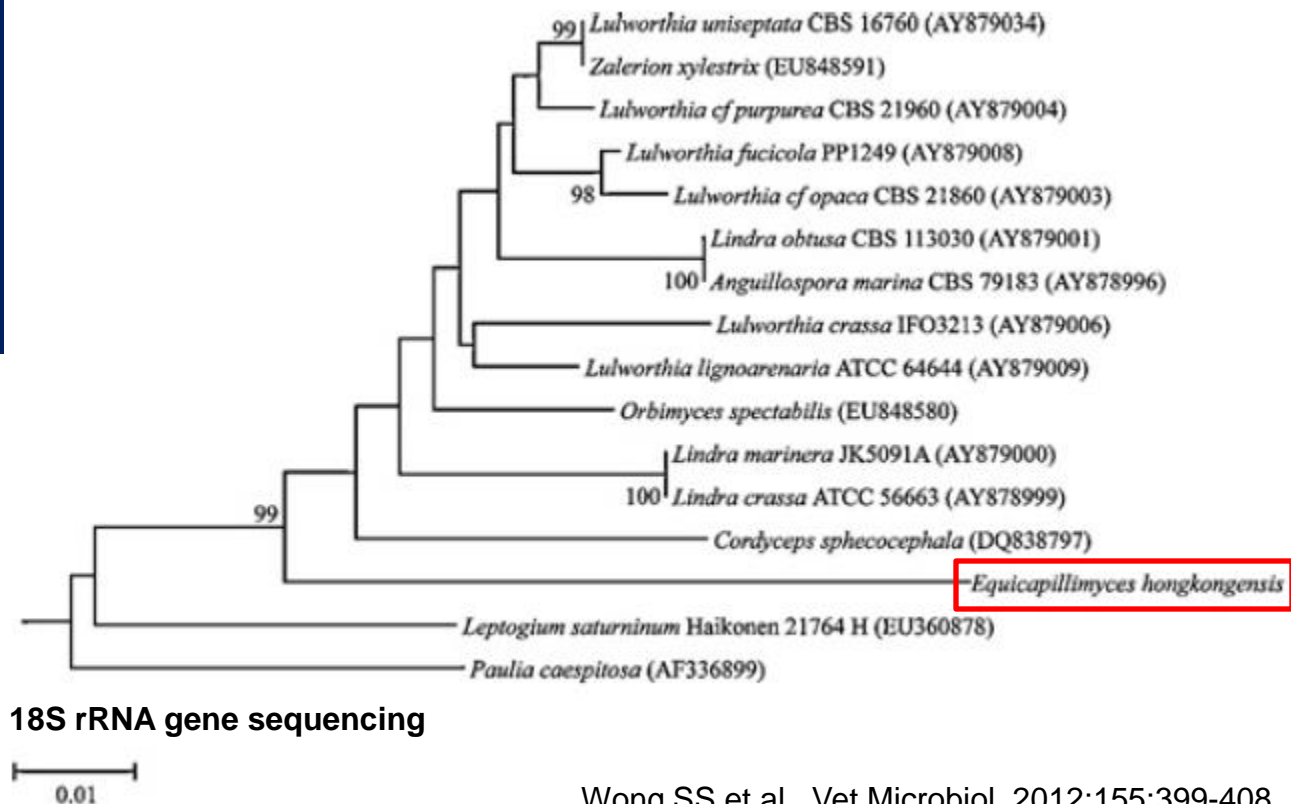


**Unusual fungal pathogen: *Lasiodiplodia theobromae* pneumonia in liver transplant recipient**  
(Woo PC et al., J Clin Microbiol. 2008;46:380-4.)

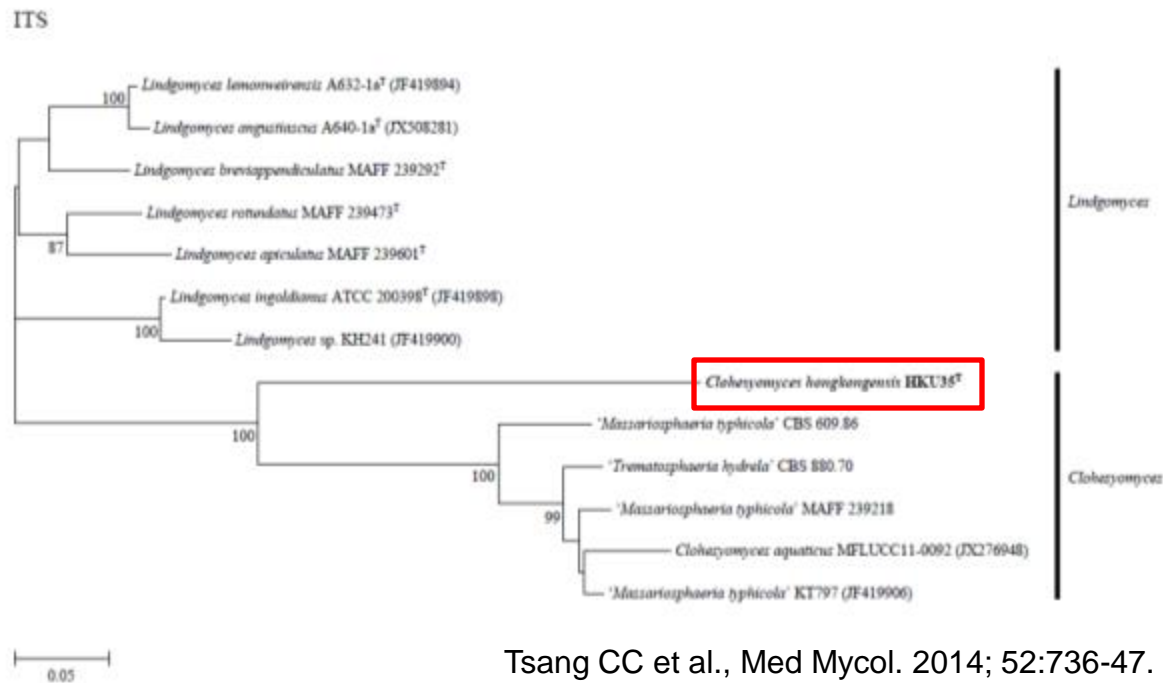
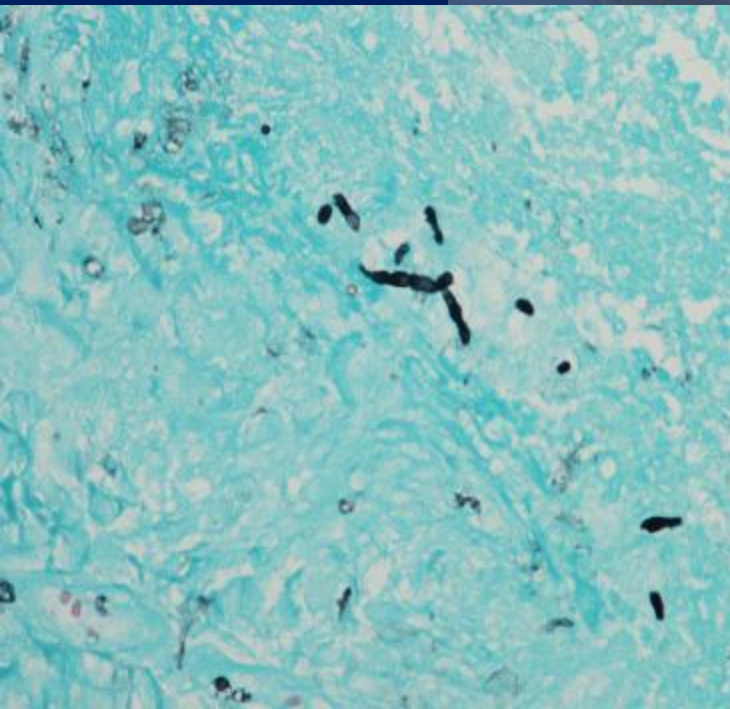
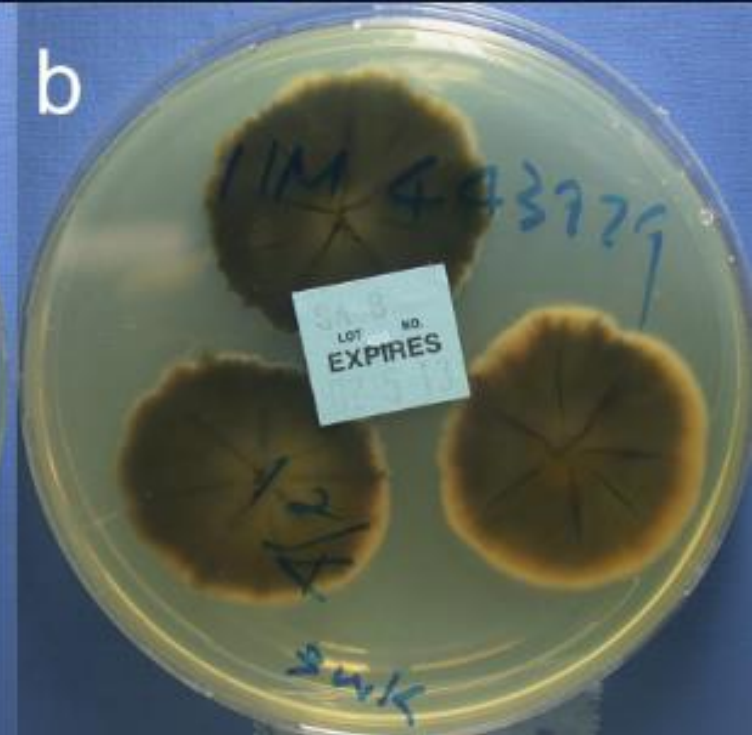
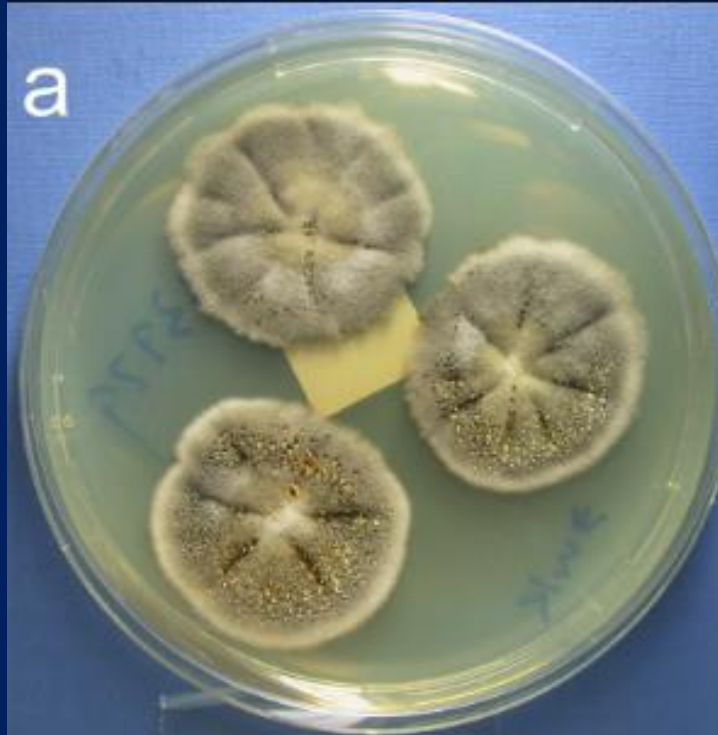




**Novel clinical syndrome:**  
**Brittle tail syndrome**  
 caused by  
*Equicapillimycetes*  
*hongkongensis* gen.  
 nov., sp. nov.



**Discovery of novel fungi:**  
Invasive wound infection in a patient with IgG4-related sclerosing disease caused by a novel freshwater ascomycete, *Hongkongmyces pedis* sp. nov.

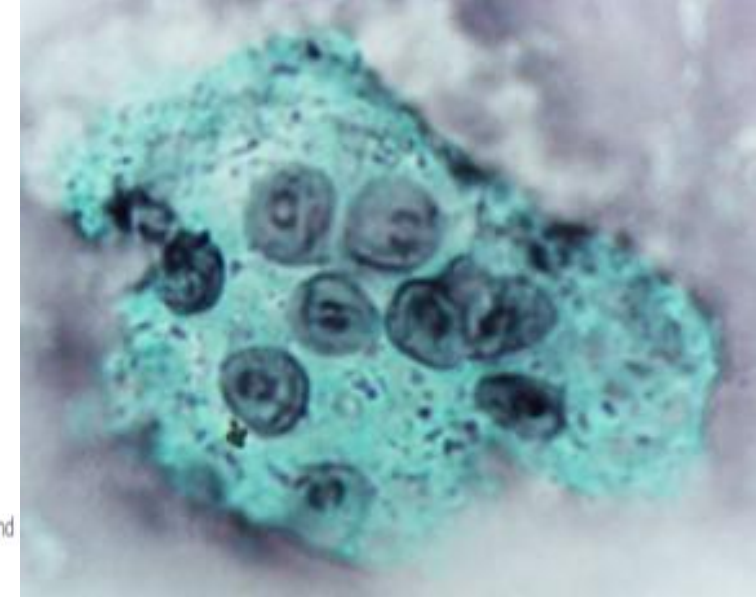




## Use of Nasopharyngeal Aspirate for Diagnosis of *Pneumocystis* Pneumonia

Kelvin K. W. To,<sup>a,b,c,d</sup> Sally C. Y. Wong,<sup>d</sup> Ting Xu,<sup>d</sup> Rosana W. S. Poon,<sup>d</sup> Ka-Yi Mok,<sup>d</sup> Jasper F. W. Chan,<sup>d</sup> Vincent C. C. Cheng,<sup>d</sup> Kwok-Hung Chan,<sup>d</sup> Ivan F. N. Hung,<sup>a,e</sup> Kwok-Yung Yuen<sup>a,b,c,d</sup>

State Key Laboratory for Emerging Infectious Diseases,<sup>a</sup> Carol Yu Centre for Infection,<sup>b</sup> Research Centre of Infection and Immunology,<sup>c</sup> Department of Microbiology,<sup>d</sup> and Department of Medicine,<sup>e</sup> The University of Hong Kong, Pokfulam, Hong Kong Special Administrative Region, China



**TABLE 2** Performance of LSU-qPCR in NPA specimens for the diagnosis of PCP, with microscopic examination of bronchoscopic specimens as the gold standard

LSU-qPCR in NPA specimens	No. of specimens (microscopic examination of bronchoscopic specimens using methenamine silver staining)		
	Positive	Negative	Total
Positive	15	* 4	19
Negative	0	98	98
Total	15	102	117

Assay	Method	Company	Targets	Results	Specimen	TAT	FDA-Approved/ Cleared
Yeast Traffic Light	PNA FISH	AdvanDx, Inc, Woburn, MA, USA	26S rRNA for <i>Candida</i> spp	Qualitative, with speciation of most <i>Candida</i> spp	Blood culture bottles positive for growth	2–3 h	Yes
Multiplex xTAG Fungal ASR Assay	Multiplex PCR and bead-based flow cytometry	Luminex Corp, Austin, TX, USA	23 clinically significant fungi (yeasts and molds)	Qualitative, with detection to the species level when possible	Respiratory specimens; blood culture bottles positive for growth	5–6 h post extraction	No
<i>Aspergillus</i> Real-Time PCR Panel	Real-time PCR	Viracor-IBT Laboratories, Lee's Summit, MO, USA	18S rRNA and ITS1 for <i>Aspergillus</i> spp	Qualitative, with detection of <i>Aspergillus</i> spp, <i>Aspergillus</i> <i>fumigatus</i> , or <i>Aspergillus terreus</i>	BAL; bronchial washing	Within 8–12 h of specimen receipt at Viracor	No
<i>Candida</i> Real-time PCR Panel	Real-time PCR	Viracor-IBT Laboratories, Lee's Summit, MO, USA	ITS1 for <i>Candida</i> spp	Qualitative, with detection of <i>Candida albicans</i> and/or <i>Candida</i> <i>tropicalis</i> ; <i>Candida</i> <i>glabrata</i> and/or <i>Candida krusei</i> ; and <i>Candida</i> <i>parapsilosis</i> complex	Plasma; serum	Same day of specimen receipt at Viracor	No
PLEX-ID Broad Fungal Assay	Multiplex PCR and mass spectrometer	Abbott Ibis Biosciences, Abbott Park, IL, USA	Up to 75 fungi	Qualitative, unique organism identification	BAL; blood	Within 6 h, or 1 working day	No
MycAssay <i>Aspergillus</i>	Real-time PCR	Myconostica Ltd, Cambridge, UK	18S rRNA for <i>Aspergillus</i> spp	Qualitative	Serum; BAL	3 h	No
SeptiFast	Real-time PCR	Roche Molecular Diagnostics, Pleasanton, CA, USA	5 species of <i>Candida</i> and <i>A. fumigatus</i>	Qualitative	Blood	6 h	No

# Summary

**Table 1** Advantages of the current methodologies for fungal diagnosis

Culture (conventional)	Galactomannan	(1→3)-β-D-glucan	Real-time PCR	MALDI-TOF-MS
Simple and cheap It allows identification of the fungus and antifungal susceptibility testing	Non-invasive method Useful for <u>early diagnosis</u> ; <u>reproducible methodology</u>	Non-invasive method Useful for <u>early diagnosis</u> ; <u>reproducible methodology</u>	Non-invasive method Useful for <u>early diagnosis</u> ; <u>reproducible methodology</u>	<b>MALDI-TOF-MS</b> ( <b>yeasts</b> > molds: fungal colonies; direct <b>blood culture</b> )
High rate of isolation in blood cultures for <i>Fusarium</i> spp.; a recently improved strategy still needs to be tested in multiple laboratories for other molds	Greater values of sensitivity and <u>specificity</u> for mold diagnosis, particularly when non- <i>fumigatus</i> <i>Aspergillus</i> spp. are involved  <b>Concerns:</b> 1. Cross-reactivity 2. Frequency of tests 3. Cut-off value 4. Antifungal Rx 5. Serum and BAL	<u>Broad coverage</u> of fungal species; it can be used for screening of patients with possible mold diseases  Useful in patients under antifungal therapy  <b>Other uses:</b> PCP (HIV / non-HIV)  <b>Negative in:</b> <i>Cryptococcus</i> sp. <i>Zygomycetes</i> <i>Basidiomyces dermatitidis</i>	Broad coverage of fungal species; it can be used for screening of patients with possible mold diseases  Useful in patients under antifungal therapy  <b>Concerns:</b> 1. Standardization 2. Expertise / facilities 3. Cost	<b>Advantages:</b> -Rapid TAT -Only requires single colony -Automated, high throughput -Broad applicability to bacteria & fungi -Revisable database -Minimal consumables -Cost-effective -ST  <b>Disadvantages:</b> -ID limited by database -Diff. b/w related organisms (eg: <i>E. coli</i> & <i>Shigella</i> sp.)





Case (type) <sup>a</sup>	Sex/age (ward) <sup>b</sup>	Hemic malignancy (chemotherapy) <sup>c</sup>	Symptoms and key blood tests <sup>d</sup>	Abdominal CT and laparotomy findings <sup>e</sup>	Histopathological findings <sup>f</sup>	Antifungal regimen and clinical outcome <sup>g</sup>
1 (I)	M/6 (C6)	ALL (V, D, Met, Leu, and Dexa)	Abdominal pain; ANC: 0.34; ALT: 13; Cr: 44	CT: distended bowel loops at central abdominal region, swollen R psoas muscle with abscess formation; moderate R hydronephrosis; laparotomy: necrotic small, large bowel, R posas and paraspinal muscles	Extensive involvement of all the tissues by fungal elements with pronounced angiotrophism with thrombosis, invasion of the blood vessel wall by fungal hyphae, and extensive full-thickness infarction of the bowel walls	Posa, AmB, Caspo 3 days and Des 27 days after symptom onset; died 36 days after symptom onset
2 (I)	M/11 (K8N)	AML (Met, Ida, Cyt and E)	RLO pain; ANC: 0.05; ALT: 9; Cr: 48	CT: swollen appendix measuring up to 2.5 cm with thickened wall of 7 mm; laparotomy: infarction and perforation of paracecal appendix and adjacent omentum	Transmural infarction of the appendix with an abundant amt of fungal organisms suggestive of <i>Mucorales</i> by their branching at right angles and invasion of blood vessel walls	Posa, AmB, Caspo, Des 1 day after symptom onset; fever and abdominal pain resolved 16 days after therapy
3 (I)!	M/57 (E3)	DLBCL (V, D, Met, A, and Dexa)	Abdominal pain; ANC: 0.26; ALT: 152; Cr: 126	CT: intraperitoneal gas suggestive of perforated bowel; laparotomy: two perforations at cecum with indurated edge	Mucosal infiltration and necrosis due to fungal element	Fluconazole; died 8 days after symptom onset
4 (M)	M/35 (J8N)	Fanconi's anemia/MDS (TBI, ATG, F, and Cyc)	Diarrhea; ANC: 0.48; ALT: 57; Cr: 67	CT: segmental thickening of proximal jejunal wall	NA	Posa, AmB, anidulafungin 1 day after symptom onset; died 44 days after symptom onset due to complications of BMT
5 (I)	M/38 (K20N)	ALL (Clo)	RLO pain; ANC: 0.03; ALT: 833; Cr: 94	CT: bowel wall thickening of the terminal ileum, cecum, and proximal ascending colon; laparotomy: swollen cecum and terminal ileum; acute gangrenous appendicitis	Extensive invasion of the terminal ileum, cecum, appendix, and omentum by fungal elements composed of aseptate hyphae; fungi stain with Grocott silver stain and Periodic acid-Schiff-digested stain; morphologically consistent with <i>Mucorales</i>	Posa, AmB, Caspo 3 days after symptom onset; died 28 days after symptom onset
6 (C)	M/38 (J8N)	AML (B and Cyc)	Asymptomatic; ANC: 6.54; ALT: 37; Cr: 68	CT: no abnormal bowel dilatation or bowel wall thickening	NA	Posa 5 days after isolation of <i>Rhizopus sp.</i> in stool; stable
7 (C)	M/50 (J8N)	Precursor T-ALL (TBI + Cyc)	Asymptomatic; ANC: 2.73; ALT: 60 Cr: 67	CT: no abnormal bowel dilatation or bowel wall thickening	NA	Posa 2 days after isolation of <i>Rhizopus sp.</i> in stool; stable
8 (I)!	F/42 (K20N)	NK cell lymphoma (A)	Abdominal distention; ANC: 3.72; ALT 12; Cr 79	CT: moderate amt of ascites, thickened peritoneal surface with omental cake suggestive of lymphomatous infiltration; laparotomy: thinning of cecal wall without perforation; inflamed omentum with adhesion to pelvic cavity	Extensive involvement of small intestine, stomach, urinary bladder, omentum, mesentery, large intestine, kidney, lung, liver, spleen, and pancreas with branching aseptate fungal elements	Voriconazole; died 23 days after symptom onset; <i>Rhizopus sp.</i> isolated in peritoneal fluid 1 day after patient succumbed
9 (C)	M/73 (K20N)	DLBCL (Rit, Cyc, Epi, V, P)	Asymptomatic	CT: no abnormal bowel dilatation or bowel wall thickening	NA	No antifungal agent given; stable
10 (C)	M/66 (J8N)	Mantle cell lymphoma (Cyc, Car, E)	Asymptomatic	Not done	NA	Posa 8 days after isolation of <i>Rhizopus sp.</i> in stool; stable
11 (C)	F/55 (J8N)	B-cell lymphoma (Cyc, Car, E)	Asymptomatic	Not done	NA	Posa 2 days after isolation of <i>Rhizopus sp.</i> in stool; stable
12 (M)	F/59 (K20N)	AML (Cyt, D)	Diarrhea	CT: mural thickening at cecum and terminal ileum	NA	Posa, AmB, Caspo 1 day after symptom onset; stable



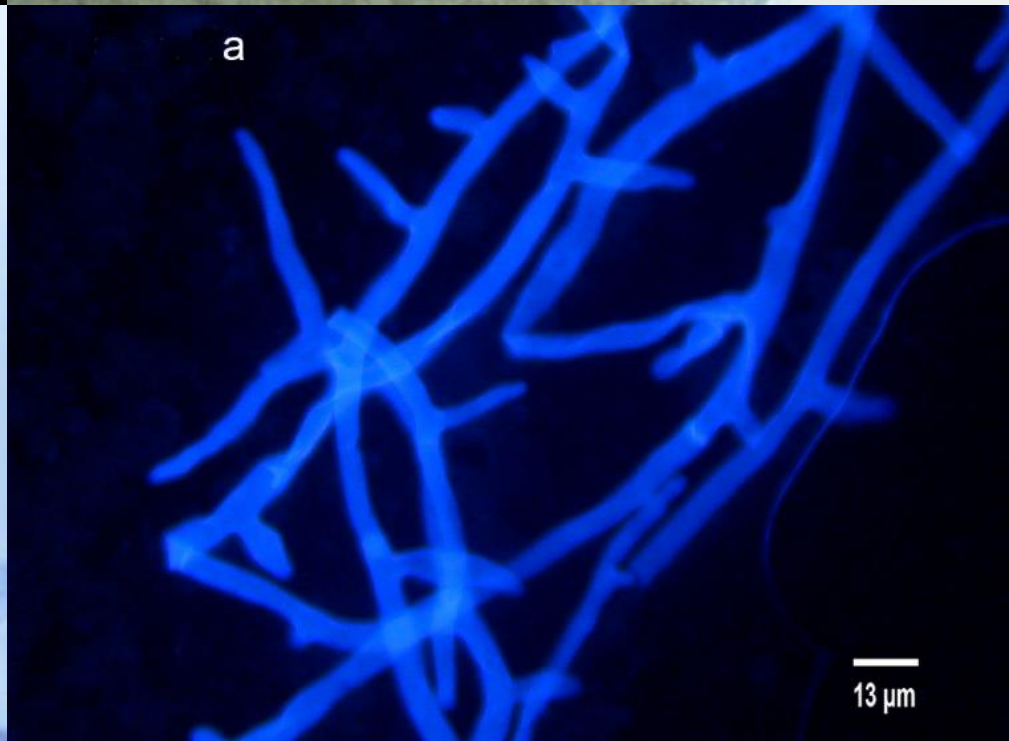
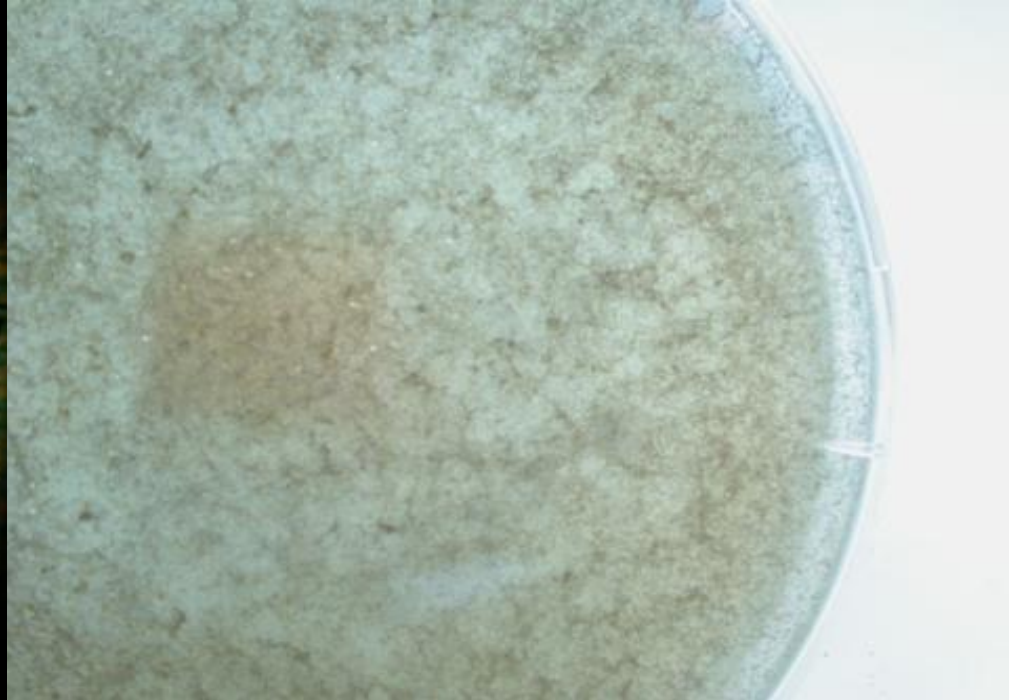
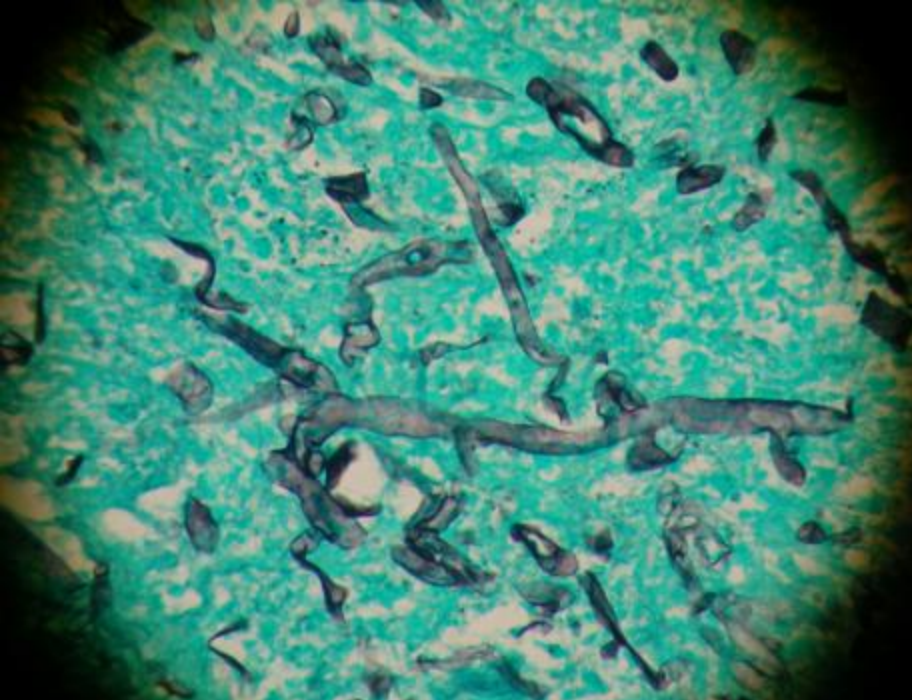
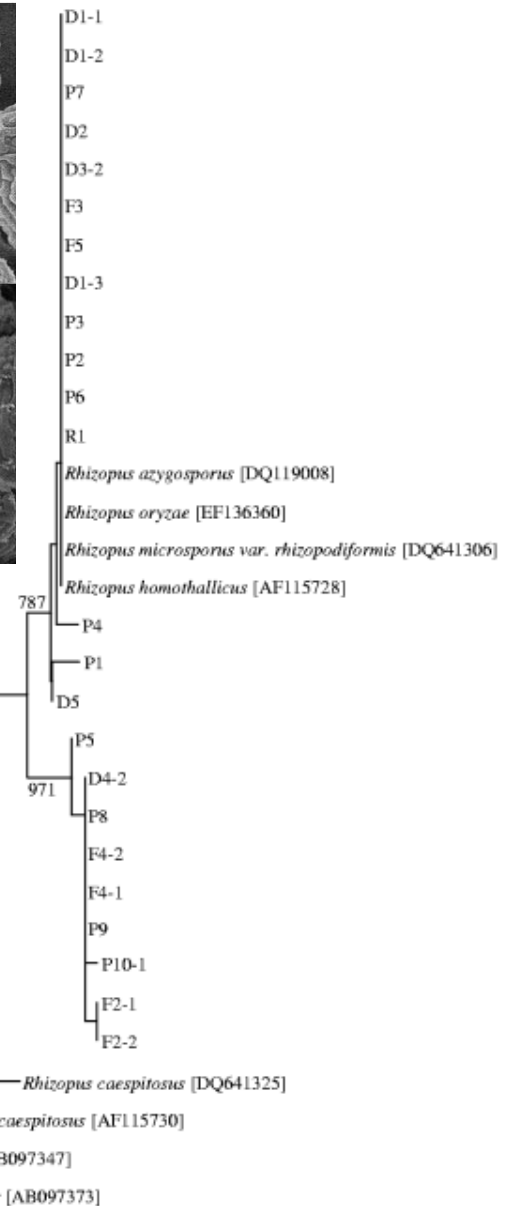
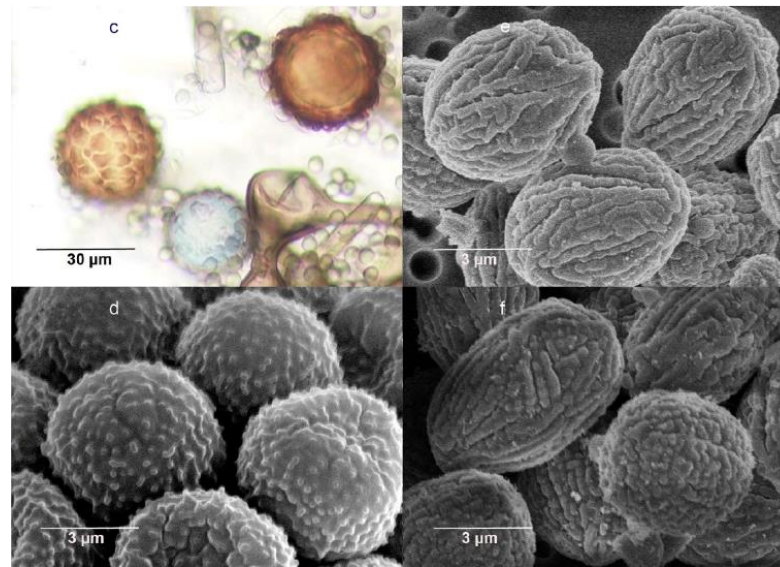


TABLE 3. Screening of food and drug samples for *Rhizopus microsporus* group

Item (n <sup>a</sup> )	Description of sample (total no. and site of collection) <sup>b</sup>
Fresh fruits (2)	Apple (1 from kitchen) Orange (1 from kitchen)
Ready-to-eat food (179)	Additives (14 packs from kitchen), Biscuits (70 packs from kitchen and 12 packs from convenience store)* Cakes (5 from kitchen and 12 from convenience store) Cereals (3 packs from kitchen) Macaroni (1 pack from kitchen) Raisins (1 pack from kitchen) Sandwiches (3 from kitchen and 38 from convenience store)* Juice (2 bottles from kitchen and 1 bottle from convenience store) Milk (15 bottles from kitchen and 2 bottles from convenience store)
Drugs (150)	Acyclovir tablets (3 vials from wards, 14 vials from pharmacy) <b>Allopurinol tablets (5 vials from wards, 11 vials from pharmacy)*</b> Bactidol mouthwash (11 bottles from wards) Chinese herbal medicine (6 bottles from patients) Ciprofloxacin tablets (5 vials from pharmacy) Cotrimoxazole tablets (4 vials from wards, 6 vials from pharmacy) Dexamethasone tablets (6 vials from pharmacy) Esomeprazole (3 vials from wards, 21 vials from pharmacy) Famotidine tablets (1 vial from ward, 8 vials from pharmacy) Gastrocaine (1 vial from ward) Itraconazole tablets (1 vial from ward, 5 vials from pharmacy) Itraconazole syrup (1 vial from ward, 1 vial from pharmacy) Lactulose (1 vial from ward) Paracetamol (3 vials from wards, 11 vials from pharmacy) Phenytoin (2 vials from wards, 11 vials from pharmacy) Potassium syrup (2 vials from wards) Prednisolone (2 vials from wards, 5 vials from pharmacy)

## Outbreak of **intestinal mucormycosis** in hematology/BMT patients due to ***Rhizopus microsporus*** (contaminated **allopurinol** tablets)



# Laboratory Diagnosis of Invasive Fungal Infection in Transplant Recipients: Theory & Practice

Jasper F. W. Chan  
Department of Microbiology  
The University of Hong Kong

Workshop on infections in transplant recipients: prevention, control and management  
Centre for Health Protection / Hospital Authority  
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