The Role of Behavior Science in Infection Control: An Incomplete Science

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Objectives

- Real Life Infection Prevention
- De-implementation
- > Behavioral Science and Infection Prevention
- Conclusions

Real Life in Infection Prevention





Fig I. Devices for decontaminating FFRs. (A) MGS device for decontamination of individual FFRs. (B) Chamber for applying WMH to FFRs. (C) Decontamination of FFRs using UVGI.



Some ICUs Require HCWs to Change Shoes Prior to Entering ICUs: But Ignore Basic HH and Over crowded ICUs



My Mother-in-Law Case

10

Patient developed MRSA/VRE/ESBL CLABSI episodes: Why don't focus on good catheter maintenance practices?

Frequency of Supoptimal and Unnecessary Infection Control Practices in Thailand

| Characteristics | |
|---|------------|
| General | Number (%) |
| Reported unnecessary and suboptimal practices | |
| Not disinfecting connectors/hubs before accessing | 99(49) |
| Use of multi-dose vial | 87(43) |
| Use of central venous cutdown for any CVC insertion | 56(28) |
| Use of 3-way stopcock | 50(25) |
| Routine submission of catheter tip for culture | 43(21) |
| Routine CVC change | 31(15) |
| Femoral CVC insertion in adults | 0(0) |

Apisarnthanarak A, et al. National survey of suboptimal and unnecessary practices. AJIC 2013

De-implementation

LESSONS LEARNT

De-implementation

Evidence-based de-implementation for contradicted, unproven, and aspiring healthcare practices vinay Prasad and John PA loannidis*

Abandoning ineffective medical practices

Part of evidence based medicine

Often takes years to occur

"example is the routine use of gown and glove precautions"

"such resistance to evidence inflates healthcare costs and may distract from alternative strategies"

Medical Reversals

HEALTH



The New York Times

10 Findings That Contradict Medical Wisdom. Doctors, Take Note.

Researchers identified nearly 400 common medical practices and theories that were contradicted by rigorous studies. Here are some of the most notable findings.



De-implementation?



Swiss de-implementation of mammogram program



NEJM 2014

Medical minimalism



Making it easier to focus on what matters in medicine

Time with the patient—talk & guide care

Original Article



The preventable proportion of healthcare-associated infections 2005–2016: Systematic review and meta-analysis

Peter W. Schreiber MD¹, Hugo Sax MD Prof^{1,2}, Aline Wolfensberger MD¹, Lauren Clack PhD¹,

Stefan P. Kuster MD, MSc^{1,2} and Swissnoso^a

| Study | IV, Random, 95% CI | RR (95% CI) | Weight [%] |
|--|---------------------|--|--|
| High income Barchitta (2012) Bull (2011) Chien (2014) Cima (2013) Corcoran (2013) Dyrkom (2012) Frenette (2016) ¹ Frenette (2016) ² Ghuman (2015) Hedrich (2007) | | $\begin{array}{c} 0.54 \ (0.27, \ 1.04) \\ 0.79 \ (0.35, \ 1.78) \\ 0.41 \ (0.18, \ 0.96) \\ 0.33 \ (0.24, \ 0.47) \\ 0.20 \ (0.11, \ 0.35) \\ 0.36 \ (0.26, \ 0.51) \\ 0.51 \ (0.38, \ 0.69) \\ 1.04 \ (0.64, \ 1.69) \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.001 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \ 0.01 \\ 0.01 \ 0.01 \ 0.01 \ 0.01 \ 0.01 \\ 0.01 $ | 3.37 2.84 2.70 3.14 4.76 3.72 4.76 4.97 4.97 |
| Hewitt Hill (20 Hogle Hsu (2 Johnso Keena Le (20 Luffiyy Matser McDor Miyaha Prieto Rauk (2000000000000000000000000000000000000 | ntable from gl | obal data! | |
| Salim (2011) Tanner (2016) Taylor (2017) Trussell (2008) Van der Slegt (2013) Van Kasteren (2005) Wick (2012) Yamamoto (2015) Subtotal (I-squared = 68.8%, <i>P</i> <0.001) | | $\begin{array}{c} 0.43 & (0.25, 0.76) \\ 1.11 & (0.74, 1.66) \\ 0.62 & (0.39, 0.97) \\ 0.44 & (0.21, 0.89) \\ 0.60 & (0.35, 1.03) \\ 0.87 & (0.65, 1.15) \\ 0.72 & (0.53, 0.98) \\ 0.56 & (0.23, 1.35) \\ 0.45 & (0.37, 0.55) \end{array}$ | 3.84 4.50 4.30 3.18 3.91 5.01 4.92 2.60 100.00 |
| Upper middle income Yavuz (2013) Subtotal | $\overrightarrow{}$ | 0.42 (0.26, 0.68) 0.42 (0.26, 0.68) | 100.00 100.00 |
| 0.001 0.01 0.1 | 0.5 1 5 | | |
| Favours intervention | Favours | standard of care | |

Strong recommendation to not performing these interventions for SSI reduction

Pre-operative period: Do not remove patients' hair. If absolutely necessary, use clipper.

Operative period: Laminar airflow should not be used.

Post-operative period: Do not prolong surgical antibiotic prophylaxis in the post-operative period.

General barrier to stop non evidence-based IPC measure

The measures have been already used when the surgeon are young ("We have done this before...")

Skepticism concerning new study results

•A new training is necessary to implement new measures

Sometimes additional cost

Pre-operative period: Do not remove patients' hair. If absolutely necessary, use clipper.

(WHO: STRONG RECOMMENDATION, MODERATE QUALITY OF EVIDENCE)

ALLAGANZI B, ET AL. LANCET INFECT DIS 2016





Do not remove patients' hair (If absolutely necessary, remove with clipper) (WHO: strong recommendation, moderate quality of evidence)

How to overcome such barrier!

- Consensus in IPC committee
- Information for HCWs and patients
- Organize easy access to clippers, stop of buying razor
- Re-organization of hair removal procedure
- •Audit again and again!

Operative period: Laminar airflow should not be used.

THE PANEL SUGGEST THAT LAF SHOULD NOT BE USED TO REDUCE SSIS FOR PATIENTS UNDERGOING TOTAL ARTHROPLASTY SURGERY

(WHO: CONDITIONAL RECOMMENDATION, LOW TO MOD LEVEL QUALITY OF EVIDENCE) ALLAGANZI B, ET AL. LANCET INFECT DIS 2016

Effect of laminar airflow ventilation on surgical site infections: a systematic review and meta-analysis

Peter Bischoff, N Zeynep Kubilay, Benedetta Allegranzi, Matthias Egger, Petra Gastmeier

- No benefit for laminar airflow compared with conventional turbulent ventilation of the operating room in reducing the risk of SSIs in total hip and knee arthroplasties, and abdominal surgery
- Laminar airflow NOT an evidence-based preventive measure to reduce the risk of SSIs
- Equipment should NOT be installed in new operating rooms

| | Laminar | Laminar airflow | | Conventional ventilation | | Odds ratio (95% CI) |
|------------------------------------|---------|-----------------|--------|---------------------------------|--------|---------------------|
| | Events | Total | Events | Total | | |
| Kakwani et al (2007) ³⁹ | 0 | 212 | 9 | 223 | 0.9% | 0.05 (0.00-0.92) |
| Brandt et al (2008) ³⁰ | 242 | 17657 | 99 | 10966 | 16.1% | 1.53 (1.21–1.93) |
| Dale et al (2009) ³¹ | 324 | 45 620 | 260 | 48338 | 17.1% | 1.32 (1.12–1.56) |
| Pedersen et al (2010)35 | 517 | 72 423 | 80 | 8333 | 16-0% | 0.74 (0.59-0.94) |
| Breier et al (2011) ³⁷ | 356 | 29530 | 77 | 11682 | 15.9% | 1.84 (1.44-2.36) |
| Hooper et al (2011) ³⁸ | 25 | 16990 | 21 | 34 4 95 | 10.1% | 2.42 (1.35-4.32) |
| Namba et al (2012) ³³ | 46 | 8478 | 109 | 22 013 | 14.2% | 1.10 (0.78-1.55) |
| Song et al (2012) ³⁶ | 34 | 2037 | 16 | 1149 | 9.8% | 1.20 (0.66–2.19) |
| Total | 1544 | 192 947 | 671 | 137 199 | 100-0% | 1.29 (0.98–1.71) |

Events are number of surgical site infections. Test for heterogeneity showed very high inconsistency between the studies ($l^2=83\%$).

Table 3: Meta-analysis comparing the risk of deep surgical site infection after total hip arthroplasty for laminar airflow vs conventional ventilation

| | Laminar | airflow | Conventi | Conventional ventilation | | Odds ratio (95% CI) |
|-----------------------------------|---------|---------|----------|--------------------------|--------|---------------------|
| | Events | Total | Events | Total | | |
| Miner et al (2007) ⁴⁰ | 15 | 3513 | 13 | 4775 | 11.4% | 1.57 (0.75-3.31) |
| Brandt et al (2008) ³⁰ | 55 | 5993 | 22 | 3403 | 16.5% | 1.42 (0.87–2.34) |
| Breier et al (2011) ^y | 93 | 14456 | 36 | 6098 | 19.1% | 1.09 (0.74–1.60) |
| Hooper et al (2011) ³⁸ | 27 | 13994 | 23 | 22 832 | 15.1% | 1.92 (1.10-3.34) |
| Song et al (2012) ³⁶ | 27 | 2151 | 23 | 937 | 15.0% | 0.51 (0.29-0.89) |
| Namba et al (2013) ³⁴ | 105 | 16693 | 299 | 39523 | 22.9% | 0.83 (0.66–1.04) |
| Total | 322 | 56 800 | 416 | 77568 | 100.0% | 1.08 (0.77–1.52) |

Events are number of surgical site infections. Test for heterogeneity showed high inconsistency between the studies $(l^2=71\%)$.

Table 4: Meta-analysis comparing the risk of deep surgical site infection after total knee arthroplasty for laminar airflow vs conventional ventilation

Therefore, it is important to focus on IPC with very good evidence and still are not completely implemented

Barriers for stopping constructing LAF in new operating room

- Not believing the evidence: end point of studies: air contamination vs. SSI rates
- Local health authority still require LAF
- National guideline still require LAF
- Industry is interested to sell LAF ventilation system

How to overcome the barrier to stop installation of LAF

- Consensus in the IPC committee
- Interaction with the local health authorities
- Develop national consensus
- Ongoing search for optimal ventilation system

Do not prolong surgical AB prophylaxis in the post-operative period

THE PANEL RECOMMEND AGAINST THE PROLONGATION OF SAP AFTER COMPLETION OF THE OPERATION TO PREVENT SSIS

(WHO: STRONG RECOMMENDATION, MODERATE QUALITY OF EVIDENCE)

The gut microbiome and the mechanism of surgical infection

J. C. Alverdy¹, S. K. Hyoju¹, M. Weigerinck² and J. A. Gilbert¹



b Severe surgical injury

refaunate and provide competitive exclusion to any transient pathobiota. **b** When surgical injury is severe and prolonged, causing a delay in resumption of normal foodstuff, refaunation of the microbiome can become impaired. This may result in a period of vulnerability to colonizing pathobiota, the consequences of which can be a loss of systemic immune function from lack of tonic immune stimulation by the microbiota. PSA, polysaccharide A; DC, dendritic cell, TLR, toll-like receptor

Association of duration and type of surgical prophylaxis with antimicrobial associated adverse events

Multi-center, national, retrospective cohort study

- All patients within national VA healthcare system who underwent cardiac, orthopedic total joint replacement, colorectal and vascular procedures from 2008-2013
- •4 groups of AB prophylaxis: <24 hr, 24-48 hr, 48-72 hr, >72 hr
- Multi-variate analysis for 3 endpoints: SSI, acute kidney injury and CDI

JAMA Surgery | Original Investigation

Association of Duration and Type of Surgical Prophylaxis With Antimicrobial-Associated Adverse Events

Westyn Branch-Elliman, MD, MMSc; William O'Brien, MS; Judith Strymish, MD; Kamal Itani, MD; Christina Wyatt, MD; Kalpana Gupta, MD, MPH



Barriers for stopping prolonged SAP

- Safety of individual patient vs. safety of all patients
- Not believing the evidence
- •Not believing that this is causing side effects (in general and in individual patients)

How to overcome barrier of stop prolonged perioperative prophylaxis

- Hospital should establish multi-disciplinary antimicrobial management team
- Regular audits and feedback to surgeons
- •Use electronic stop order
- Education about side effects of prolonged antibiotic prophylaxis

Summary

- De-implementation of non evidence-based IPC measures is also very difficult
- Education about the correct IPC measures is usually not enough
- Routine audits of IPC measures with appropriate feedback are useful to stop the use of these measures
- Some behavioral-targeted innovation are needed

Hand Hygiene Behavior: Translating Behavioral Research into Infection Control Practice

Thanee Eiamsitrakoon, MD;¹ Anucha Apisarnthanarak, MD;² Winitra Nuallaong, MD, MSc;³ Thana Khawcharoenporn, MD, MSc;² Linda M. Mundy, MD, PhD⁴

BACKGROUND. In 2009, the World Health Organization (WHO) recommended "My Five Moments for Hand Hygiene" (5MHH) to optimize hand hygiene (HH). Uptake of these recommendations by healthcare workers (HCWs) remains uncertain.

METHODS. We prospectively observed HCW compliance to 5MHH. After observations, eligible HCWs who consented to interviews completed surveys on factors associated with HH compliance based on constructs from the transtheoretical model of behavioral change (TTM) and the theory of planned behavior (TPB). Survey results were compared with observed HCW behaviors.

RESULTS. There were 968 observations among 123 HCWs, of whom 110 (89.4%) were female and 63 (51.3%) were nurses. The mean HH compliance for all 5MHH was 23.2% (95% confidence interval [CI], 18.1%–28.3%) by direct observation versus 82.4% (95% CI, 79.9%–84.9%) by self report. The HCW 5MHH compliance was associated with critical care unit encounters (P < .05), medicine unit encounters (P = 0.08, P < .001), immunocompromised patient encounters (P < .05), and HCW prioritized patient advocacy (P < .001). Self-reported TTM stages of action or maintenance (P = .08) and the total TPB behavior score correlated with observed 5MHH (r = 0.21, P = .02) and with self-reported 5MHH compliance (r = 0.53, P < .001).

CONCLUSION. Observed HCW compliance to 5MHH was associated with the type of hospital unit, type of provider-patient encounter, and theory-based behavioral measures of 5MHH commitment.

Infect Control Hosp Epidemiol 2013;34(11):000-000

The 2 behavior Theorem

The Transtheoretical Model of Health Behavior Change (TTM)



TTM Stages of Readiness

Pre-contemplation: a HCW not intending to change commitment to HH in the next 6 mos

Contemplation: a HCW who self reported awareness of potential commitment to HH in the next 6 mos

Preparation: a HCW who intended to practice 5MHH within the next month

Action: a HCW who had committed to 5MHH within the past 6 months

Maintenance: a HCW who continued to commit to 5MHH in at least 6 mos.

Prochaska JO, The transtheoretical model of health behavior change. Am J Health Promot. 1997

• Ajzen I. The theory of planned behavior. Organizational Behavior and Human Decision Processes. 1991

Methods: TPB

The 2 behavior Theorem

| Behavioral domain | Variable | Item No. | Question | Score | Alpha coefficient |
|--------------------------------|-------------------------|-------------|--|---------------------|----------------------|
| Behavioral belief | | 5 | Do you think hand hygiene in following situations* contributes to healthcare associated infection reduction? | Unipolar 1 to 7 | 0.76 |
| Attitude - | Outcome evaluation | 1 | How do you think, if healthcare associated infection is reduced? | Bipolar -3 to +3 | n/a^{\dagger} |
| Normative Subjective belief | | 5 | How do you think boss/ co-workers want you to do hand hygiene in following situations? | Bipolar -3 to +3 | 0.86 |
| Norm | Motivation to comply | 1 | Will you comply with hand hygiene practice if boss/co W workers want you to do so? | Unipolar 1 to 7 | n/a^{\dagger} |
| Perceive | Control belief | 5 | Do you think hand hygiene in following situations* is difficult or easy? | Bipolar -3 to +3 | 0.68 |
| Control | Power of control | 1 | Do you think you can increase hand hygiene compliance? | Unipolar 1 to 7 | n/a† |

• Prochaska JO, The transtheoretical model of health behavior change. Am J Health Promot. 1997

• Ajzen I. The theory of planned behavior. Organizational Behavior and Human Decision Processes. 1991

Study cohort

| Characteristics | N = 123 |
|---|------------------|
| Gender: Female | 110 (89.4) |
| Age (years): mean; (95% CI) | 26.9 (26.1-27.7) |
| Occupation | |
| Nurse | 63 (51.2) |
| Nurse assistant | 29 (23.6) |
| Physician | 16 (13) |
| Others ^a | 15 (12.2) |
| Duration of work (years): mean ((95% CI) | 4.1 (3.3-4.9) |
| Ever had experienced for hand-hygiene education | 67 (54.5) |

Factors associated with hand hygiene compliance by TTM

| Factor | aOR (95% CI) | Р |
|--|------------------|---------|
| Working in critical care units | 1.5 (1.07-2.11) | 0.01 |
| Working in Medicine Department | 1.87 (1.31-2.67) | 0.08 |
| Caring for immunocompromised patients | 2.1 (1.35-3.25) | 0.001 |
| Considering patient's advantage as first priority [*] | 2.27 (1.62-3.2) | < 0.001 |
| Being in stage of action or maintenance | 1.77 (0.91-2.45) | 0.08 |

**If your hands are clean, who do you think benefits most, as a first priority? The prioritized ranking order from 1-6 among 6 groups (self, patient, respondent's family, patient's family, coworkers, boss)

Hand hygiene compliance by TTM Stages of Change



Factors associated with hand hygiene compliance by TPB

| Factors | aOR (95% CI) | Р |
|--|------------------|---------|
| Working in critical care units | 1.47 (1.05-2.07) | 0.02 |
| Working in Medicine Department | 1.93 (1.35-2.74) | < 0.001 |
| Caring for immunocompromised patients | 2.17 (1.41-3.34) | <0.001 |
| Considering patient's advantage as first | 2.12 (1.49-3.04) | < 0.001 |
| priority | | |
| Extremely positive attitude toward five | 1.49 (1.01-2.20) | 0.04 |
| moments hand hygiene [†] | | |

[†] Attitude score in the 1st interval from maximal end.

Relationship between TPB domains and TTM stages of change



What do we learn?

Behavioral science is complex and require some understanding in different culture.

Healthcare workers' behavior significantly impact on hand hygiene adherence.

HCWs at different stage of readiness are subject to target with different intervention!

Questions remains: Which behavioral theory work best!

ORIGINAL ARTICLE

Behavior-Based Interventions to Improve Hand Hygiene Adherence Among Intensive Care Unit Healthcare Workers in Thailand



| Characteristic | S1 (n = 42) | S2 $(n = 41)$ | S3 $(n = 42)$ |
|--|------------------|----------------|------------------|
| Female sex | 38 (90) | 36 (87) | 37 (88) |
| Age, mean, y | 26.5 | 28.7 | 27.4 |
| Occupation | | | |
| Nurse | 25 (60) | 24 (58.5) | 24 (57.1) |
| Nurse assistant | 8 (20) | 9 (22) | 9 (21.4) |
| Physician | 5 (12) | 4 (9.7) | 5 (12) |
| Other ^a | 4 (8) | 4 (9.7) | 4 (8) |
| Duration of work, mean (range), y | 5.3 (2.1-6.9) | 5.4 (2.3-6.7) | 5.4 (2.1-6.8) |
| Observed HH opportunity/HCW, mean (range) | 15.1 (12.4–16.9) | 15.4 (12-16.8) | 15.2 (12.1–16.7) |
| Observed HH moments/HCW, mean (range) | 4 (3-5) | 4.2 (3.2–5) | 4.3 (3.1–5) |
| Self-report of TTM stage of commitment to HH | | | |
| Contemplation | 3 (7) | 2 (5) | 3 (7) |
| Preparation | 7 (17) | 7 (15) | 7 (14) |
| Action | 9 (21) | 8 (21) | 9 (21) |
| Maintenance | 23 (55) | 24 (59) | 23 (55) |

TABLE 2. Comparison of Demographic and Behavioral Characteristics of 3 Groups of Intensive Care Units With Healthcare Workers (HCWs) Assigned to a Group Intervention in Five Moments for Hand Hygiene

| Observed HH Adherence | Preintervention (n = 968 opportunities) | Postintervention (n = 968 opportunities) | <i>P</i> Value |
|--|--|---|----------------|
| Assigned HH adherence group, % | | | |
| S1 | 68.0 | 71.0 | .84 |
| S2 | 65.0 | 85.0 | .02 |
| S3 | 66.0 | 95.0 | .005 |
| Observed 5MHH adherence, % | | | .04 |
| Before touching the patient (moment 1) | 53.1 | 71.0 | |
| Before a clean or aseptic procedure (moment 2) | 39.9 | 63.0 | |
| After body fluid exposure risk (moment 3) | 34.6 | 79.4 | |
| After touching the patient (moment 4) | 86.4 | 96.9 | |
| After touching the patient's surroundings (moment 5) | 80.2 | 90.1 | |
| Self-reported TTM stage of commitment to HH | | | .02 |
| Contemplation $(n = 8)$ | 21.0 | 54.5 | |
| Preparation $(n=21)$ | 25 | 75.1 | |
| Action $(n = 26)$ | 79.9 | 91.9 | |
| Maintenance $(n = 70)$ | 86.5 | 96.9 | |

TABLE 3. Observed Adherence to Five Moments for Hand Hygiene (5MHH) Among Intensive Care Unit Healthcare Workers

Do the same theory work to enhance doctor to comply with ASP?

Introduction



The Transtheoretical Model of Behavioral Change

Prochaska JO, Velicer WF. The transtheoretical model of health behavior change. Am J Health Promot. 1997;12(1):38-48.

Methods and Data collections

Commitment to hand hygiene stage of change

• Precontemplation

Prescriber who did not want to follow, or did find applicable, the antibiotic regimen recommended per international/local guidelines for treatment and/or surgical prophylaxis

• Contemplation

Prescribers who may follow international/local guidelines for treatment of organspecific infection and/or surgical prophylaxis in the next 90 days

• Preparation

Prescribers who may follow international/local guidelines for treatment of organspecific infection and/or surgical prophylaxis in the next 30 days

• Action

Prescribers who already follow international/local guidelines for treatment of organspecific infection and/or surgical prophylaxis for <6 months

• Maintenance

Prescribers who already follow international/local guidelines for treatment of organ-specific infection and/or surgical prophylaxis for ≥ 6 months

Antibiotic prescribing behavioral assessment of physicians involved in surgical care

Kittiya Jantarathaneewat PharmD¹, Siriththin Chansirikarnjana MD², Nattapong Tidwong PharmD³, Linda M. Mundy MD, PhD⁴ and Anucha Apisarnthanarak MD² ^(D)

Infection Control & Hospital Epidemiology (2019), **40**, 1077–1086 doi:10.1017/ice.2019.185

 Table 2. Multivariate analysis of appropriate antibiotic prescribing behavior by providers of 92 patients in peri-operative care.

| Multivariate analysis | aOR | 95%CI | P value |
|---|------|--------------|---------|
| Overall appropriate antibiotic prescriptions | | | |
| Action plus Maintenance stages in TTM | 7.95 | 2.09 - 30.31 | .002 |
| Considering patients as first priority | 4.03 | 1.06 - 15.33 | .04 |
| Neurosurgical procedure | .14 | .0290 | .04 |
| Surgical prophylaxis | .15 | .00453 | .003 |
| Appropriate antibiotic prescriptions for treatment | | | |
| Action plus Maintenance stages in TTM | 9.8 | 1.86 - 51.73 | .007 |
| Appropriate antibiotic prescriptions for surgical prophylaxis | | | |
| Action plus Maintenance stages in TTM | 7.0 | 1.14 - 42.97 | .04 |
| | | | |

Table 1. Baseline Characteristics Among 92 Prescriptions in Perioperative Care Who Were Prescribed Antibiotics for Treatment or Prophylaxis

| | Ov | erall (n = 92) | | Treatment (n = 62) Surgical Prophylaxis (n | | | Prophylaxis (n = 30 |) | |
|---|------------------------|---------------------------|-------------------|--|-------------------------|-------------------|-------------------------|---------------------------|-------------------|
| Variable | Appropriate $(n = 70)$ | Inappropriate (n = 22) | <i>P</i> Value | Appropriate $(n = 54)$ | Inappropriate $(n = 8)$ | <i>P</i> Value | Appropriate (n = 16) | Inappropriate (n = 14) | <i>P</i> Value |
| Age (mean ± SD) | 27.10 ± 2.16 | 30.23 ± 8.76 | .007 | 27.50 ± 2.27 | 31.63 ± 10.21 | .01 | 25.75 ± .86 | 29.43 ± 8.12 | .08 |
| Sex (male) | 42 (60) | 14 (63.6) | .81 | 32 (59.3) | 4 (50) | .71 | 6 (37.5) | 4 (28.6) | .71 |
| Level of training | | | .04 | | | .07 | | | .26 |
| Extern and Intern | 27 (38.6) | 10 (45.5) | | 17 (31.5) | 4 (50) | | 10 (62.5) | 6 (42.9) | |
| Residency | 41 (58.6) | 8 (36.4) | | 35 (64.8) | 2 (25) | | 6 (37.5) | 6 (42.9) | |
| Fellow and staff | 2 (2.9) | 4 (18.2) | | 2 (3.7) | 2 (25) | | 0 (0) | 2 (14.3) | |
| Antibiotic for treatment | 54 (77.1) | 8 (36.4) | .001 | | | | | | |
| Urinary tract infection | 10 (18.5) | 2 (25) | .65 | 10 (18.5) | 2 (25) | .65 | | | |
| Intraabdominal infection | 9 (16.7) | 2 (25) | .62 | 9 (16.7) | 2 (25) | .62 | | | |
| Pneumonia | 16 (29.2) | 2 (25) | 1.00 | 16 (29.2) | 2 (25) | 1.000 | | | |
| Other ^a | 15 (27.8) | 2 (25) | 1.00 | 15 (27.8) | 2 (25) | 1.000 | | | |
| Antibiotic for surgical prophylaxis | 16 (22.9) | 14 (63.6) | .001 | N/A | N/A | N/A | 16 (22.9) | 14 (63.6) | .001 |
| Patterns of antibiotic use | | | | | | | | | |
| First-generation cephalosporins | 13 (18.6) | 7 (31.8) | .24 | | | | 13 (81.3) | 7 (50) | .12 |
| Third-generation cephalosporins | 16 (22.9) | 4 (18.2) | .77 | 14 (25.9) | 2 (25) | 1.0 | 2 (12.5) | 2 (12.5) | 1.00 |
| BLBIs | 20 (28.6) | 1 (4.5) | .02 | 20 (37) | 1 (12.5) | .25 | | | |
| Carbapenems | 6 (8.6) | 4 (18.2) | .23 | 6 (11.1) | 3 (37.5) | .08 | 0 (0) | 1 (7.1) | .47 |
| Vancomycin | 2 (2.9) | 0 (0) | 1.00 | 1 (1.9) | 0 (0) | 1.00 | 1 (6.3) | 0 (0) | 1.00 |
| Combination antibiotics ^b | 8 (11.4) | 3 (13.6) | .72 | 8 (14.8) | 0 (0) | .58 | 0 (0) | 3 (21.4) | .09 |
| Other ^c | 5 (7.1) | 3 (13.6) | .39 | 5 (9.3) | 2 (25) | .22 | 0 (0) | 1 (7.1) | .47 |
| Antibiotic de-escalation | 46 (85.2) | 4 (50) | .04 | 46 (85.2) | 4 (50) | .039 | | | |
| Total TPB score (mean ± SD) | 41.34 ± 5.84 | 42 ± 3.30 | .62 | 40.83 ± 6.02 | 42.25 ± 2.87 | .52 | 43.06 ± 5.01 | 41.86 ± 3.61 | .46 |
| Attitude | 13.57 ± 1.55 | 12.71 ± 1.54 | .37 | 13.65 ± 1.64 | 12.50 ± 1.51 | .07 | 13.31 ± 1.20 | 13.64 ± 1.45 | .50 |
| Subjective norm | 21.17 ± 4.02 | 21.14 ± 2.64 | .97 | 20.93 ± 4.15 | 21.88 ± 3.09 | .54 | 22 ± 3.56 | 20.71 ± 2.37 | .26 |
| Perceived behavioral control | 6.60 ± 2.43 | 7.64 ± 1.39 | .06 | 6.26 ± 2.52 | 7.88 ± 1.36 | .08 | 7.75 ± 1.69 | 7.50 ±1.35 | .66 |
| TTM stage of change | | | .001 | | | .002 | | | .17 |
| Precontemplation | 4 (5.7) | 8 (36.4) | .001 | 2 (3.7) | 4 (50) | .002 | 2 (12.5) | 4 (28.6) | .38 |
| Contemplation | 2 (2.9) | 2 (9.1) | .24 | 2 (3.7) | 0 (0) | 1.00 | 0 (0) | 2 (14.3) | .21 |
| Preparation | 1 (1.4) | 1 (4.5) | .42 | 1 (1.9) | 0 (0) | 1.00 | 0 (0) | 1 (7.1) | .47 |
| Action | 5 (7.1) | 0 (0) | .33 | 4 (7.4) | 0 (0) | 1.00 | 1 (6.3) | 0 (0) | 1.00 |
| Maintenance | 58 (82.9) | 11 (50) | .004 | 45 (83.3) | 4 (50) | .05 | 13 (81.3) | 7 (50) | .12 |
| Consider patients as first priority | 593 (75.7) | 12 (54.5) | .006 | 39 (72.2) | 4 (50) | .24 | 14 (87.5) | 8 (57.1) | .10 |
| Lack of rationale ^d | 4 (5.7) | 5 (22.7) | .03 | 3 (5.6) | 2 (25) | .12 | 1 (6.3) | 3 (21.4) | .32 |
| Recovery | 69 (98.6) | 20 (90.9) | .14 | 53 (98.1) | 6 (75) | .04 | 16 (100) | 14 (100) | |

Comments from 2 reviewers

Reviewer #1: The role of behaviour in prescribing antimicrobials is controlled by a complex interplay of various factors, including one's medical background, age; friends in the medical world; place of training; institution where one studied medicine or completed specialization; friends or contacts in the pharmaceutical industry; the panel of antimicrobials listed in the hospital formulary; and one's own biases. Thus, changing behaviour in the prescribing world is basically different from, say, policies devised to enhance better hand hygiene. More than that, modification of prescribing practices among surgical care providers is often blind empiricism rather than prescribing principles recommended by Western-based guidelines. This is particularly true for Thailand, Vietnam, and countries in southern Africa and south America.

Reviewer #2: Why didn't the authors examine a more common practice (say orders for and discontinuation of prophylactic antibiotics)? It would seem that such an approach would provide many more opportunities to assess the impact of behavior characteristics, consistency, level of training, type of procedure, etc. on antibiotic prescribing.

Conclusions

•Several practices need implementation science, while many others require deimplementation science.

•The myth behind the success is perhaps based on the interventions to improve HCWs behaviors.

•While behavioral sciences is complex and do work in improve certain aspects of infections prevention (e.g., HH), it remains to be seen whether which theory and implementation strategy work best among HCWs.

•Innovative idea to adapt behavioral science into real practices will required input of colleagues from different specialties (e.g., psychiatrist, behavior science specialist).

Thank you very much for your attention!

Introduction

Study objective was to evaluate factors associated with five moments hand hygiene compliance and the role of behavior in commitment to hand hygiene

The Transtheoretical Model of Health Behavior Change (TTM)*

- 6 stages in Stages of Change construct
- Used in assessment of several health behaviors *but* not hand hygiene

The Theory of Planned Behavior (TPB)**

- Has been used in hand hygiene
- Correlated with hand hygiene compliance

Methods

Setting: Thammasat University Hospital, a 650-bed tertiary care

hospital in central Thailand

Study design and data collection

• From January 1st to December 31st, 2012

| Data | Contents | |
|--------------------|---|---|
| Random observation | HH performance based on 5MHH Patient characteristics | Adapt from WHO HH observation form * |
| Interview | Participants' demographics Self-reported HH compliance Opinion about HH | Created and adapted from previous study ** |

* World Health Organization. Evaluation and feedback tool: Observation form.

** Pittet D. et al. Nurses and physicians' perceptions of the importance and impact of healthcare-associated infections and hand hygiene, 2009

Results: Comparisons by 5MHH

Compliance to 5MHH = 18.8% (182/968 opportunities)

| Hand hygiene moment | | Direct observations | | HCW self-report |
|---------------------|-------------------------------------|---------------------|------------------|------------------|
| | | No. | Compliance | Compliance |
| | | | mean % (95% CI) | mean % (95% CI) |
| 1 | Before touching the patient | 373 | 17.9 (11.9-23.8) | 69.3 (65.5-73.1) |
| 2 | Before a clean or aseptic procedure | 91 | 16.3 (6.4-26.3) | 82.5 (78.7-86.3) |
| 3 | After body fluid exposure risk | 156 | 19.2 (11.2-27.2) | 97 (95.2-98.7) |
| 4 | After touching the patient | 257 | 38.8 (30.9-46.7) | 87.5 (84.7-90.4) |
| 5 | After touching the patient's | 91 | 21.9 (12.1-31.8) | 75.9 (72.1-79.6) |
| | surroundings | | | |
| Total | | 968 | 23.2 (18.1-28.3) | 82.4 (79.9-84.9) |
| | | | | |