

Preventing Ventilator-Associated Pneumonia: Review of the Evidence

Hong Kong Symposium on Prevention of Healthcare-associated Infections
in Hospitals and Community Institutions

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Disclosures

- **Grant funding**
 - Centers for Disease Control and Prevention
 - Massachusetts Department of Public Health
- **Royalties**
 - UpToDate

Ventilator-associated pneumonia

- Affects ~5-10% of ventilated patients
- Increases ICU length of stay by ~4-7 days
- Increases hospital length of stay by ~14 days
- Crude mortality rate 30-50%
- Attributable mortality 8-12%
- Adds ~\$10,000 to \$40,000 to cost of hospital stay

Safdar et al, *Crit Care Med* 2005; 33:2184

Tejerina et al, *J Crit Care* 2006; 21:56

Muscedere et al, *J Crit Care* 2008;23:5-10

Eber et al, *Arch Intern Med* 2010;170:347-353

Nguile-Makao et al, *Intensive Care Med* 2010;36:781-9

Melsen et al, *Lancet Infect Dis* 2013;13:665-671

Kollef et al., *Infection Control Hosp Epidemiol* 2012;33:250-256

Ohannessian et al. *Crit Care Med* 2018;46:1093-1098

A close-up photograph of a woman's face, showing her eyes, nose, and mouth. She has a serious expression. A medical probe or endoscope is visible in the lower left corner, pointing towards her mouth. The background is dark.

VAP?

NOT ON MY WATCH.

from doctorrw.blogspot.com



Guidelines for Preventing Health-Care–Associated Pneumonia, 2003



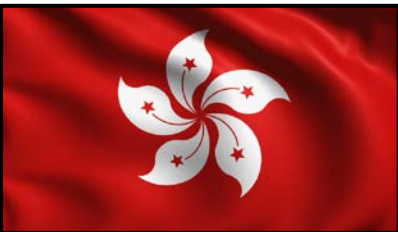
Defining, treating and preventing hospital acquired pneumonia: European perspective



Comprehensive evidence-based clinical practice guidelines for ventilator-associated pneumonia: Prevention[☆]



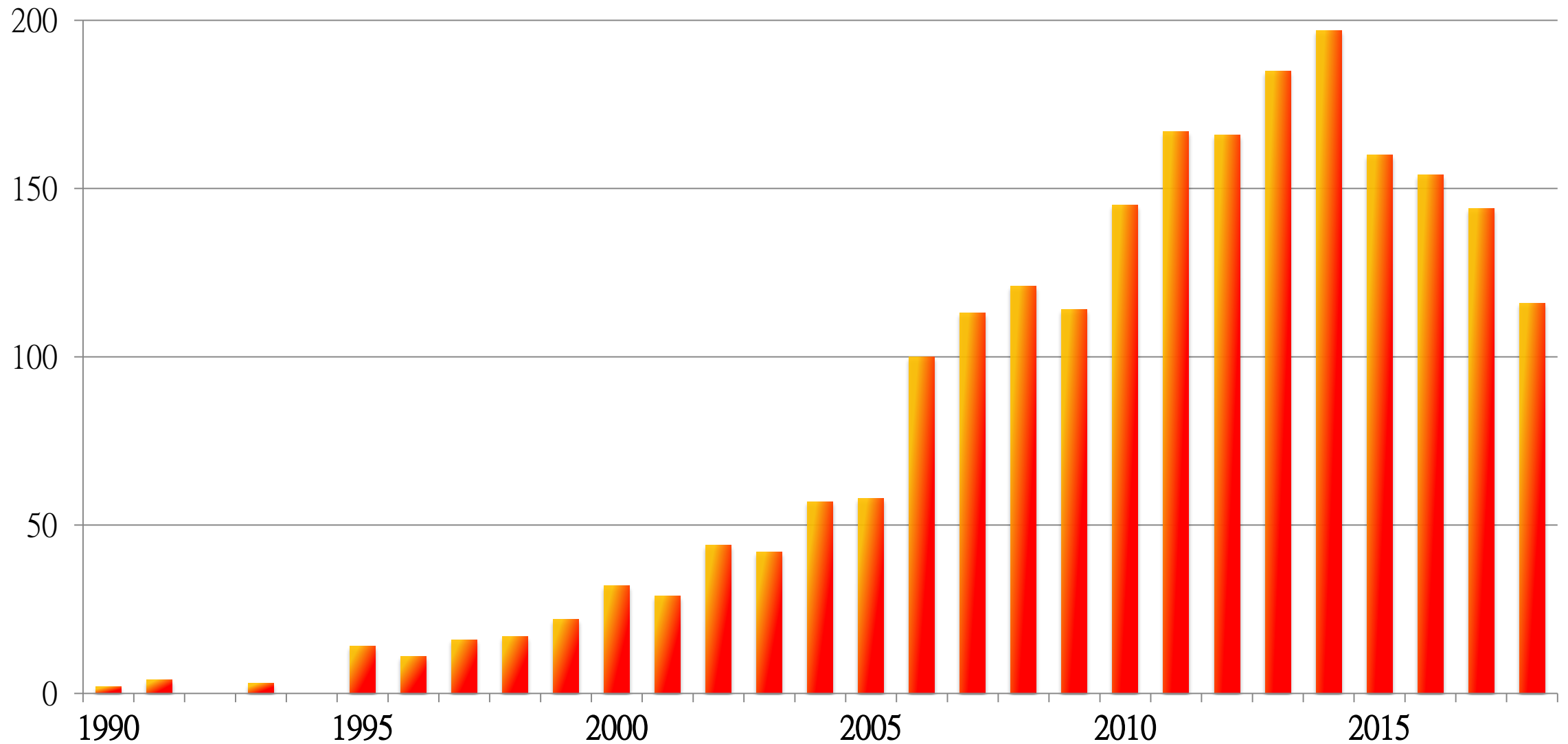
Strategies to Prevent Ventilator-Associated Pneumonia
in Acute Care Hospitals



Recommendations on Prevention of
Ventilator-Associated Pneumonia

Count of VAP Prevention Publications by Year

PubMed, 1990-2018



Potential Strategies to Prevent VAP

1. Avoid intubation
 2. Minimize sedation
 3. Daily interruption of sedation
 4. Spontaneous breathing trials
 5. Early mobility
 6. Head of bed elevation
 7. Trendelenburg position
 8. Subglottic secretion drainage
 9. Maintain ETT cuff pressure
 10. Tapered endotracheal cuffs
 11. Ultrathin polyurethane cuffs
 12. Avoid inhalers
 13. Closed suctioning systems
 14. Avoid patient transport
 15. Tight glycemic control
 16. Improve hand hygiene
 17. Regular oral care
 18. Toothbrushing / scaling
 19. Oral care with chlorhexidine
 20. Oral decontamination
 21. Digestive decontamination
 22. Use probiotics
 23. Early enteral feeding
 24. Acidify gastric contents
 25. Avoid gastric distention
 26. Silver-coated ETT tubes
 27. Mucous shaver
 28. Early tracheostomy
 29. Change vent circuits only when soiled
 30. Saline instillation prior to suctioning
 31. Non-invasive positive pressure ventilation
 32. High flow O2 by nasal cannula
 33. Prophylactic antibiotics
 34. Even to negative fluid balance
 35. Minimize blood transfusions
 36. Avoid paralytics
 37. Conduct VAP surveillance
 38. Educate staff
 39. Provide feedback to staff on rates and processes
 40. Bundle interventions
-

Strategic Framework to Prevent VAP

Avoid intubation if possible

- High flow O2 by nasal cannula
- Non-invasive positive pressure ventilation

Minimize duration of intubation

- Minimize sedation
- Spontaneous awakening trials
- Spontaneous breathing trials
- Early mobility

Reduce colonization of the aerodigestive tract

- Regular oral care
- Oral antiseptics
- Probiotics
- Oral / digestive decontamination

Minimize aspiration of secretions around endotracheal tube cuff

- Head of bed elevation
- Subglottic secretion drainage
- Maintain cuff pressure
- Novel cuff materials & shapes

Minimize contamination of equipment











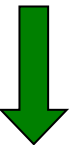









- Silver-coated endotracheal tubes
 - Mucous shaver
 - Change vent circuits only when soiled
-

How do we choose from this list?

What works?

What should we prioritize?

The VAP Prevention Paradox

	VAP Rates	Vent Days	ICU Days	Hospital Days	Death
Oral care with chlorhexidine					
Silver-coated endotracheal tubes					
Subglottic secretion drainage					
Head-of-bed elevation					

Many strategies lower VAP rates but have no
impact on other outcomes!

Why the mismatch?

Reasons for the Prevention Paradox

VAP diagnosis is subjective

The case of oral care with chlorhexidine

VAP diagnosis is non-specific

The case of silver-coated ETTs & subglottic secretion drainage

Many VAP studies are under-powered

The case of head of bed elevation

Reasons for the Prevention Paradox

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VAP diagnosis is non-specific

The case of silver-coated ETTs & subglottic secretion drainage

Many VAP studies are under-powered

The case of head of bed elevation

Oral Care with Chlorhexidine

Meta-analysis of Randomized Studies: *lower* VAP rates

Chlorhexidine

De Riso et al (1996) ¹⁸	3	173	9	180	3.8%
Fourrier et al (2000) ¹³	5	30	18	30	7.0%
Houston et al (2002) ²⁰	4	270	9	291	4.4%
MacNaughton et al (2004) ²²	32	91	28	88	14.1%
Grap et al (2004) ¹⁴	4	7	3	5	5.9%
Fourrier et al (2005) ¹⁹	13	114	12	114	8.3%
Bopp et al (2006) ¹⁷	0	2	1	3	0.9%
Koeman et al (2006) ²¹	13	127	23	130	9.9%
Tantipong et al (2008) ²³	5	102	12	105	5.5%
Scannapieco et al (2009) ²⁶	14	116	12	59	8.8%
Bellisimo-Rodriguez et al (2009) ²⁴	16	64	17	69	10.6%
Panchabhai et al (2009) ²⁵	14	88	15	83	9.4%
Subtotal (95% CI)		1184		1157	88.5%

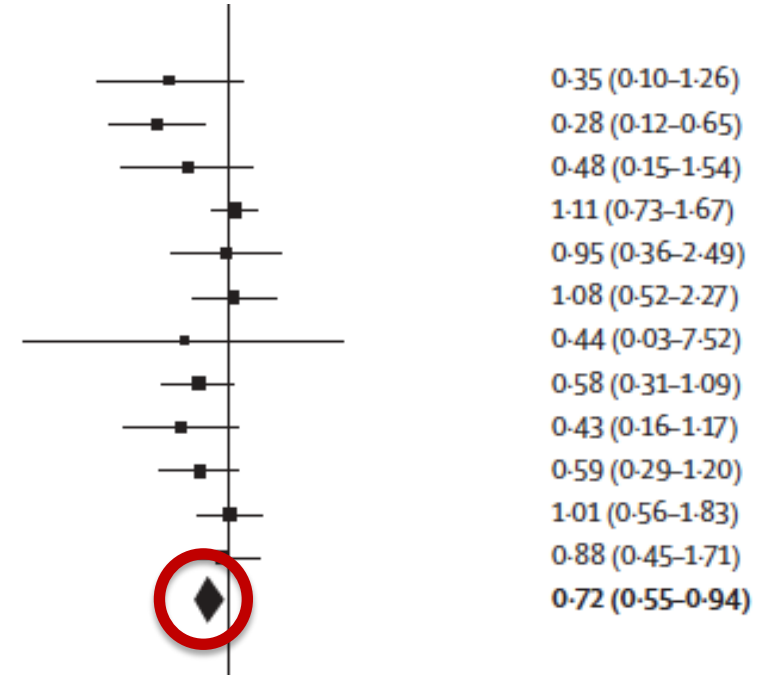
Total events

123

159

Heterogeneity: $\tau^2=0.06$, $\chi^2=15.54$, $df=11$ ($p=0.16$); $I^2=29\%$

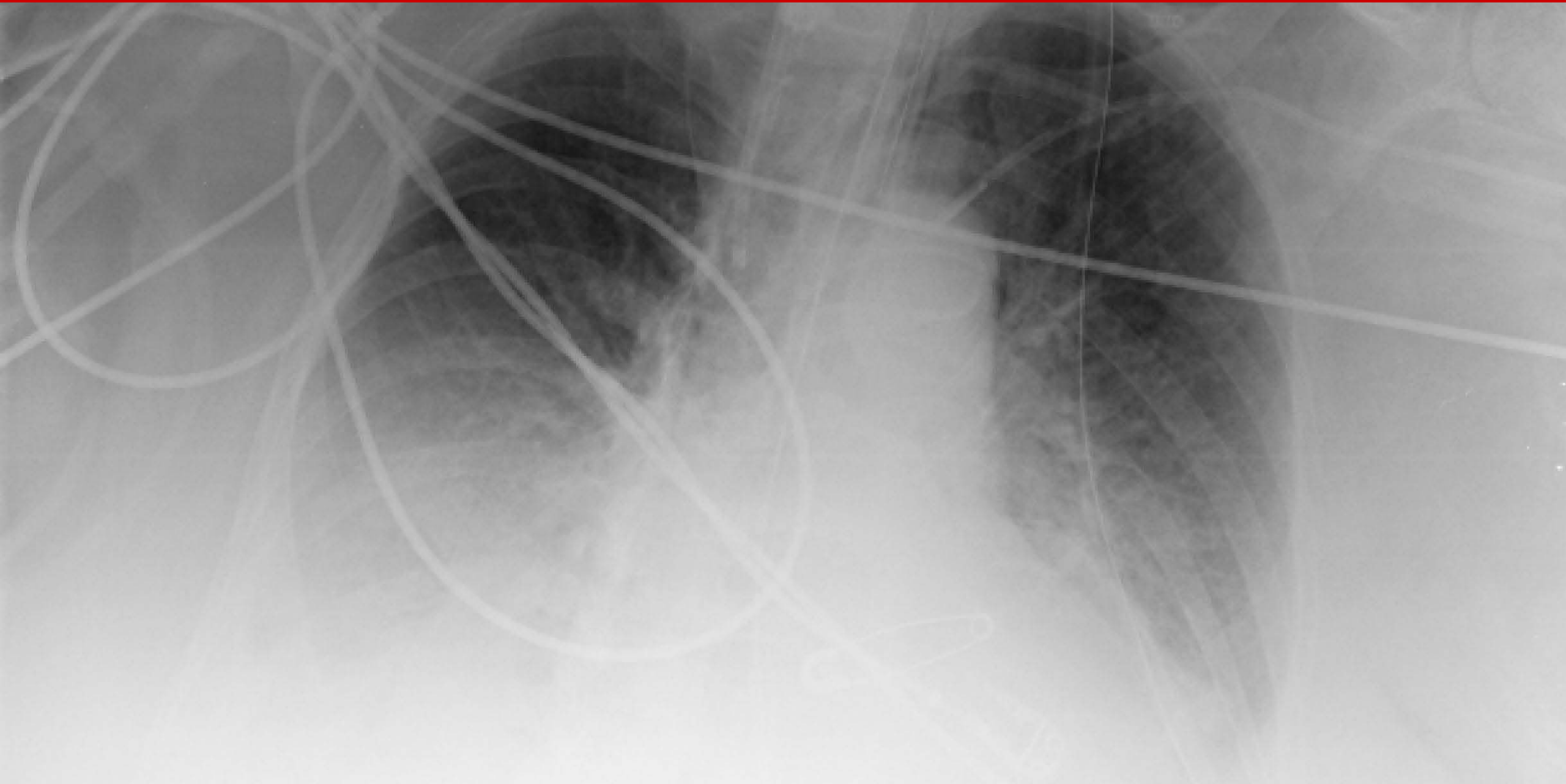
Test for overall effect: $Z=2.40$ ($p=0.02$)



Lower VAP Rates

Risk Ratio 0.72 (0.55-0.94)

Does this patient have VAP?

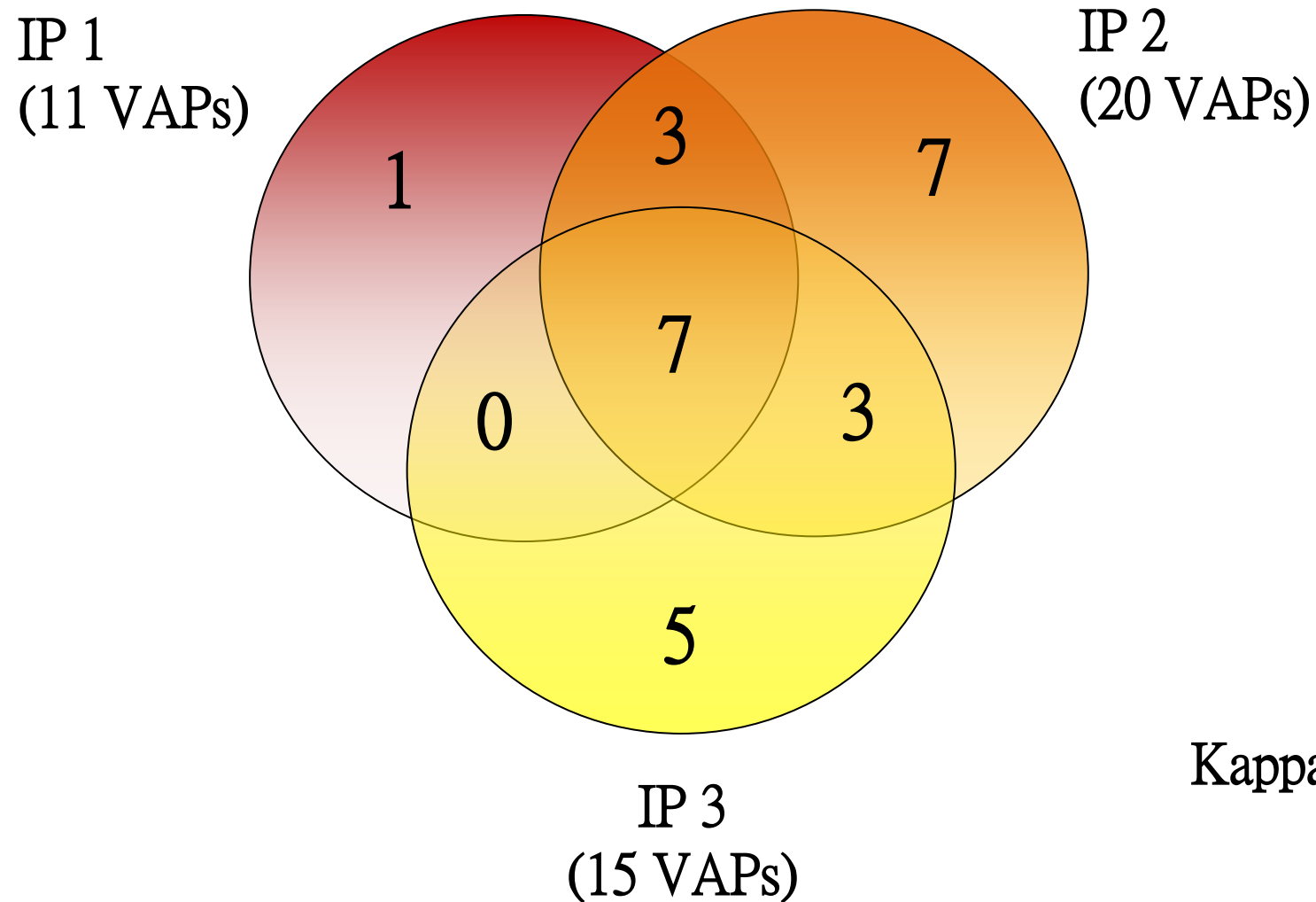


Are there more secretions today?



Interobserver Agreement in VAP Diagnosis

50 ventilated patients with respiratory deterioration



Kappa = 0.40

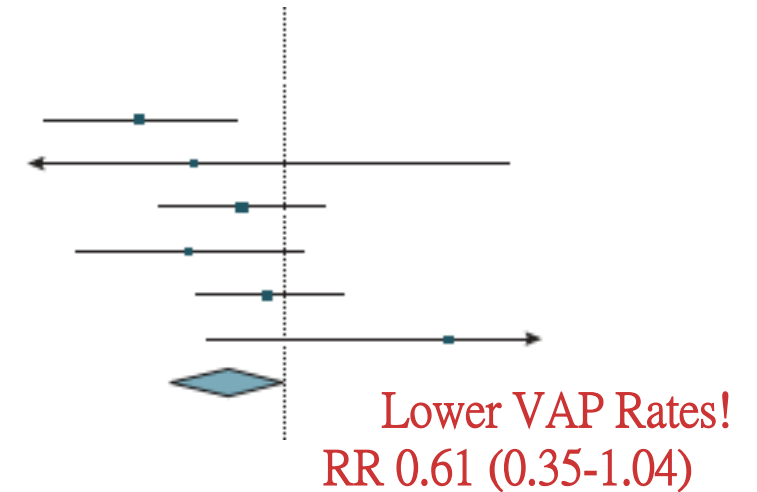
VAP Prevention Studies are at High Risk for Bias

Especially **Open Label** studies

Open Label vs Double Blind Studies

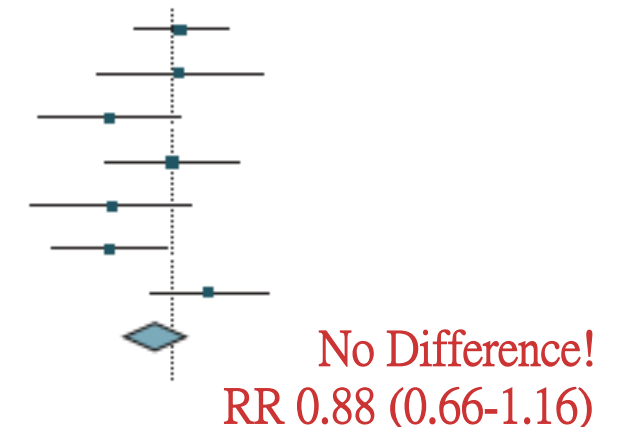
Open Label Randomized Controlled Trials:

Open-label Studies					
Fourrier et al, ²⁰ 2000	5	30	18	30	0.28 (0.12-0.65)
Bopp et al, ²² 2006	0	2	1	3	0.44 (0.03-7.52)
Jafari et al, ¹⁹ 2007	9	40	13	40	0.69 (0.33-1.43)
Tantipong et al, ²⁴ 2008	5	102	12	105	0.43 (0.16-1.17)
Panchabhai et al, ²⁶ 2009	14	88	15	83	0.88 (0.45-1.71)
Berry et al, ²⁸ 2011	4	71	1	78	4.39 (0.50-38.39)
Subtotal	37	333	60	339	0.61 (0.35-1.04)



Double-Blind Randomized Controlled Trials:

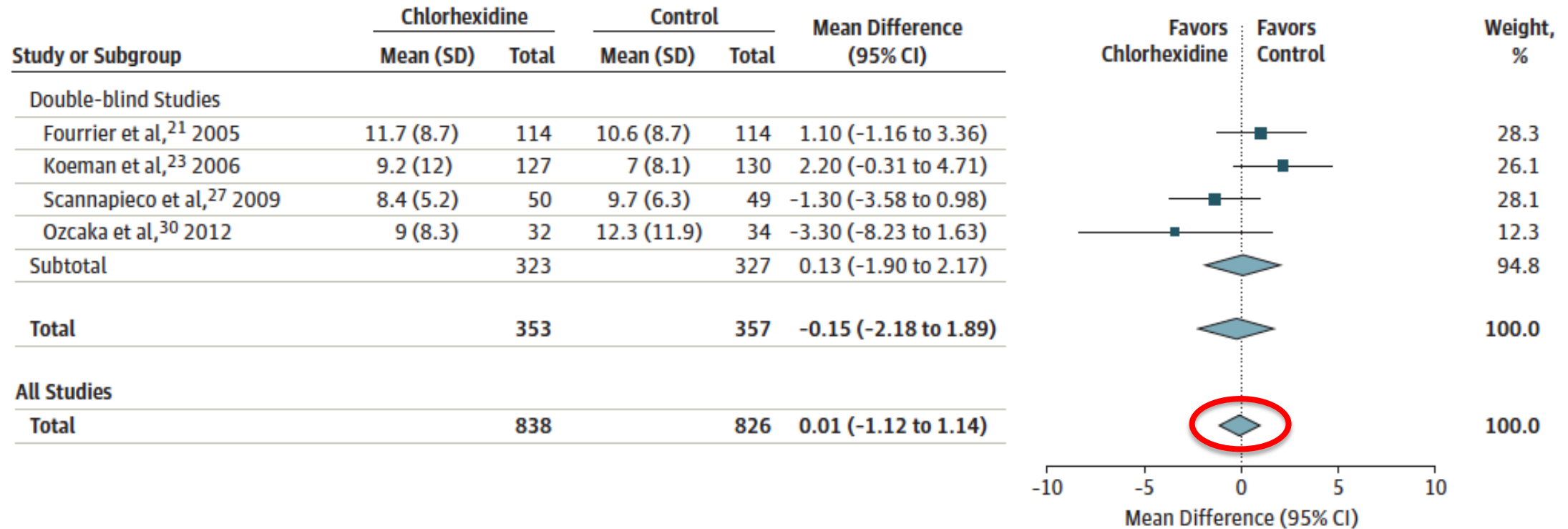
Macnaughton et al, ¹⁸ 2004	32	91	28	88	1.11 (0.73-1.67)
Fourrier et al, ²¹ 2005	13	114	12	114	1.08 (0.52-2.27)
Koeman et al, ²³ 2006	13	127	23	130	0.58 (0.31-1.09)
Bellissimo-Rodrigues et al, ²⁵ 2009	16	64	17	69	1.01 (0.56-1.83)
Scannapieco et al, ²⁷ 2009	14	116	12	59	0.59 (0.29-1.20)
Ozcaka et al, ³⁰ 2012	12	32	22	34	0.58 (0.35-0.97)
Meinberg et al, ²³ 2012	18	28	11	24	1.40 (0.84-2.35)
Subtotal	118	572	125	518	0.88 (0.66-1.16)



Need to look at objective outcomes

Duration of Mechanical Ventilation

Randomized trials of oral care with chlorhexidine vs control solution

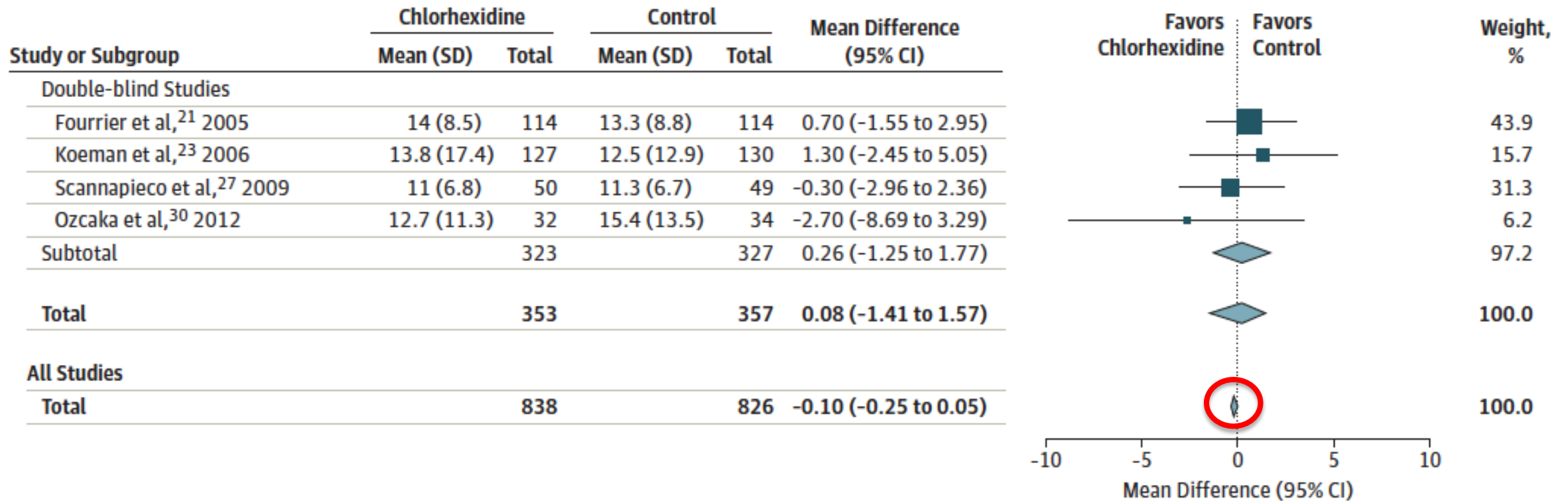


No Difference!

Mean Difference 0.01 days (-1.12 to 1.14)

ICU Length of Stay

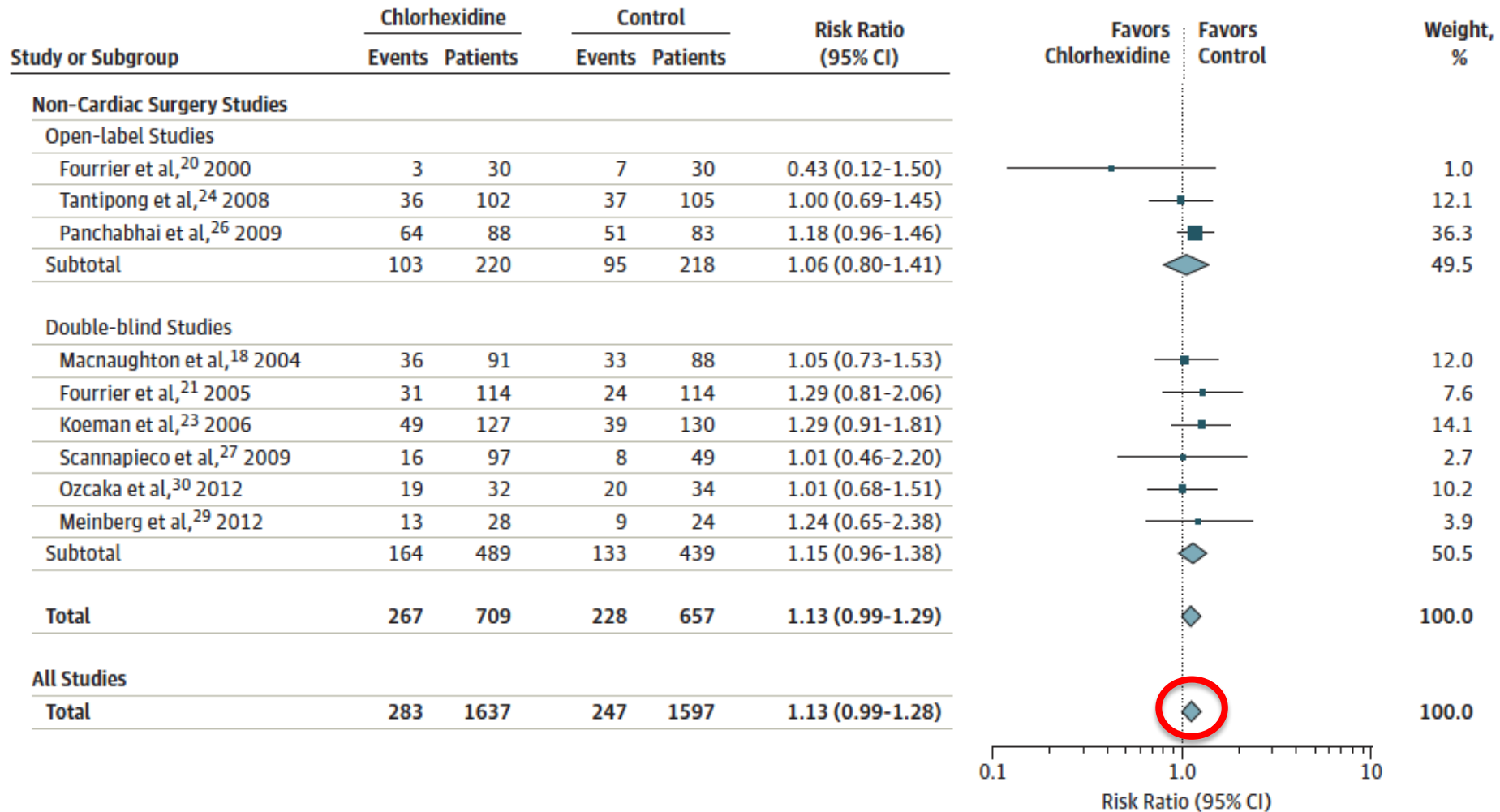
Randomized trials of oral care with chlorhexidine vs control solution



No Difference!

Mean Difference -0.10 days (-0.25 to 0.05)

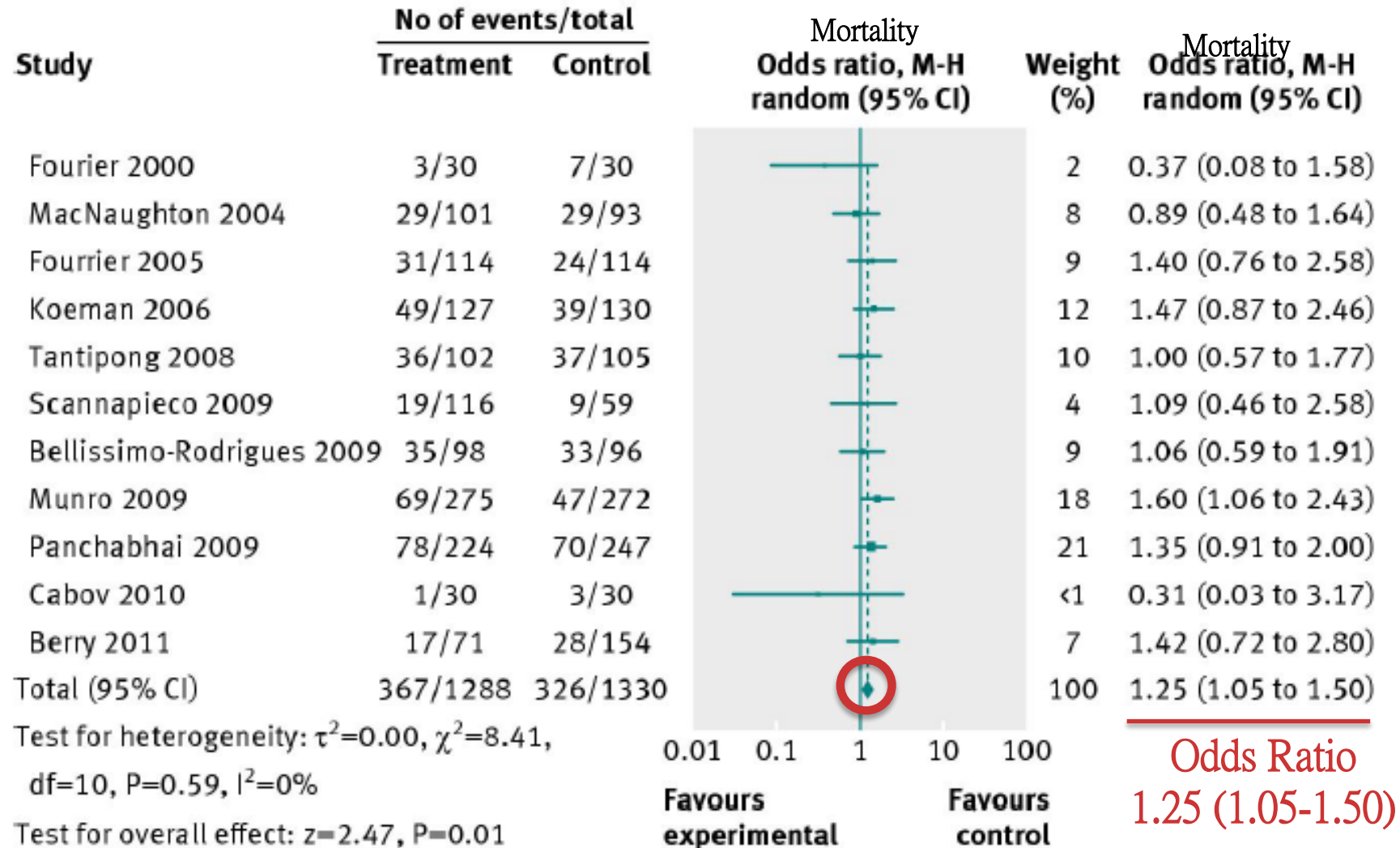
Mortality



Trend to Higher Mortality!
RR 1.13 (0.99 to 1.28)



Second Meta-Analysis of RCTs: *Significantly Higher* Mortality



Independent Signal

Retrospective cohort analysis

5,539 patients on mechanical ventilation

adjusted for comorbidities, severity of illness, contraindications, etc.

Research

Original Investigation

Associations Between Ventilator Bundle Components and Outcomes

Michael Klompas, MD, MPH; Lingling Li, PhD; Ken Kleinman, ScD; Paul M. Scamita, PharmD; Anthony F. Massaro, MD

IMPORTANCE Ventilator bundles, including head-of-bed elevation, sedative infusion interruptions, spontaneous breathing trials, thromboprophylaxis, stress ulcer prophylaxis, and oral care with chlorhexidine gluconate, are ubiquitous, but the absolute and relative value of each bundle component is unclear.

OBJECTIVE To evaluate associations between individual and collective ventilator bundle components and ventilator-associated events, time to extubation, ventilator mortality, time to hospital discharge, and hospital death.

DESIGN, SETTING, AND PARTICIPANTS This retrospective cohort study included all 5539 consecutive patients who underwent mechanical ventilation for at least 3 days from January 1, 2009, to December 31, 2013, at Brigham and Women's Hospital.

EXPOSURES Head-of-bed elevation, sedative infusion interruptions, spontaneous breathing trials, thromboprophylaxis, stress ulcer prophylaxis, and oral care with chlorhexidine.

MAIN RESULTS AND MEASURES Hazard ratios (HRs) for ventilator-associated events, extubation alive vs ventilator mortality, and hospital discharge vs hospital death. Effects were modeled using Cox proportional hazards regression and Fine-Gray competing risk models adjusted for patients' demographic characteristics, comorbidities, unit type, severity of illness, recent procedures, process measure contraindications, day-to-day markers of clinical status, and calendar year.

RESULTS Of 5539 consecutive patients undergoing mechanical ventilation, 3208 were male (57.9%), 2331 female (42.1%), and the mean (SD) age was 61.2 (16.1) years. Sedative infusion interruptions were associated with less time to extubation (HR, 1.81; 95% CI, 1.54-2.12; $P < .001$) and a lower hazard for ventilator mortality (HR, 0.51; 95% CI, 0.38-0.68; $P < .001$). Similar associations were found for spontaneous breathing trials (HR for extubation, 2.48; 95% CI 2.23-2.76; $P < .001$; HR for mortality, 0.28; 95% CI, 0.20-0.38; $P < .001$). Spontaneous breathing trials were also associated with lower hazards for ventilator-associated events (HR, 0.55; 95% CI, 0.40-0.76; $P < .001$). Associations with less time to extubation were found for head-of-bed elevation (HR, 1.38; 95% CI, 1.14-1.68; $P < .001$) and thromboembolism prophylaxis (HR, 2.57; 95% CI, 1.80-3.66; $P < .001$) but not ventilator mortality (HR, 1.63; 95% CI, 1.15-2.31; $P = .006$), and stress ulcer prophylaxis was associated with an increased risk for ventilator-associated pneumonia (HR, 7.69; 95% CI, 1.44-41.10; $P = .02$).

CONCLUSIONS AND RELEVANCE Standard ventilator bundle components vary in their associations with patient-centered outcomes. Head-of-bed elevation, sedative infusion interruptions, spontaneous breathing trials, and thromboembolism prophylaxis appear beneficial, whereas daily oral care with chlorhexidine and stress ulcer prophylaxis may be harmful in some patients.

JAMA Intern Med. doi:10.1001/jamainternmed.2016.2427
Published online July 18, 2016.

Author Affiliations: Department of Population Medicine, Harvard Medical School and Harvard Pilgrim Health Care Institute, Boston, Massachusetts (Klompas, Li, Kleinman); Department of Medicine, Brigham and Women's Hospital, Boston, Massachusetts (Klompas, Massaro); Department of Pharmacy, Brigham and Women's Hospital, Boston, Massachusetts (Scamita).
Corresponding Author: Michael Klompas, MD, MPH, Department of Population Medicine, Harvard Medical School, 401 Park St, Ste 401, Boston, MA 02215 (mklompas@partners.org).

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Read From: <http://archinte.jamanetwork.com/> by a Harvard University User on 07/18/2016

Spontaneous breathing trials

Spontaneous awakening trials

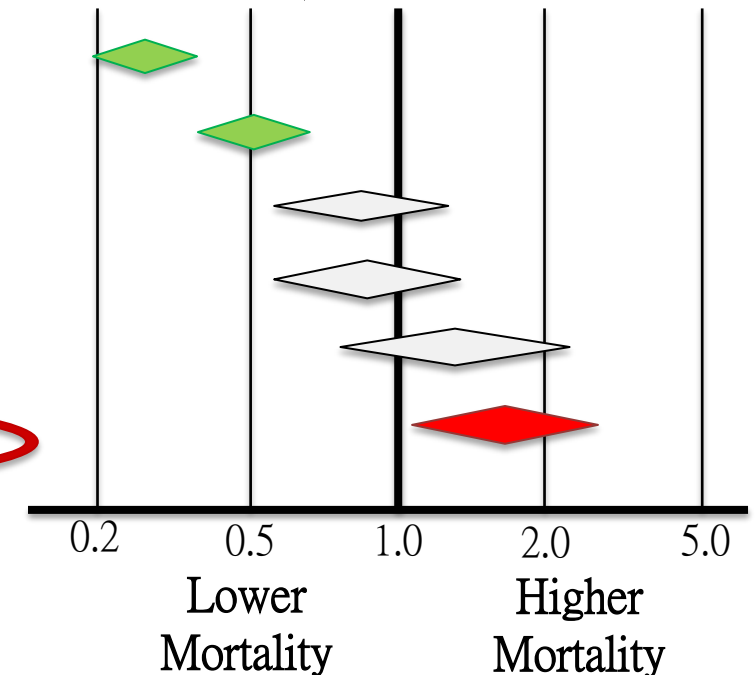
Head of bed elevation

Stress ulcer prophylaxis

Thromboprophylaxis

Oral care with chlorhexidine

Hazard Ratios
for Ventilator Death



But what's the mechanism?

Oral Ulcers Associated with 2% Chlorhexidine



Bleeding Ulcer



White Plaques

Oral Ulcers Associated with 2% Chlorhexidine



Bleeding Mouth



Dry Tongue, Aphthous Lesions

Case Reports of Allergies and Anaphylaxis

ORIGINAL ARTICLE

ANAPHYLAXIS

Standardized testing with chlorhexidine in perioperative allergy – a large single-centre evaluation

M. S. Opstrup^{1,2}, H.-J. Malling², M. Krøigaard², H. Mosbech², P. S. Skov², L. K. Poulsen² & L. H. Garvey²

¹National Allergy Research Centre, Copenhagen University Hospital Gentofte; ²Allergy Clinic, Danish Anaesthesia Allergy Centre, Copenhagen University Hospital Gentofte, Gentofte, Denmark

9% of perioperative allergic reactions attributed to chlorhexidine

Acta Anaesthesiol Scand 2001; 45: 1290–1294
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ACTA ANAESTHESIOLOGICA SCANDINAVICA
ISSN 0001-5172

Case Report

Anaphylactic reactions in anaesthetised patients – four cases of chlorhexidine allergy

L. H. GARVEY¹, J. ROED-PETERSEN² and B. HUSUM¹

Departments of ¹Anaesthesiology and ²Dermatology, Gentofte University Hospital, Copenhagen, Denmark

Case reports of anaphylaxis

Allergy 2014;69(10):1390-1396

Acta Anaesthesiol Scand 2001;45:1290-1294

Case Reports of ARDS following Aspiration

Chlorhexidine Gluconate Ingestion Resulting in Fatal Respiratory Distress Syndrome

Kiyotaka Hirata PhD

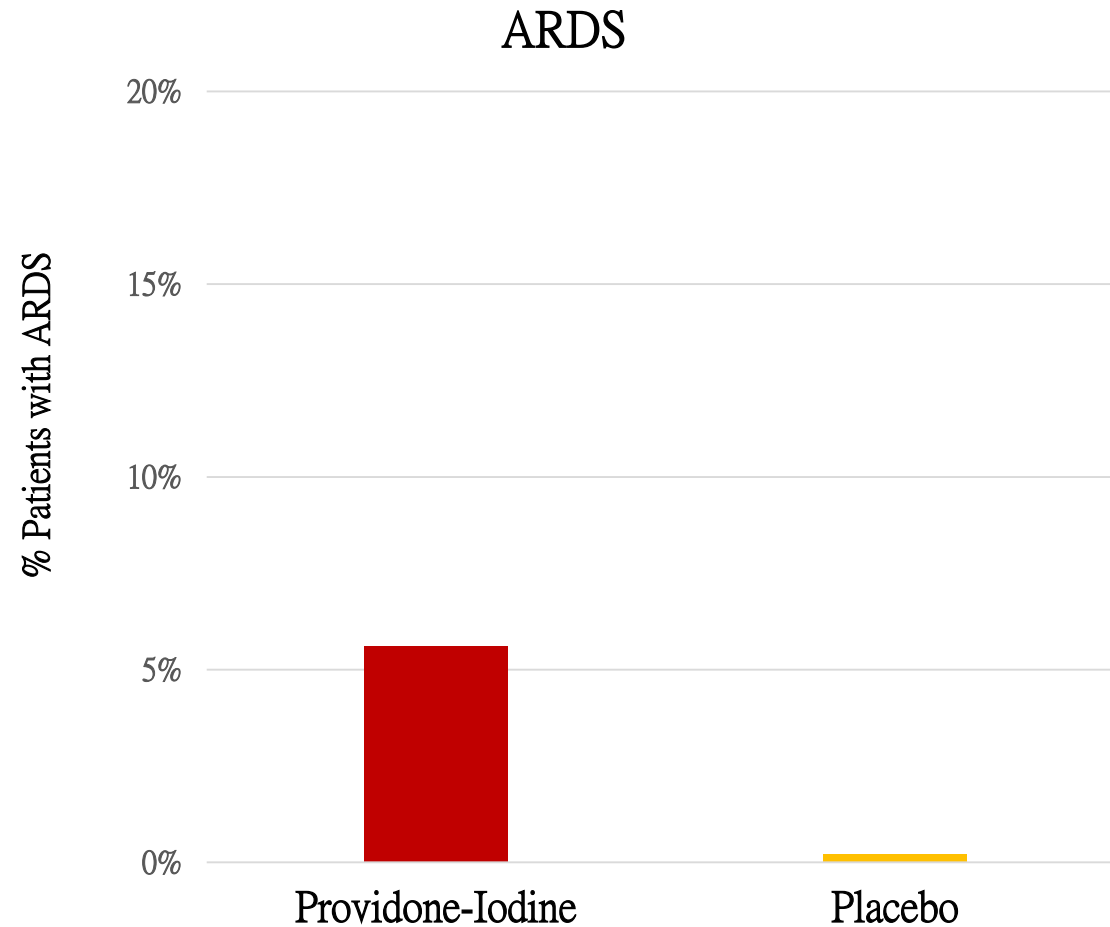
Department of Pharmacy, Nippon Medical School Hospital, 1-1-5
Sendagi Bunkyo-ku, Tokyo, 113-8603, Japan

Akira Kurokawa MD

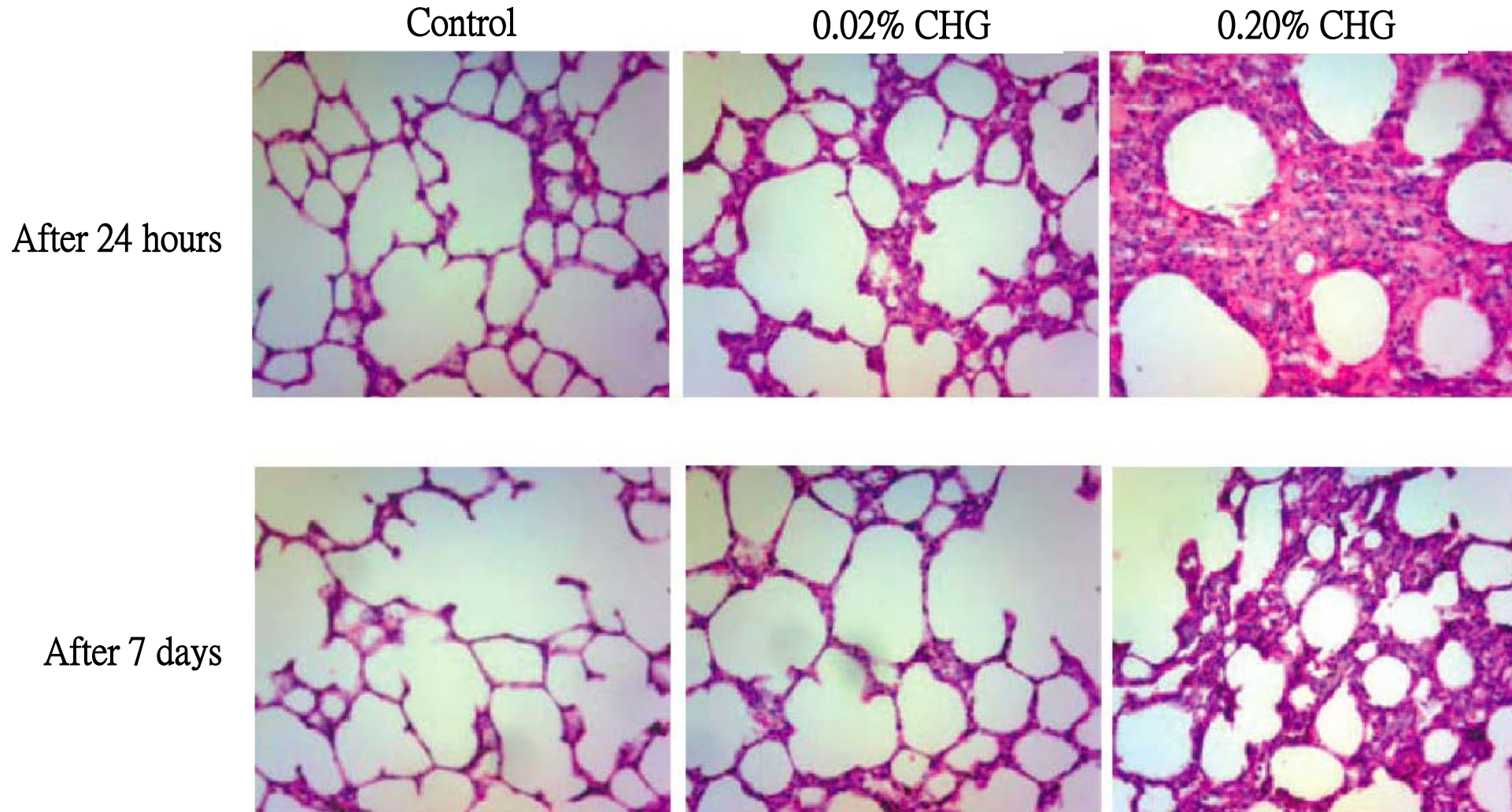
Department of Emergency and Critical Care Medicine, Nippon Medical School, Tama
Nagayama Hospital, 1-1-5 Sendagi, Bunkyo-ku, Tokyo, 113-8603, Japan

Aspiration of Oral Antiseptics and ARDS

Randomized controlled trial of providone-iodine vs placebo to prevent VAP



Chlorhexidine Instillation into Rat Lungs



Reasons for the Prevention Paradox

VAP diagnosis is subjective

The case of oral care with chlorhexidine

VAP diagnosis is non-specific

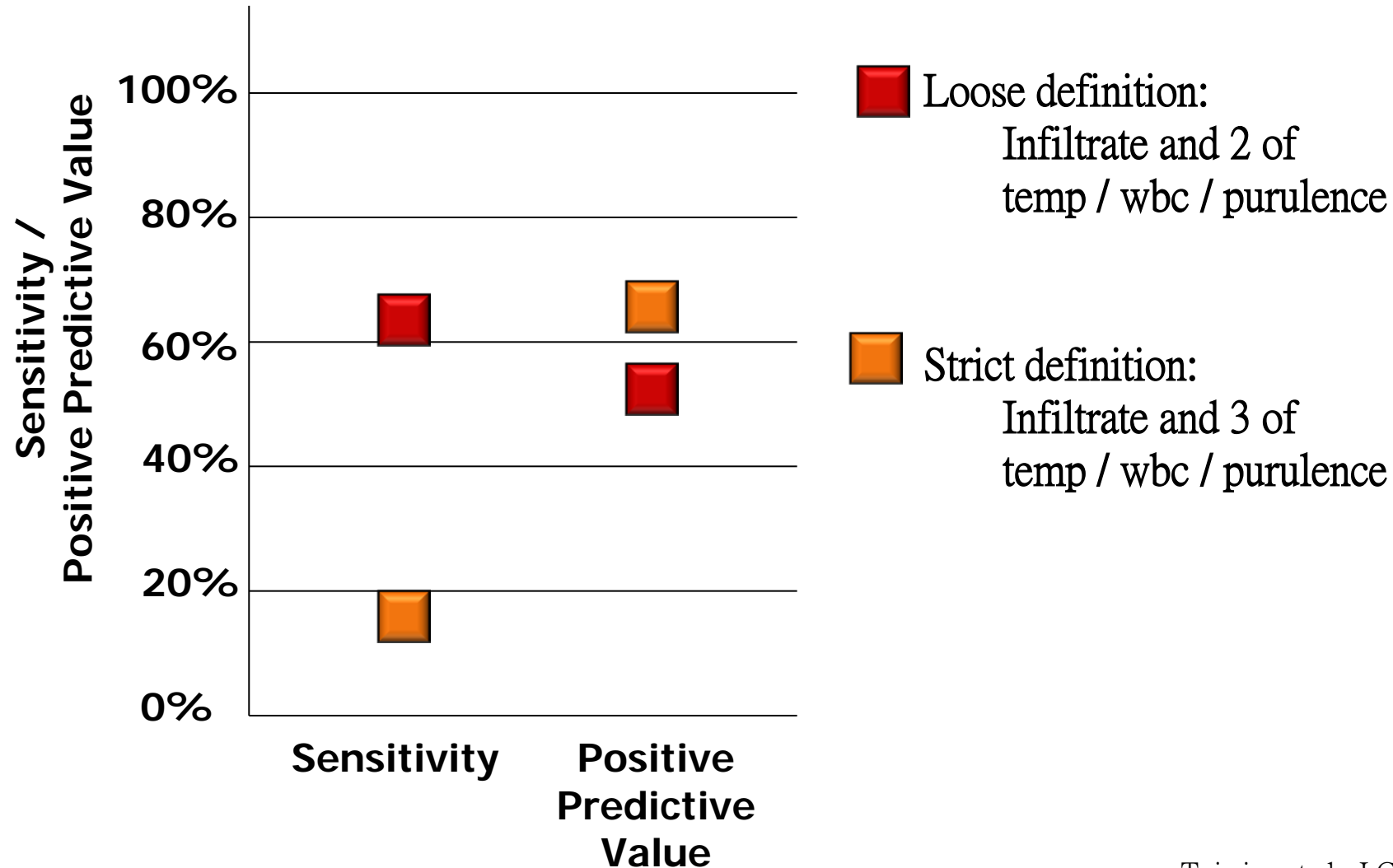
The case of silver-coated ETTs & subglottic secretion drainage

Many VAP studies are under-powered

The case of head of bed elevation

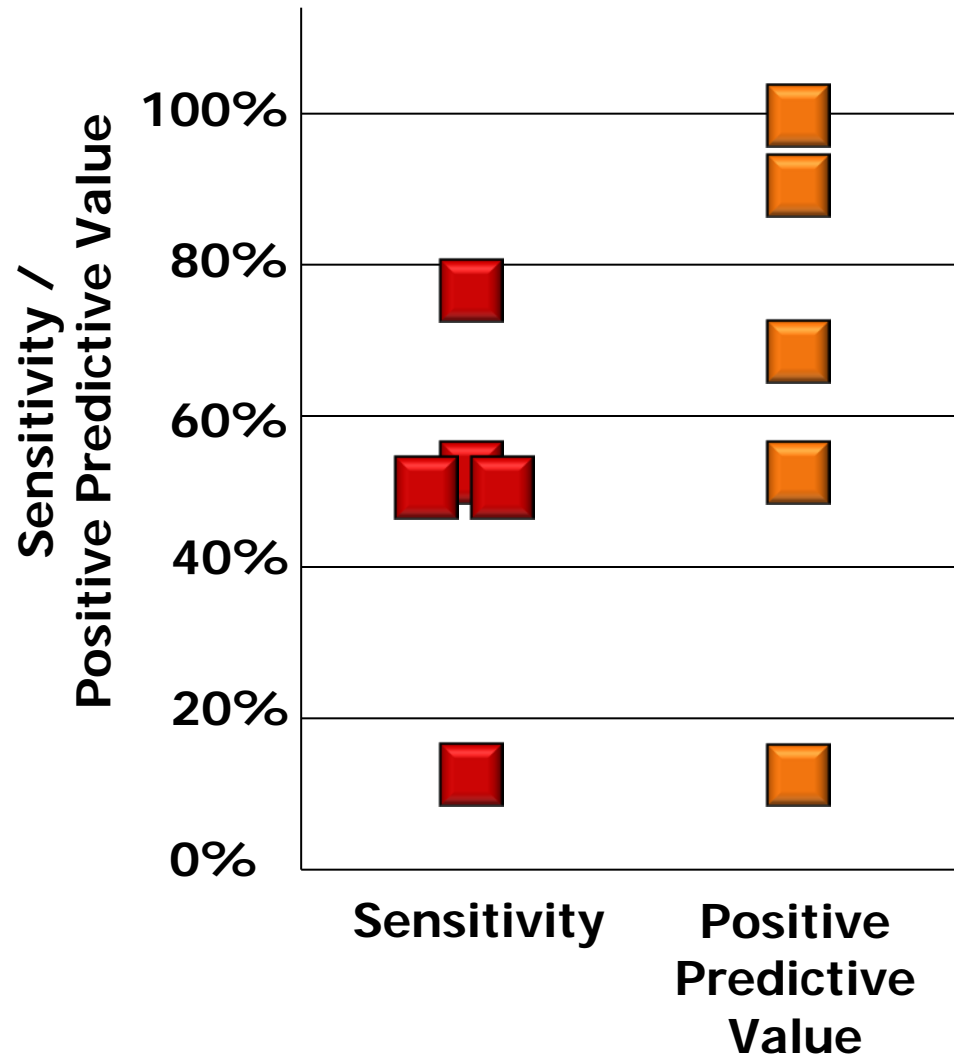
Accuracy of clinical diagnosis of VAP

Relative to 253 autopsies



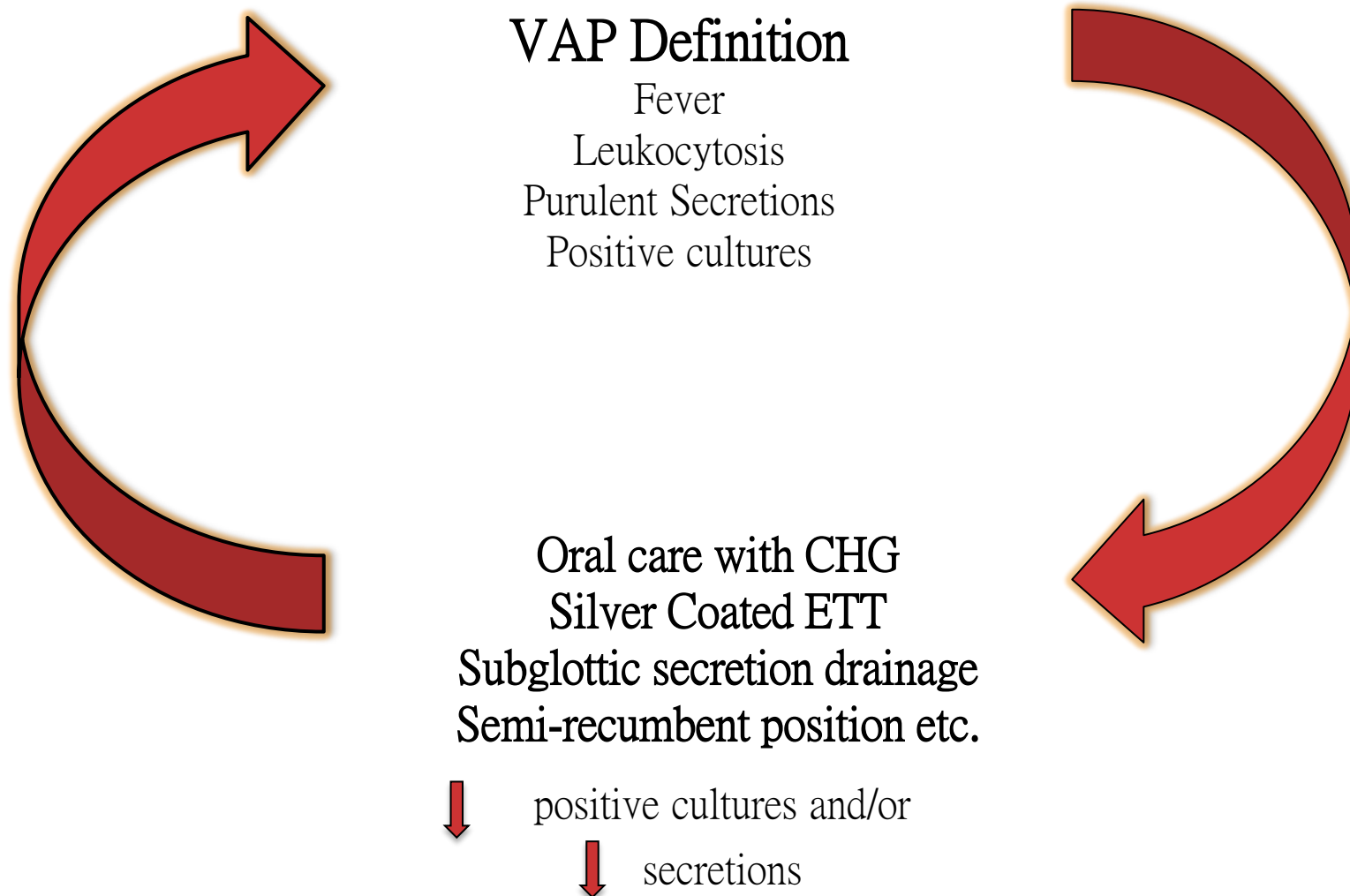
Accuracy of BAL cultures

Relative to histology



Kirtland, *Chest* 1997;112:445
Fabregas, *Thorax* 1999;54:867
Chastre, *Am Rev Respir Dis* 1984;130:924
Torres, *Am J Resp Crit Care Med* 1994;149:324
Marquette, *Am J Resp Crit Care Med* 1995;151:1878
Papazian, *Am J Resp Crit Care Med* 1995;152:1982

Circularity Between VAP Preventive Practices and the VAP Definition



This means that even studies with
Double Blinding &
Objective Diagnostic Criteria
are still at risk of bias

Silver-Coated Endotracheal Tubes

- Randomized controlled trial of 2,003 patients to silver coated vs conventional endotracheal tubes
- VAP defined as present if quantitative BAL fluid culture with $>10^4$ colony forming units/mL

CARING FOR THE CRITICALLY ILL PATIENT

Silver-Coated Endotracheal Tubes and Incidence of Ventilator-Associated Pneumonia The NASCENT Randomized Trial

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Bekele Afessa, MD
Antonio Anzueto, MD
Christopher Veremakis, MD
Kim M. Kerr, MD
Benjamin D. Margolis, MD
Donald E. Craven, MD
Pamela R. Roberts, MD
Alejandro C. Arroliga, MD
Rolf D. Hubmayr, MD
Marcos I. Restrepo, MD
William R. Auger, MD
Regina Schinner, Dipl-Stat
for the NASCENT
Investigation Group

VENTILATOR-ASSOCIATED PNEUMONIA (VAP) is associated with high morbidity, including increased length of hospital stay, health care costs, and infection with multidrug-resistant pathogens.¹⁻³ The condition usually occurs within 10 days after endotracheal intubation.^{3,4} Reported rates vary by case mix, case definition, diagnostic procedures, and method of expressing the rate.³ Conservative estimates of incidence ranged from 9%³ to 18%⁴ in large databases of mechanically ventilated patients and decreased substantially when the definition was changed from adjudicated radiographic, clinical, and bronchoscopic criteria⁵ to rigorous microbiological criteria.⁶

For editorial comment see p 842.

Context Ventilator-associated pneumonia (VAP) causes substantial morbidity. A silver-coated endotracheal tube has been designed to reduce VAP incidence by preventing bacterial colonization and biofilm formation.

Objective To determine whether a silver-coated endotracheal tube would reduce the incidence of microbiologically confirmed VAP.

Design, Setting, and Participants Prospective, randomized, single-blind, controlled study conducted in 54 centers in North America. A total of 9417 adult patients (≥ 18 years) were screened between 2002 and 2006. A total of 2003 patients expected to require mechanical ventilation for 24 hours or longer were randomized.

Intervention Patients were assigned to undergo intubation with 1 of 2 high-volume, low-pressure endotracheal tubes, similar except for a silver coating on the experimental tube.

Main Outcome Measures Primary outcome was VAP incidence based on quantitative bronchoalveolar lavage fluid culture with 10^4 colony-forming units/mL or greater in patients intubated for 24 hours or longer. Other outcomes were VAP incidence in all intubated patients, time to VAP onset, length of intubation and duration of intensive care unit and hospital stay, mortality, and adverse events.

Results Among patients intubated for 24 hours or longer, rates of microbiologically confirmed VAP were 4.8% (37/766 patients; 95% confidence interval [CI], 3.4%-6.6%) in the group receiving the silver-coated tube and 7.5% (56/743; 95% CI, 5.7%-9.7%) ($P = .03$) in the group receiving the uncoated tube (all intubated patients, 3.8% [37/968; 95% CI, 2.7%-5.2%] and 5.8% [56/964; 95% CI, 4.4%-7.5%] [$P = .04$]), with a relative risk reduction of 35.9% (95% CI, 3.6%-69.0%; all intubated patients, 34.2% [95% CI, 1.2%-67.9%]). The silver-coated endotracheal tube was associated with delayed occurrence of VAP ($P = .005$). No statistically significant between-group differences were observed in durations of intubation, intensive care unit stay, and hospital stay; mortality; and frequency and severity of adverse events.

Conclusion Patients receiving a silver-coated endotracheal tube had a statistically significant reduction in the incidence of VAP and delayed time to VAP occurrence compared with those receiving a similar, uncoated tube.

Trial Registration clinicaltrials.gov identifier: NCT00148642
JAMA. 2008;300(7):805-813

www.jama.com

The etiology of VAP is likely related to colonization of the aerodigestive tract with pathogenic bacteria and to aspiration of contaminated secretions.^{1,2} Prevention strategies often focus on modifiable risk factors for colonization and aspiration⁷⁻¹² and can successfully reduce

Author Affiliations and Members of the North American Silver-Coated Endotracheal Tube (NASCENT) Investigation Group are listed at the end of this article. Corresponding Author: Marin H. Kollef, MD, Washington University School of Medicine, 660 S Euclid Ave, St Louis, MO 63110 (mkollef@wustl.edu). **Caring for the Critically Ill Patient Section Editor:** Doris C. Angus, MD, MPH, Contributing Editor, JAMA (angusdc@upmc.edu).

36%

fewer VAPs in patients randomized to silver-coated endotracheal tubes

Silver-Coated Endotracheal Tubes

Table 2. Incidence of Microbiologically Confirmed Ventilator-Associated Pneumonia (VAP)^a

	Evaluable Patients With VAP, No./Total (%) [95% CI]	
	Silver-Coated Tube	Uncoated Tube
Microbiology ^b		
<i>Staphylococcus aureus</i>	9	16
Methicillin-resistant <i>S aureus</i>	3	7
<i>Pseudomonas aeruginosa</i>	8	11
Enterobacteriaceae	10	5
Yeast	5	7
<i>Streptococcus</i> species	4	7
<i>Haemophilus influenzae</i>	3	3
<i>Acinetobacter baumannii</i>	1	5
Other ^c	5	17

Abbreviations: CI, confidence interval; RR, relative risk.

^aPatients with at least 10⁴ colony-forming units/mL in bronchoalveolar lavage fluid.

^bTwenty patients had polymicrobial infections. In the group receiving the silver-coated endotracheal tube, 6 patients had 2 microorganisms and 1 patient had 3. In the group receiving the uncoated tube, 11 patients had 2 microorganisms and 2 patients had 3.

^cOther microorganisms in the group receiving the silver-coated endotracheal tube were normal flora (n = 4) and *Stenotrophomonas maltophilia* (n = 1). Other microorganisms in the group receiving the uncoated tube were normal flora (n = 8), *S maltophilia* (n = 2), *Neisseria* (n = 2), coagulase-negative staphylococci (n = 3), vancomycin-resistant enterococcus (n = 1), and *Burkholderia cepacia* (n = 1).

VAP Counts Included:

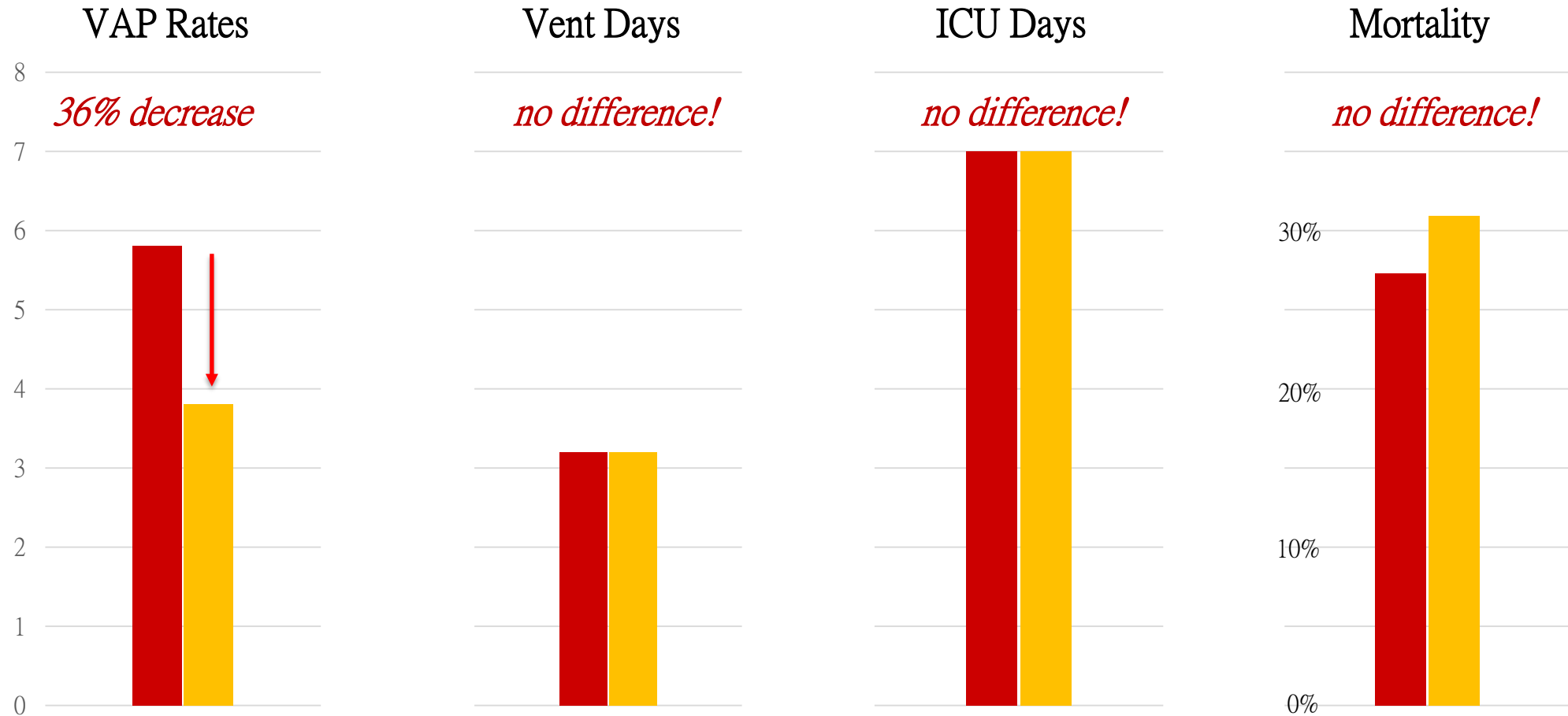
Normal flora
Candida species
Enterococcus, &
Coagulase-Negative Staphylococci

Silver Coated Endotracheal Tubes

Control ETT

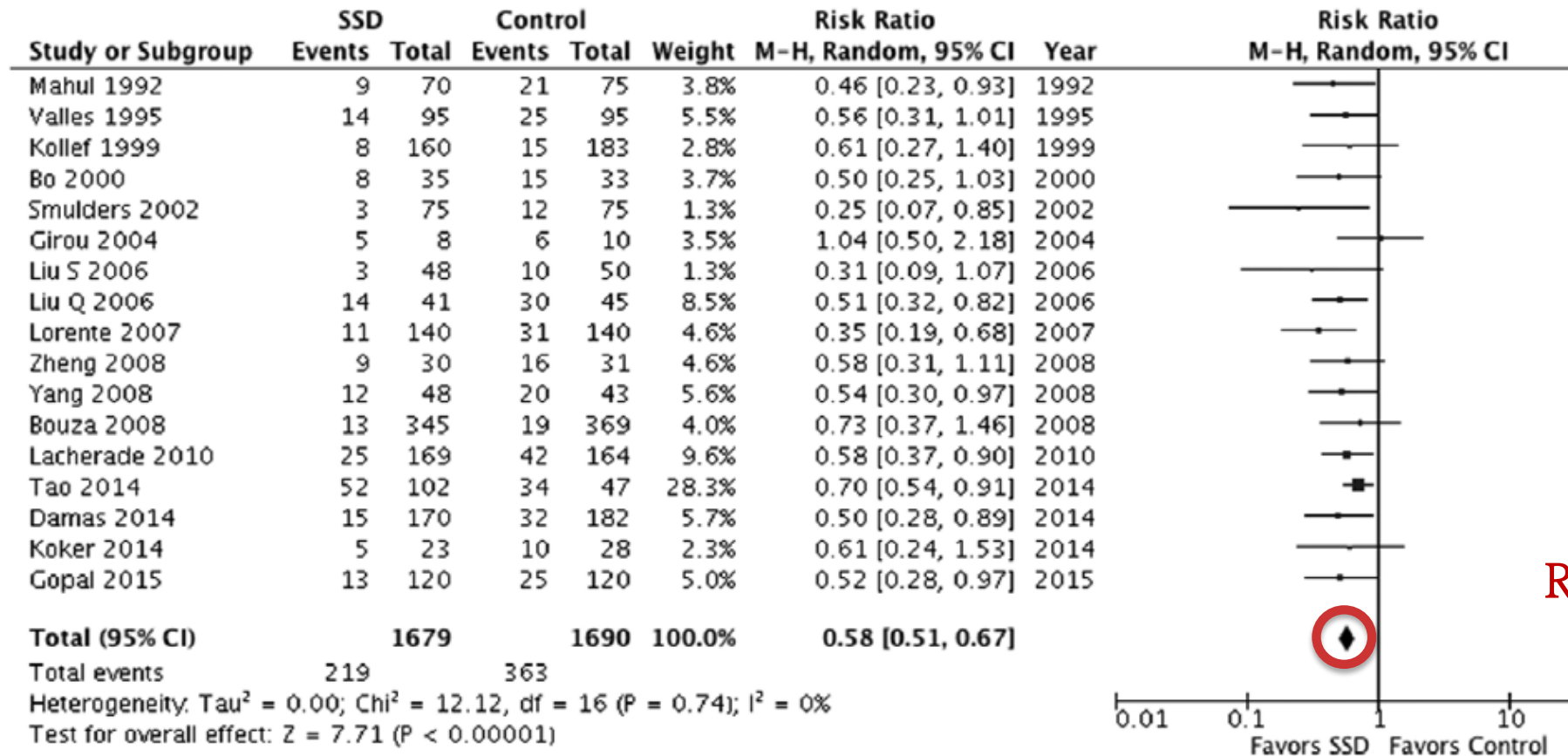
Silver Coated ETT

N=2,003



Subglottic Secretion Drainage

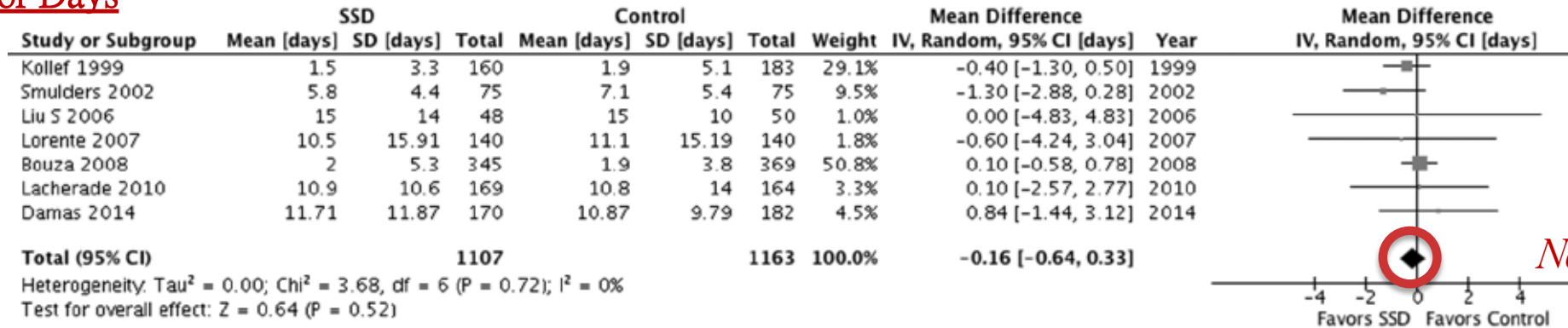
Meta-Analysis of randomized trials: *Significantly Lower VAP Rates*



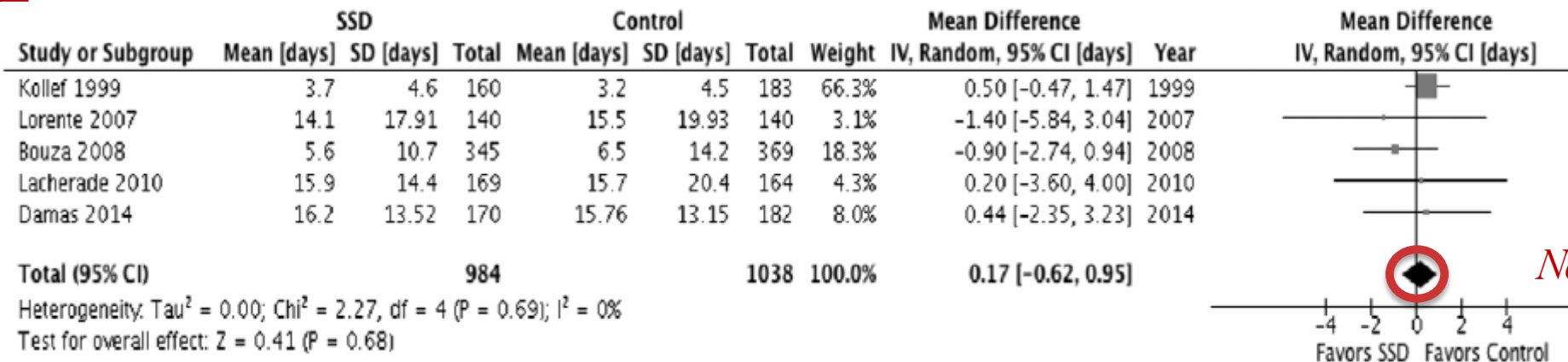
Subglottic Secretion Drainage

Meta-Analysis of randomized trials: No Impact on Ventilator Days or ICU Days

Ventilator Days



ICU Days



Reasons for the Prevention Paradox

VAP diagnosis is subjective

The case of oral care with chlorhexidine

VAP diagnosis is non-specific

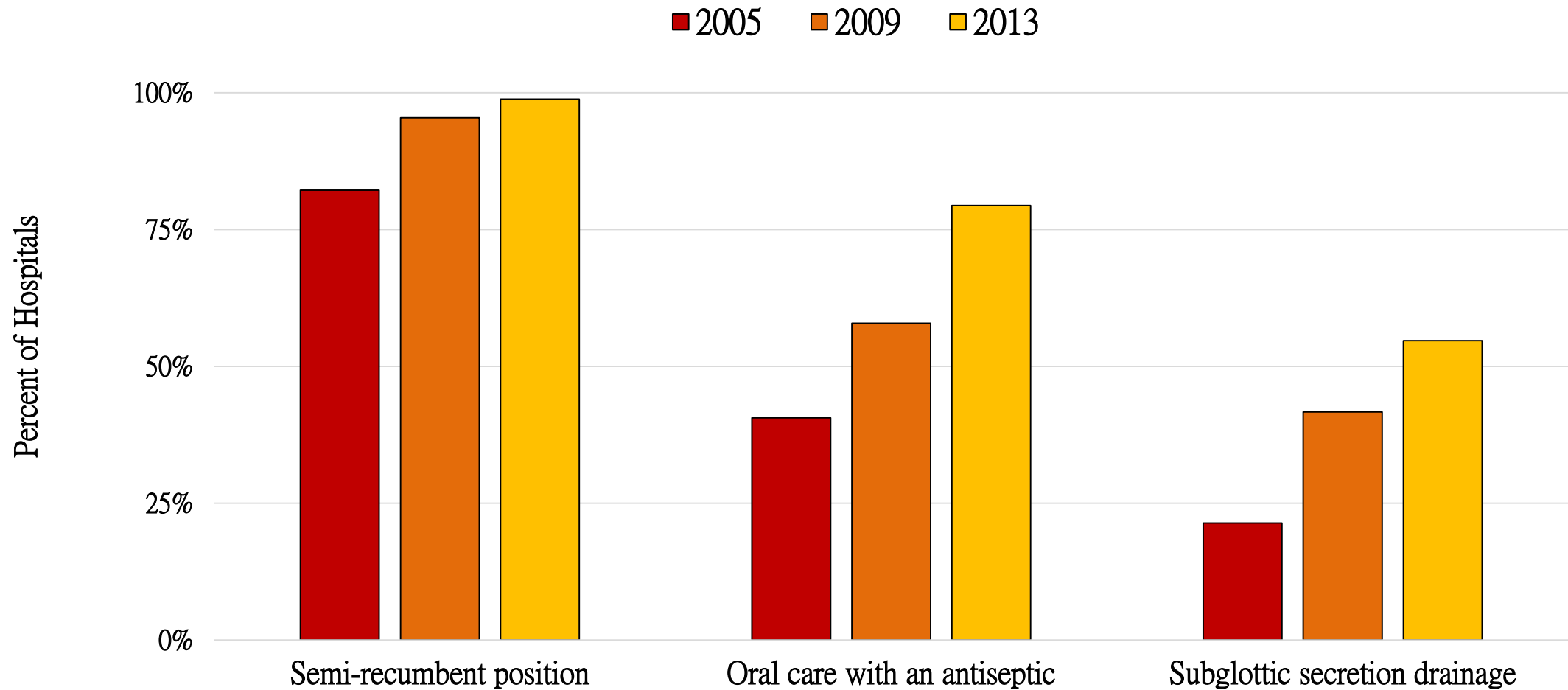
The case of silver-coated ETTs & subglottic
secretion drainage

Many VAP studies are under-powered

The case of head of bed elevation

Preventive Practices in U.S. Hospitals

Random samples of ~600 U.S. acute care hospitals, 2005-2013



Head of Bed Elevation Studies

Supine body position as a risk factor for nosocomial pneumonia in mechanically ventilated patients: a randomised trial

Lancet 1999; **354**: 1851–58

N=86

Feasibility and effects of the semirecumbent position to prevent ventilator-associated pneumonia: A randomized study*

Crit Care Med 2006; **34**:396–402

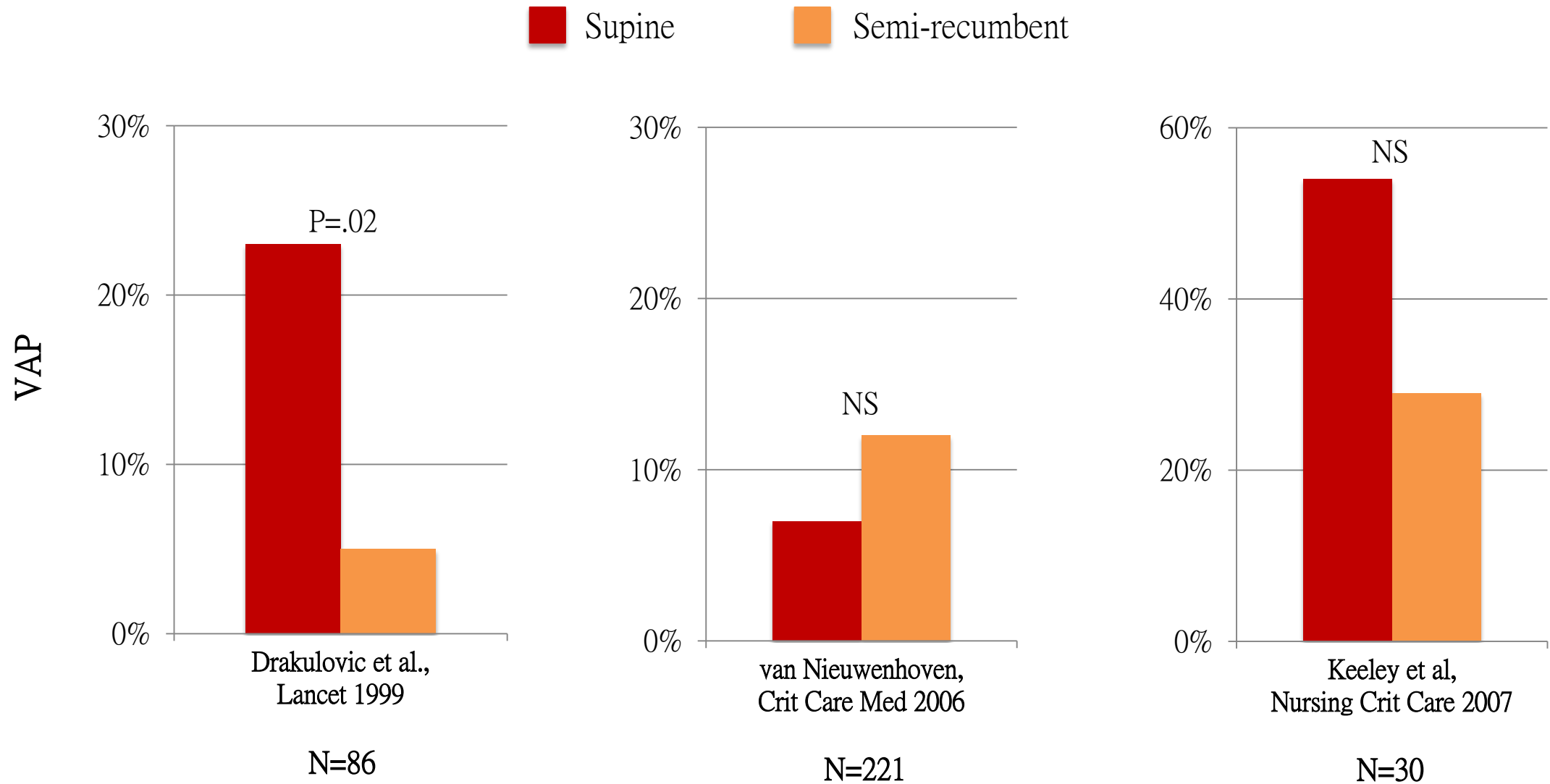
N=221

Reducing the risk of ventilator-acquired pneumonia through head of bed elevation

Nursing Crit Care 2007;12:287

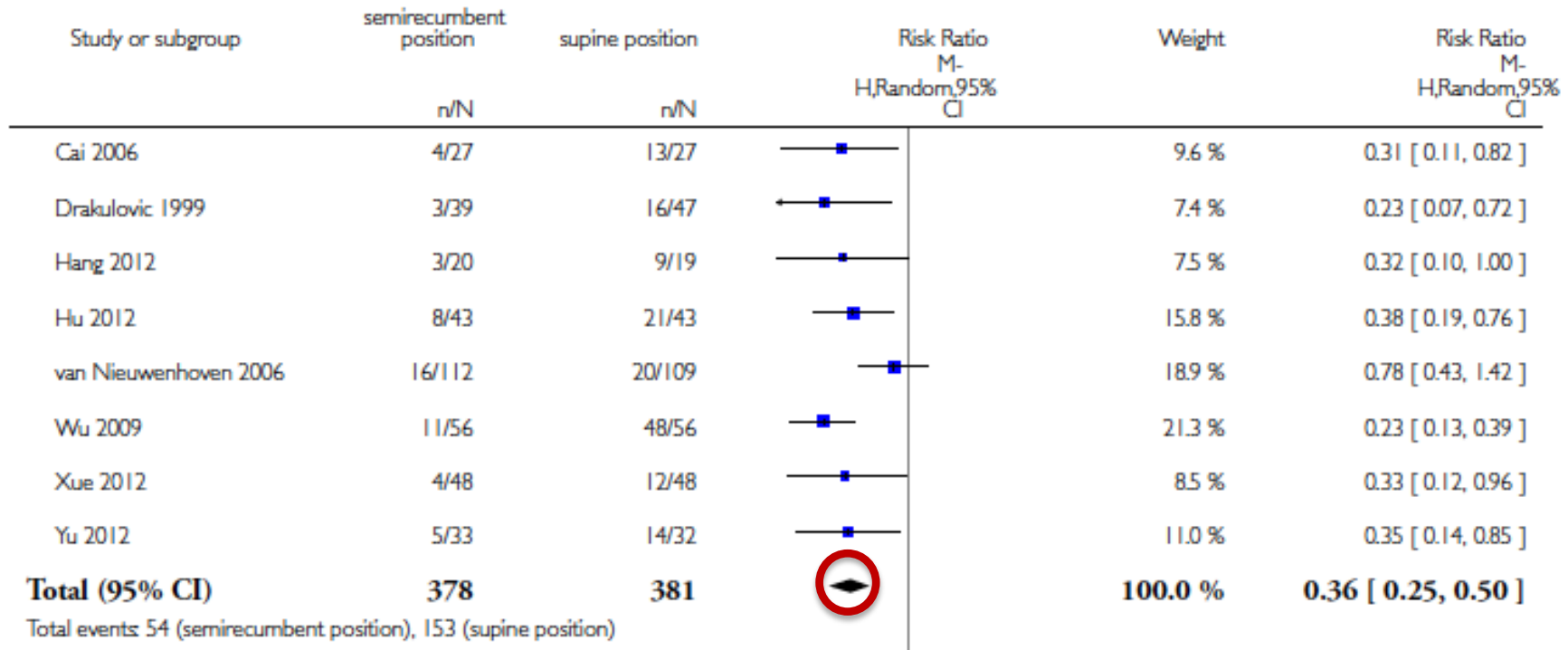
N=30

Head-of-Bed Elevation and VAP Rates



Semi-recumbent vs Supine Position

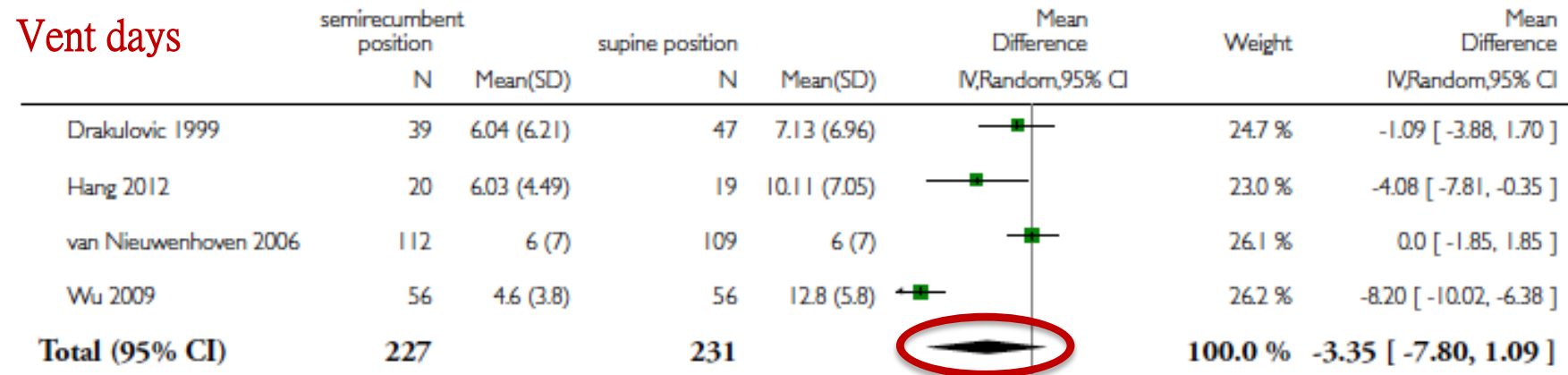
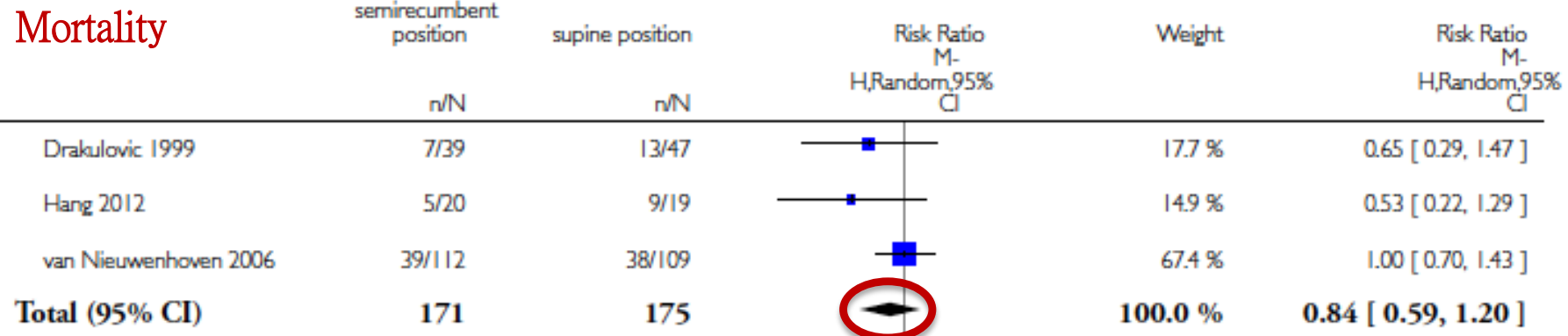
Lower VAP Rates



64% decrease in VAP risk ratio

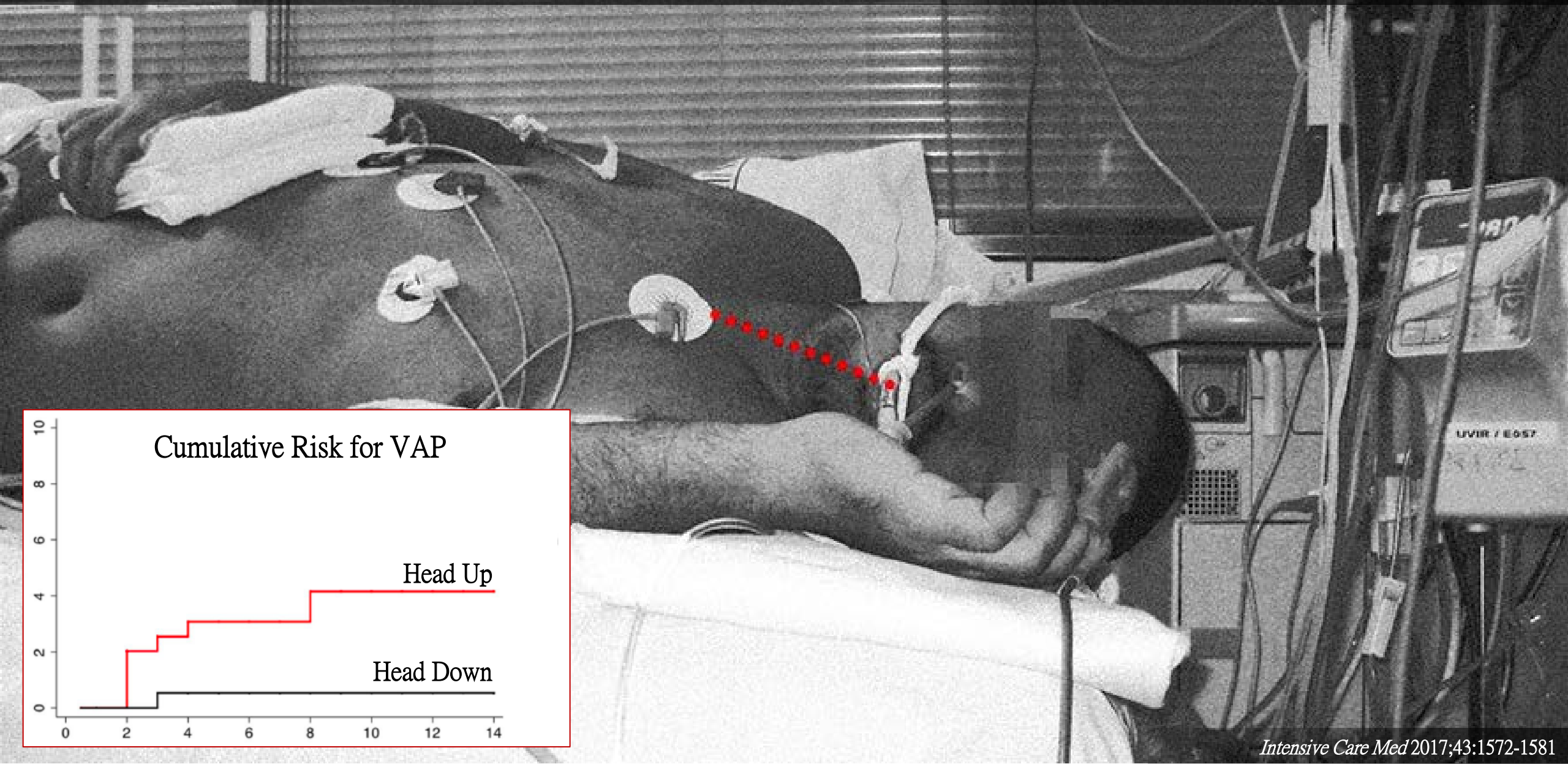
Semi-recumbent vs Supine Position

...no difference in mortality or duration of mechanical ventilation

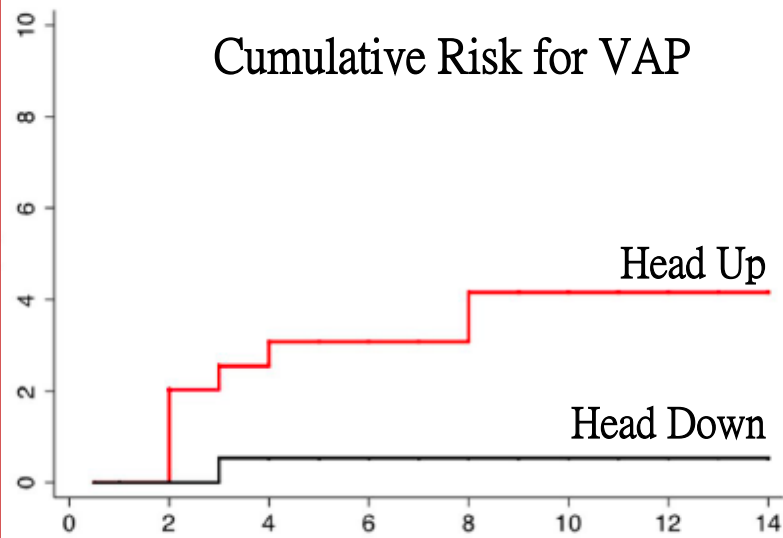


Maybe Head Down is Better than Head Up?

394 patients randomized to lateral Trendelenburg vs semi-recumbent position



Cumulative Risk for VAP



Maybe Head Down is Better than Head Up?

394 patients randomized to lateral Trendelenburg vs semi-recumbent position

- VAP
 - 0.5% vs 4.0% (P=.04)
- Antibiotic Utilization
 - No difference
- 28-day mortality
 - No difference
- Adverse Events
 - 6 in lateral Trendelenburg group vs 0 in semi-recumbent
 - Oxygen desaturation, hemodynamic instability, intracranial hemorrhage, brachial plexus injury
- Trial stopped early

100K Lives Campaign

Using a Bundle Approach to Improve Ventilator Care Processes and Reduce Ventilator- Associated Pneumonia

Roger Resar, M.D.
Peter Pronovost, M.D., Ph.D.
Carol Haraden, Ph.D.
Terri Simmonds, R.N.
Thomas Rainey, M.D.
Thomas Nolan, Ph.D.

The Classic Ventilator Bundle



Elevate the head of the bed

Daily sedative interruptions

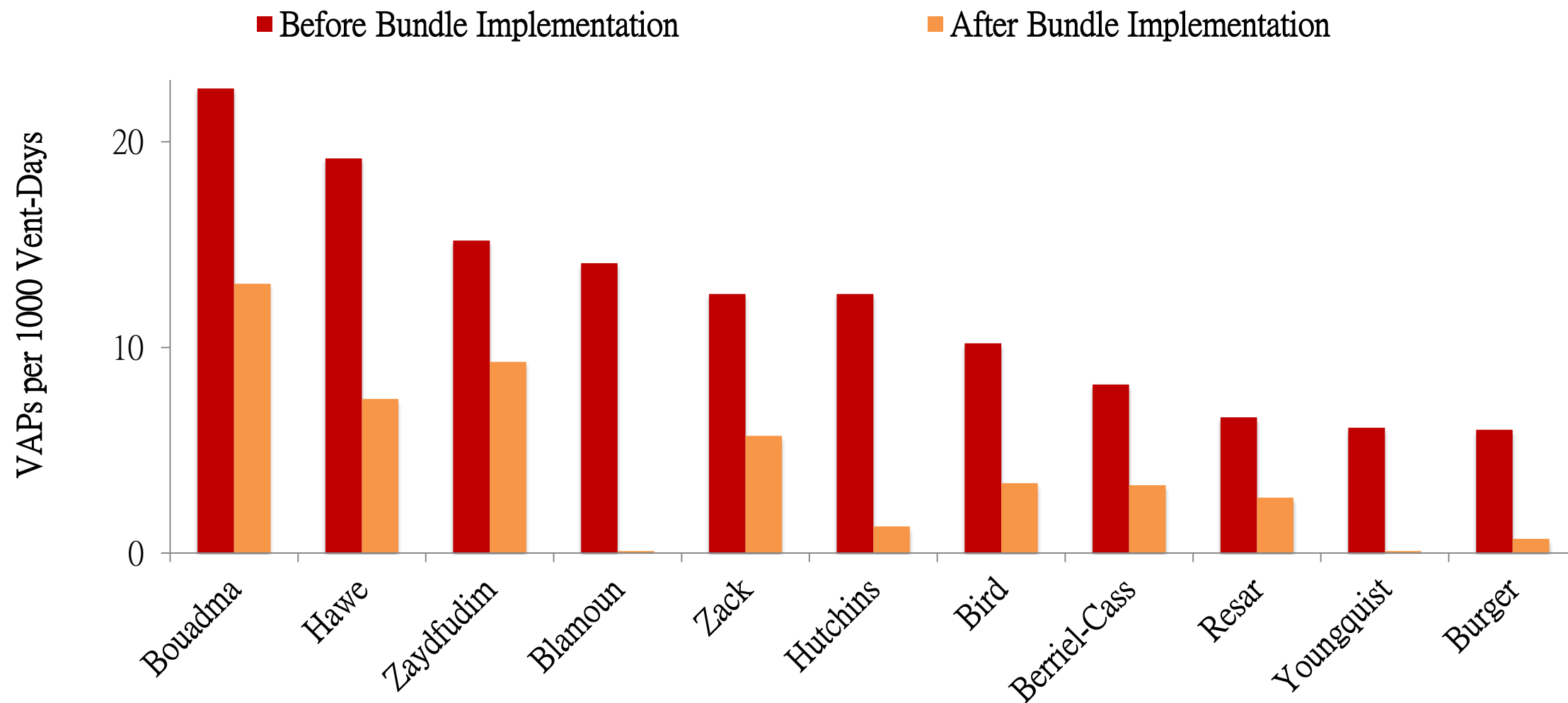
Spontaneous breathing trials

Stress ulcer prophylaxis

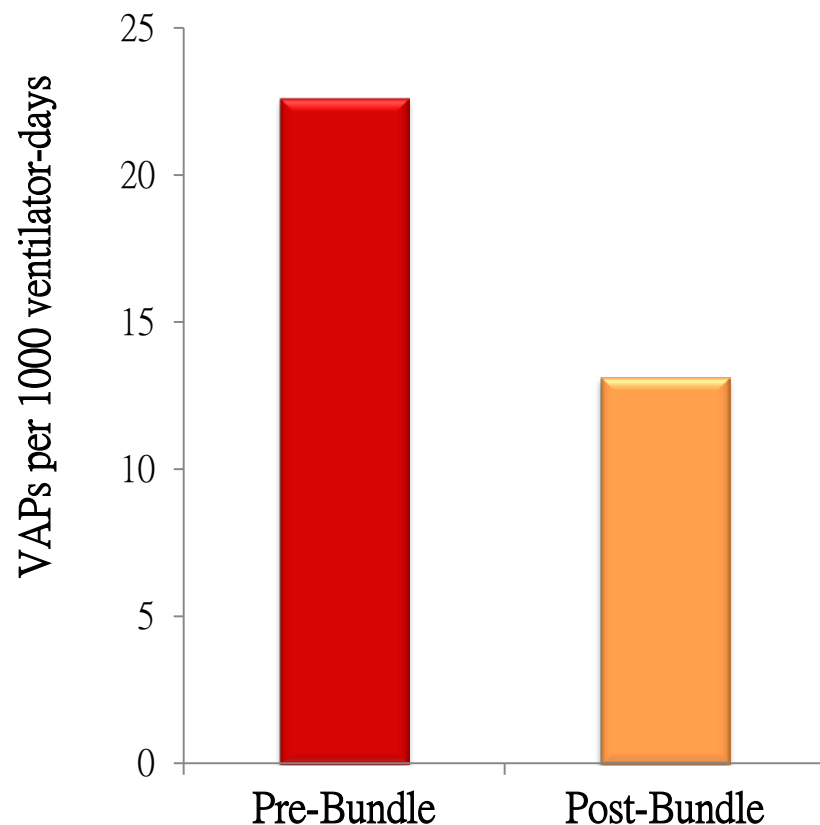
DVT prophylaxis

Oral care with chlorhexidine

Bundles Associated with Lower VAP Rates



How do we interpret a drop in VAP rates?



Better Care?

Stricter Surveillance?

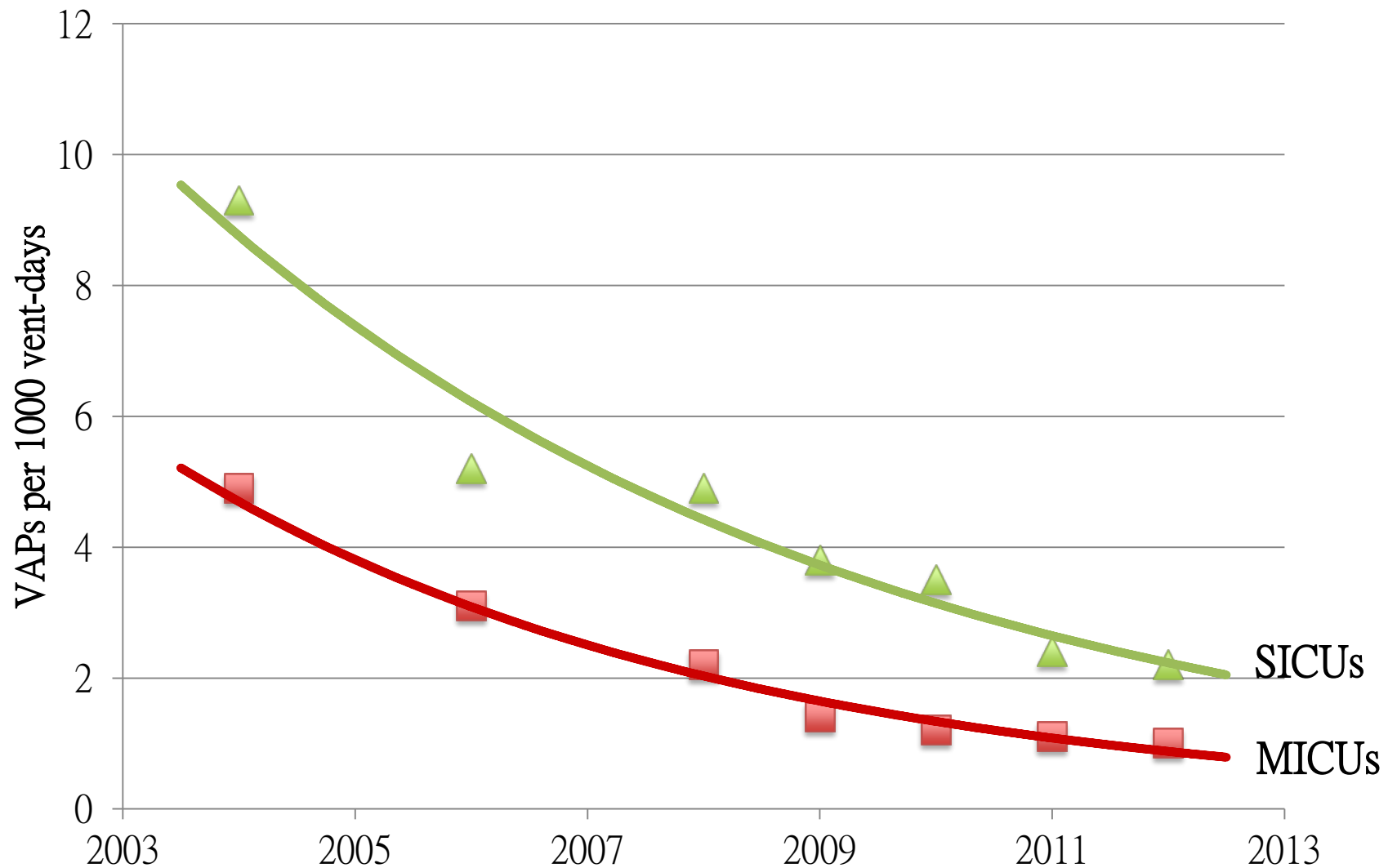
Less colonization vs less VAP?

Change in case mix?

Some combination of the above?

U.S. National VAP Rates

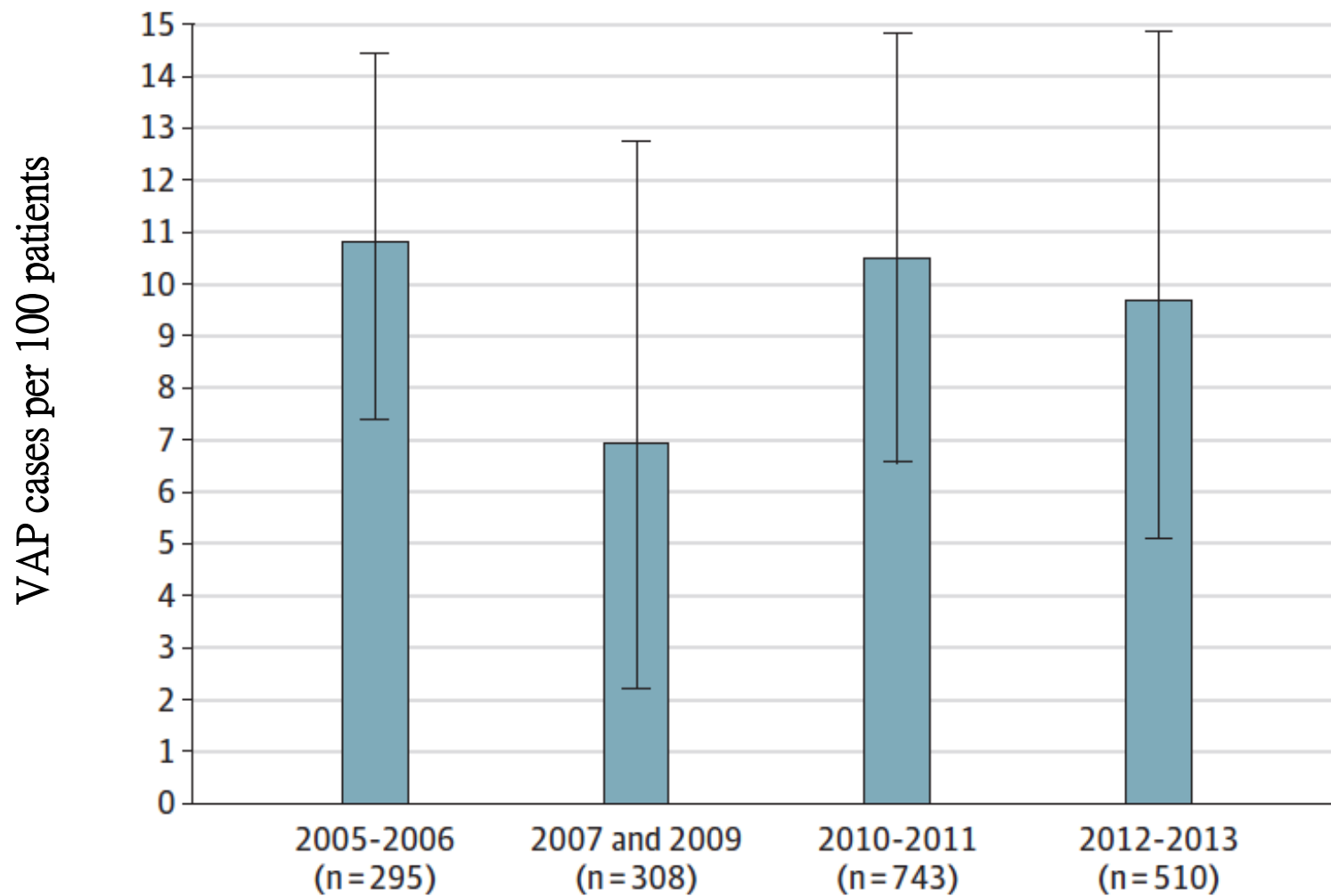
Cases Reported to CDC by Hospitals, 2004-2012



Source: CDC NNIS and NHSN

U.S. National VAP Rates, 2005-2013

Centers for Medicare and Medicaid Services Audits



Strategies to Prevent Ventilator-Associated Pneumonia in Acute Care Hospitals: 2014 Update

INFECTION CONTROL AND HOSPITAL EPIDEMIOLOGY AUGUST 2014, VOL. 35, NO. 8

“Given the uncertainty surrounding the accuracy and reproducibility of VAP diagnoses ... we prioritize VAP interventions that have been shown to improve objective outcomes, such as duration of mechanical ventilation, intensive care or hospital length of stay, mortality, and/or costs in randomized controlled trials.”

Strategies to Prevent Ventilator-Associated Pneumonia in Acute Care Hospitals: 2014 Update

INFECTION CONTROL AND HOSPITAL EPIDEMIOLOGY AUGUST 2014, VOL. 35, NO. 8

Basic Practices

Interventions that improve objective outcomes and confer little risk of harm

Interventions that are outcome neutral but cost saving

Special Practices

Interventions that improve objective outcomes but confer some risk of harm

Interventions that decrease VAP rates but insufficient data available on their impact on objective outcomes

Strategies to Prevent Ventilator-Associated Pneumonia in Acute Care Hospitals: 2014 Update

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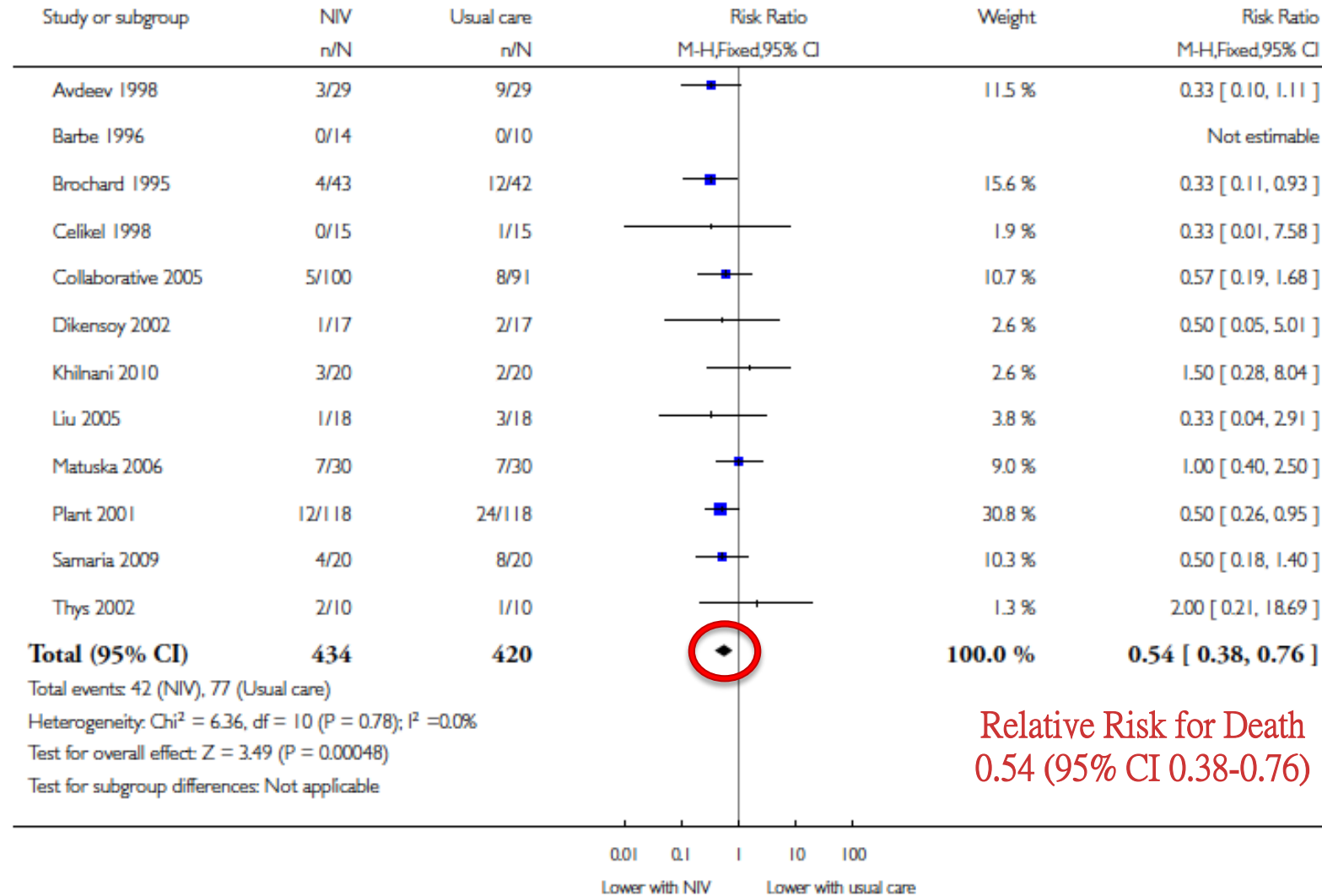
Interventions that decrease VAP rates but
insufficient data available on their impact on
objective outcomes

Basic Practices: Improve Objective Outcomes, Little Risk of Harm

- Use non-invasive positive pressure ventilation in selected populations
 - Manage patients without sedation whenever possible
 - Interrupt sedation daily
 - Assess readiness to extubate daily
 - Perform spontaneous breathing trials with sedatives turned off
 - Facilitate early mobility
-

Non-Invasive Positive Pressure Ventilation

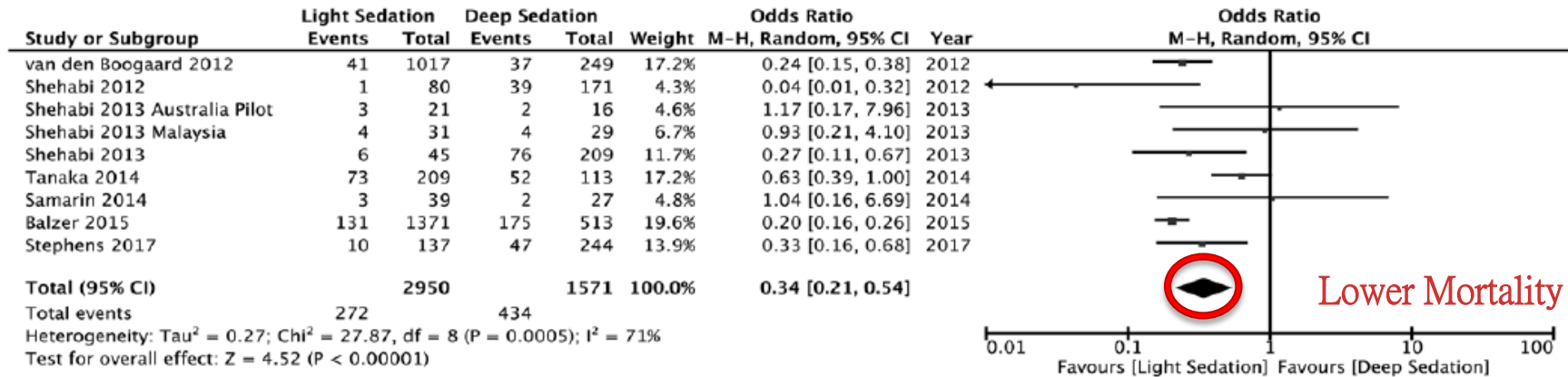
Randomized trials of non-invasive ventilation for acute hypercapnic respiratory failure due to COPD exacerbations



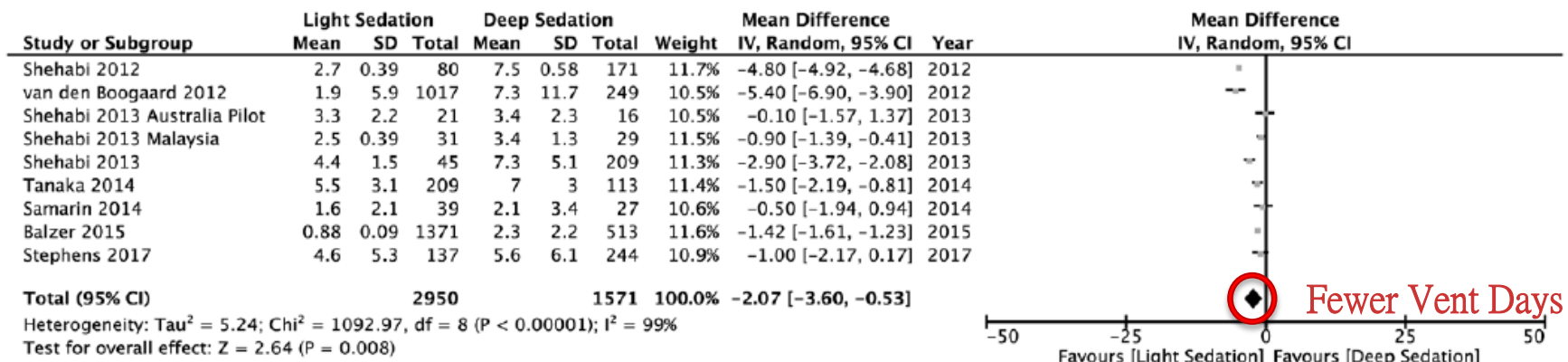
Relative Risk for Death
0.54 (95% CI 0.38-0.76)

Lighter Sedation: Lower Mortality, Fewer Vent Days

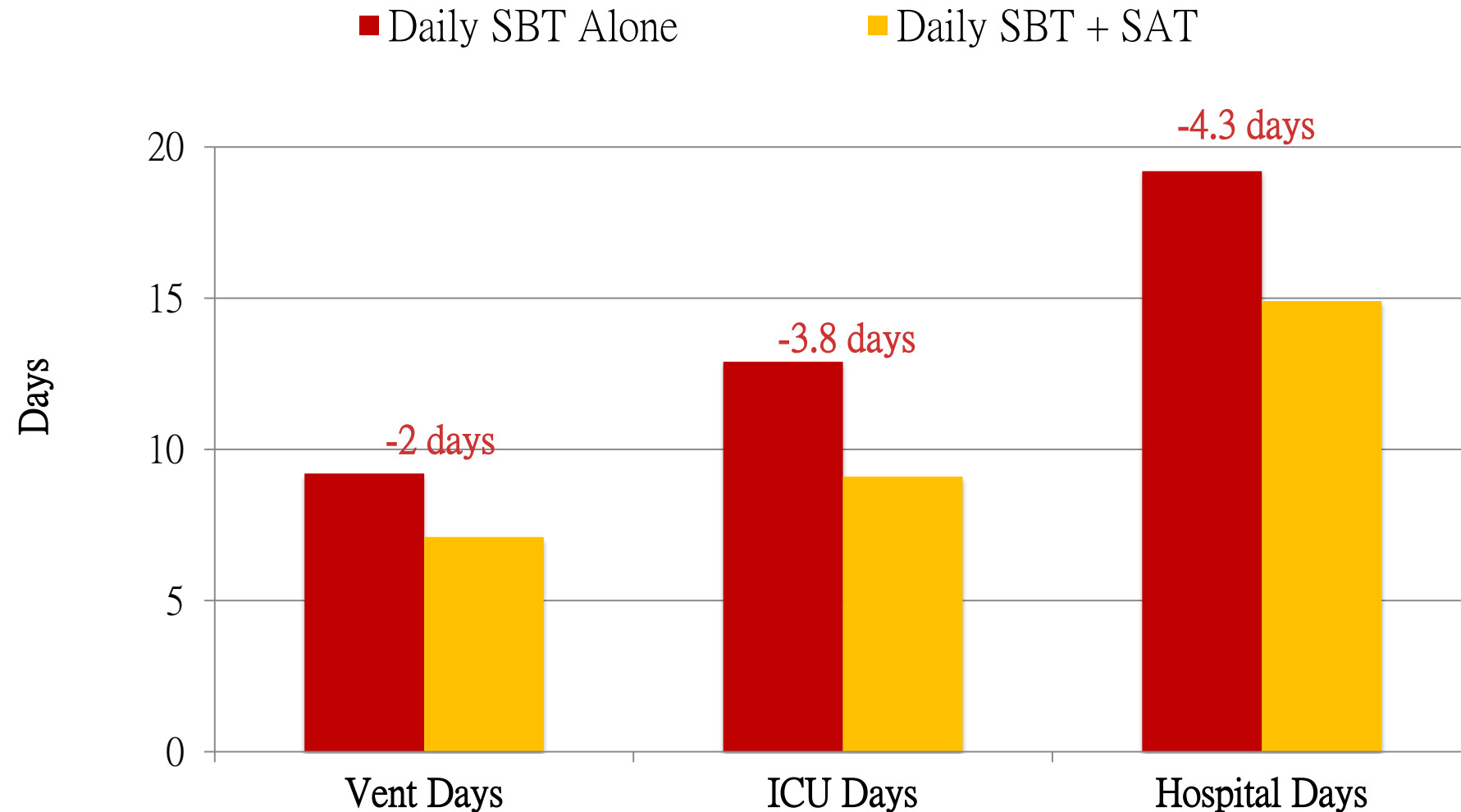
Mortality



Ventilator Days



Paired Sedative Interruptions & Breathing Trials



Early mobility



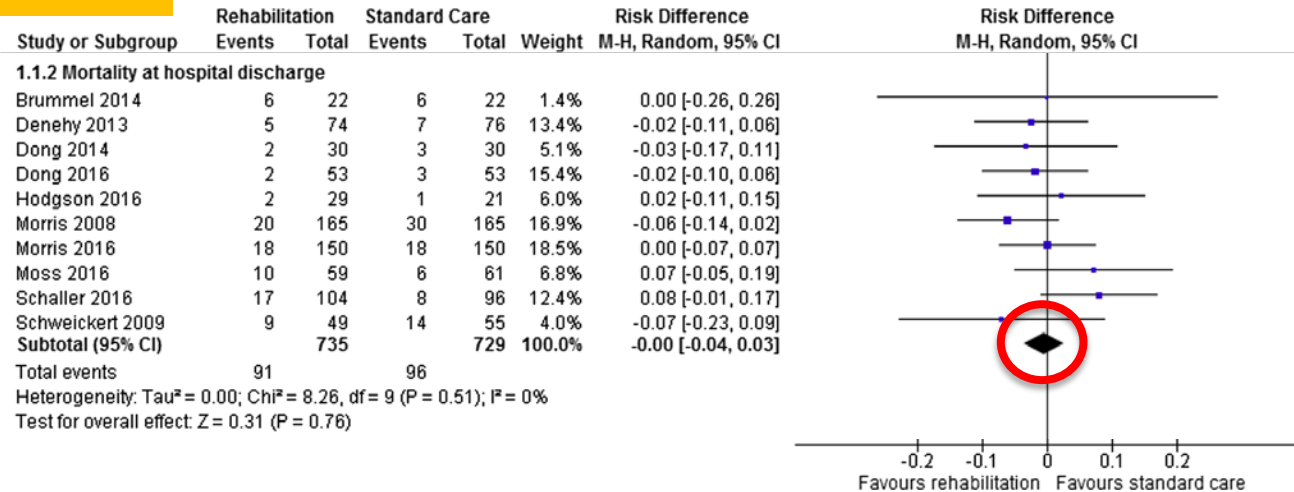
<http://69.36.35.38/images/CHESTPhysician/CritCareCom0610Fig2.jpg>

- May encourage & facilitate less sedation
- May help prevent delirium
 - These benefits may be synergistic with other ventilator bundle components such as spontaneous awakening and breathing trials.
- Data on outcomes are mixed. Some studies show less time to extubation while others do not.

Thorax 2018;73:213-221
JAMA 2016;315:2694-2702
Lancet 2016;388:1377 – 88
Crit Care Med 2013;41:717
Lancet 2009;373:1874
Arch Phys Med Rehabil 2010;91:536

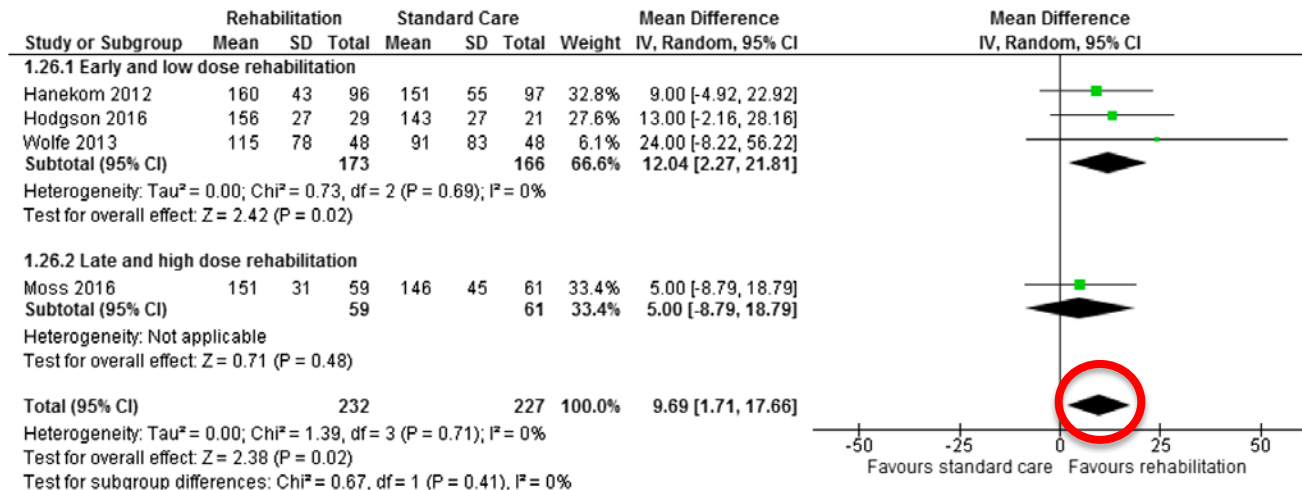
Early Mobility

Hospital Death



No impact on hospital death rates...

Days Alive and Out of Hospital to 180 days

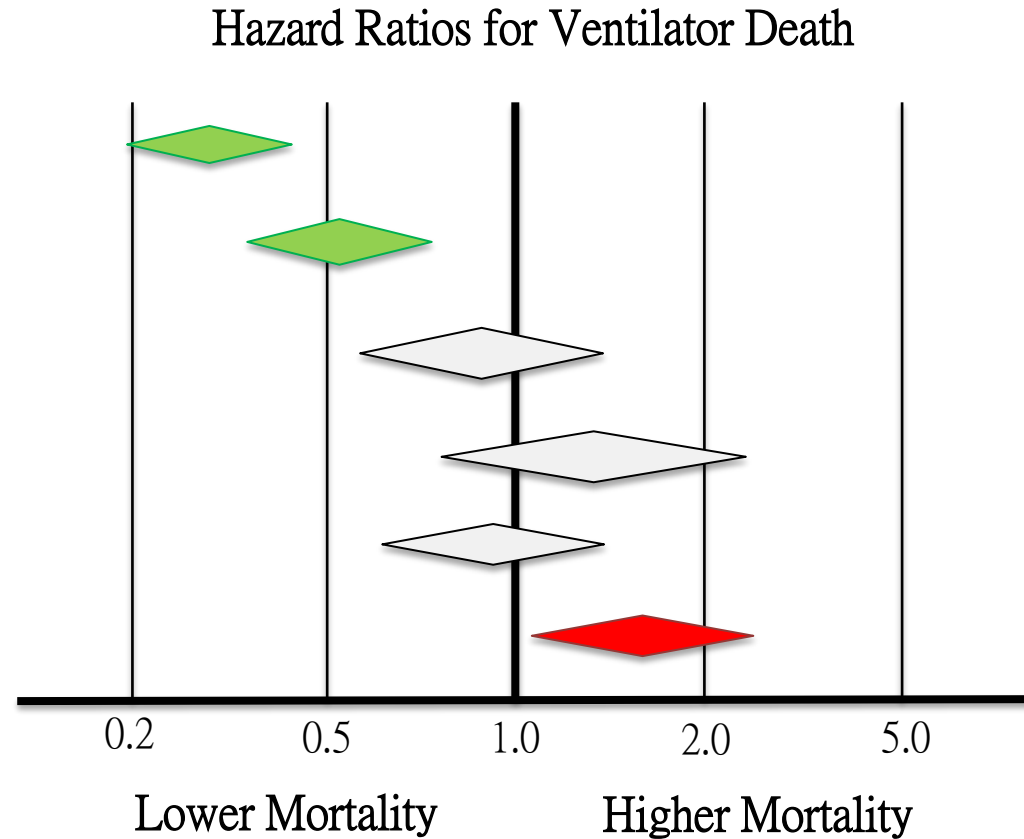


...but more days alive and out of hospital

Ventilator Bundle Compliance and Death

Retrospective analysis of 5,539 patients on mechanical ventilation
adjusted for comorbidities, severity of illness, contraindications, etc.

Spontaneous breathing trials
Spontaneous awakening trials
Head of bed elevation
Thromboprophylaxis
Stress ulcer prophylaxis
Oral care with chlorhexidine

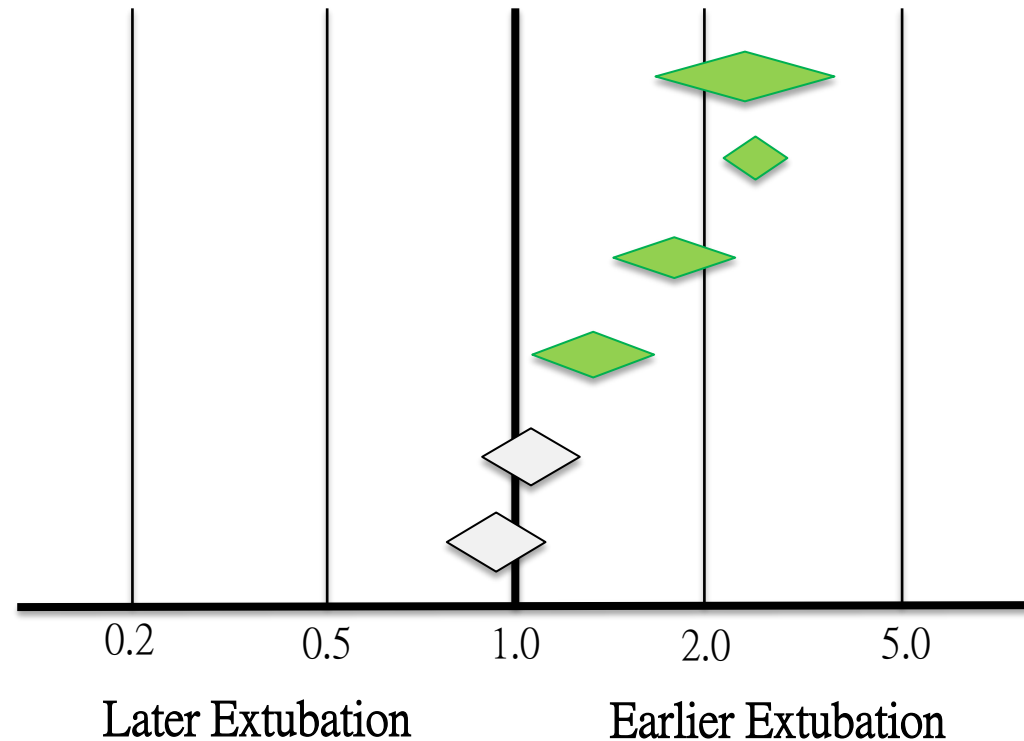


Ventilator Bundle Compliance and Time to Extubation Alive

Retrospective analysis of 5,539 patients on mechanical ventilation
adjusted for comorbidities, severity of illness, contraindications, etc.

Thromboprophylaxis
Spontaneous breathing trials
Spontaneous awakening trials
Head of bed elevation
Stress ulcer prophylaxis
Oral care with chlorhexidine

Hazard Ratios for Extubation Alive



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cost saving

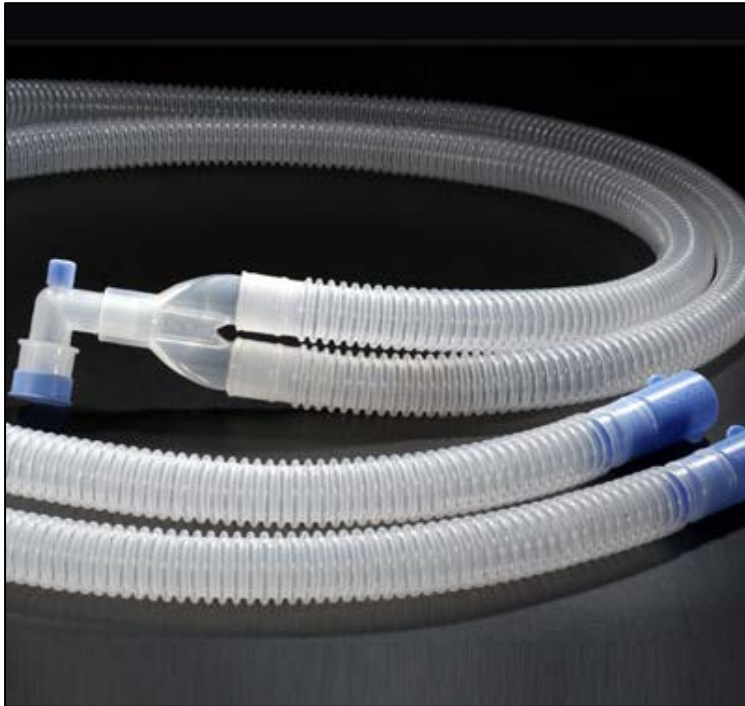
Special Practices

Interventions that improve objective
outcomes but confer some risk of harm

Interventions that decrease VAP rates but
insufficient data available on their impact on
objective outcomes

Basic Practices: Outcome Neutral but Cost Saving

Change ventilator circuits only when visibly soiled or malfunctioning



Multiple RCTs

No impact on VAP rates

No impact on duration of mechanical ventilation,
ICU length of stay, or mortality

BUT ... cost saving!

Am Rev Respir Dis 1991;143:738

Ann Intern Med 1995;123:168

Infect Control Hosp Epidemiol 1996;17:14

Infect Control Hosp Epidemiol 2004;25:1077

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outcomes but confer some risk of harm

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objective outcomes

Special Practice: Better Outcomes but Possible Risks

Selective oral & digestive decontamination



www.ukenglish.org.uk/idiom-of-the-week-elephant-in-the-room

Selective Oral & Digestive Decontamination

Selective Oral Decontamination

Oropharyngeal antibiotic paste applied 4x/day

- Tobramycin
- Colistin
- Amphotericin B

Designed to target *Staph aureus*, aerobic gram negatives, and yeast

Selective Digestive Decontamination

Oropharyngeal paste applied 4x/day

- Same antibiotics as SOD

Plus...

Antibiotic suspension via NG tube

- Same antibiotics as SOD

Plus...

4-day course of intravenous cefotaxime

Selective Oral & Digestive Decontamination

Individual patient level meta-analysis of randomized trials, N=16,528 patients

Digestive Decontamination vs Control

Krueger et al. 2002

aOR (95%-CI)

0.83 (0.57 - 1.20)

de Jonge et al. 2003

0.70 (0.53 - 0.94)

de Smet et al. 2009

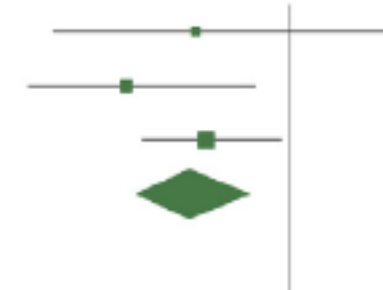
0.85 (0.73 - 0.98)

pooled OR (fixed)

0.82 (0.72 - 0.93)

I-statistic (p-value Cochran Q-test)

1.0% (0.52)



Mortality Odds Ratio
0.82 (95% CI 0.72-0.93)

N=5,304

Oral Decontamination vs Control

Bergmans et al. 2001

aOR (95%-CI)

0.82 (0.46 - 1.44)

de Smet et al. 2009

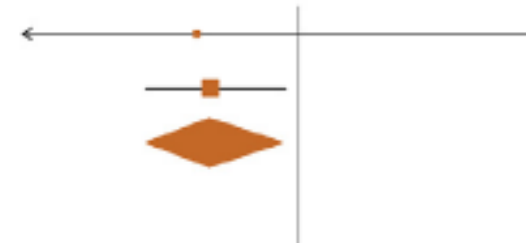
0.84 (0.72 - 0.98)

pooled OR (fixed)

0.84 (0.73 - 0.97)

I-statistic (p-value Cochran Q-test)

1.0% (0.92)



Mortality Odds Ratio
0.84 (95% CI 0.73-0.97)

N=3,921

Digestive vs Oral Decontamination

de Smet et al. 2009

aOR (95%-CI)

1.01 (0.87 - 1.17)

Oostdijk et al. 2014

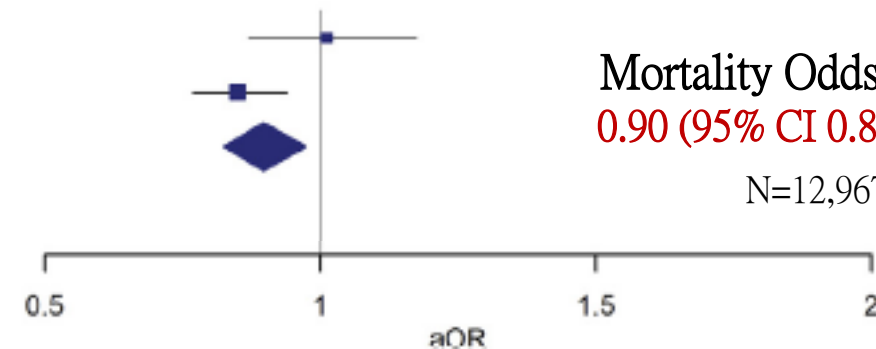
0.85 (0.77 - 0.94)

pooled OR (fixed)

0.90 (0.82 - 0.97)

I-statistic (p-value Cochran Q-test)

72.4% (0.06)



Mortality Odds Ratio
0.90 (95% CI 0.82-0.97)

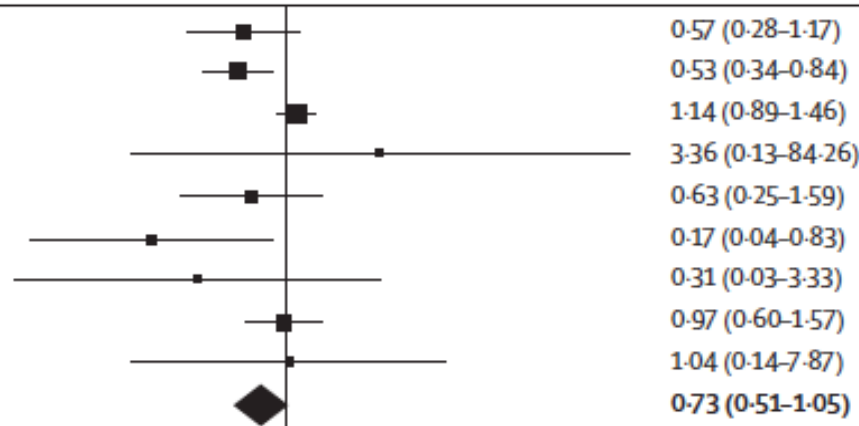
N=12,967

Impact on Antibiotic Resistance

Meta-analysis of 9 trials of digestive decontamination that included data on resistance rates

Aminoglycoside resistance: OR 0.73 (0.51-1.05)

Camus et al ⁷³ (2005)	14	130	22	126
De Jonge et al ⁶⁹ (2003)	33	378	60	395
De Smet et al ⁸² (2011)	227	1714	104	881
Flaherty et al ³¹ (1990)	1	51	0	56
Krueger et al ⁶⁵ (2002)	8	175	12	171
Rocha et al ⁴² (1992)	2	47	11	54
Unertl et al ²⁶ (1987)	1	19	3	20
Verwaest et al ⁵⁷ (1997)	60	393	29	185
Wiener et al ⁵³ (1995)	2	30	2	31
Total (95% CI)	348	2937	243	1919



Odds Ratio
0.73 (0.51-1.05)

Fluoroquinolone resistance: OR 0.52 (0.16-1.68)

De Jonge et al ⁶⁹ (2003)	10	378	44	395
Krueger et al ⁶⁵ (2002)	3	175	5	171
Verwaest et al ⁵⁷ (1997)	63	393	28	185
Total (95% CI)	76	946	77	751



Odds Ratio
0.52 (0.16-1.68)

Cephalosporin resistance: OR 0.33 (0.20-0.52)

De Jonge et al ⁶⁹ (2003)	9	378	21	395
De Smet et al ⁸² (2011)	76	1714	130	881
Rocha et al ⁴² (1992)	3	47	16	54
Verwaest et al ⁵⁷ (1997)	8	393	5	185
Total (95% CI)	96	2532	172	1515



Odds Ratio
0.33 (0.20-0.52)

How can it be that giving antibiotics to everyone leads to less resistance???

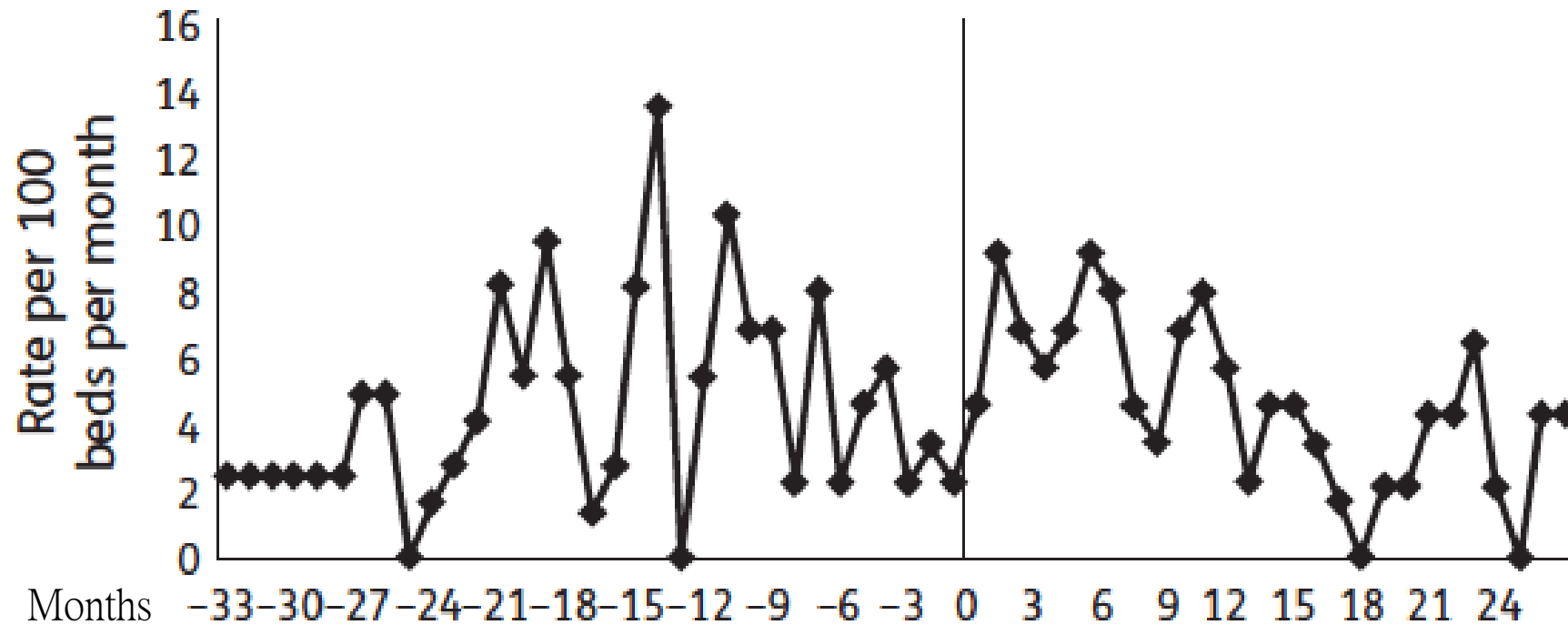
Fewer infections overall in the digestive decontamination group

Fewer infections = fewer resistant infections

Fewer infections leads to less overall antibiotic usage

Is the effect sustained?

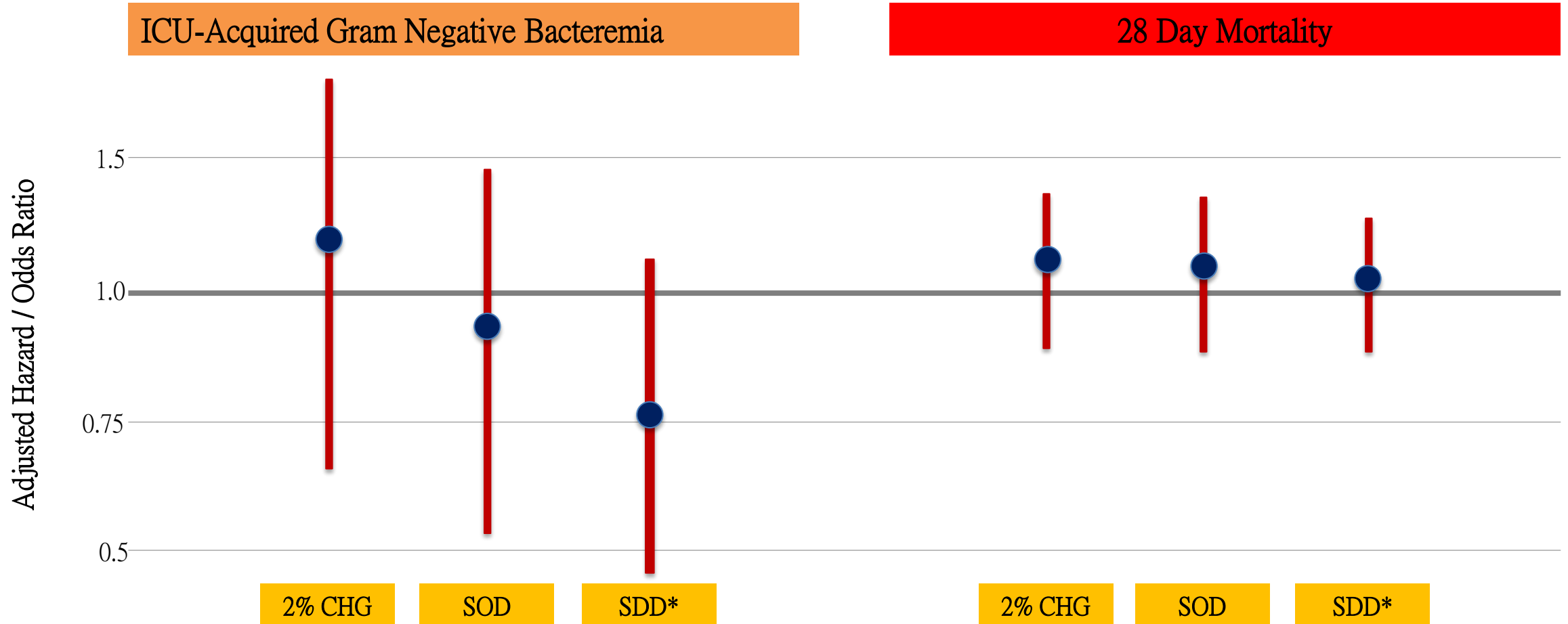
Cefotaxime resistance rates in respiratory isolates amongst 8 Dutch ICUs for 24 months following introduction of selective digestive decontamination versus the preceding 33 months



No apparent sustained change in resistance rates over time

Does SDD generalize to high resistance settings?

Cluster randomized trial of usual care vs 2% CHG oral care vs selective oral decontamination vs selective digestive decontamination in 13 ICUs with high baseline rates of antibiotic utilization and resistant organisms*



*SDD included oral antibiotic paste and gastric suspension but no intravenous antibiotics

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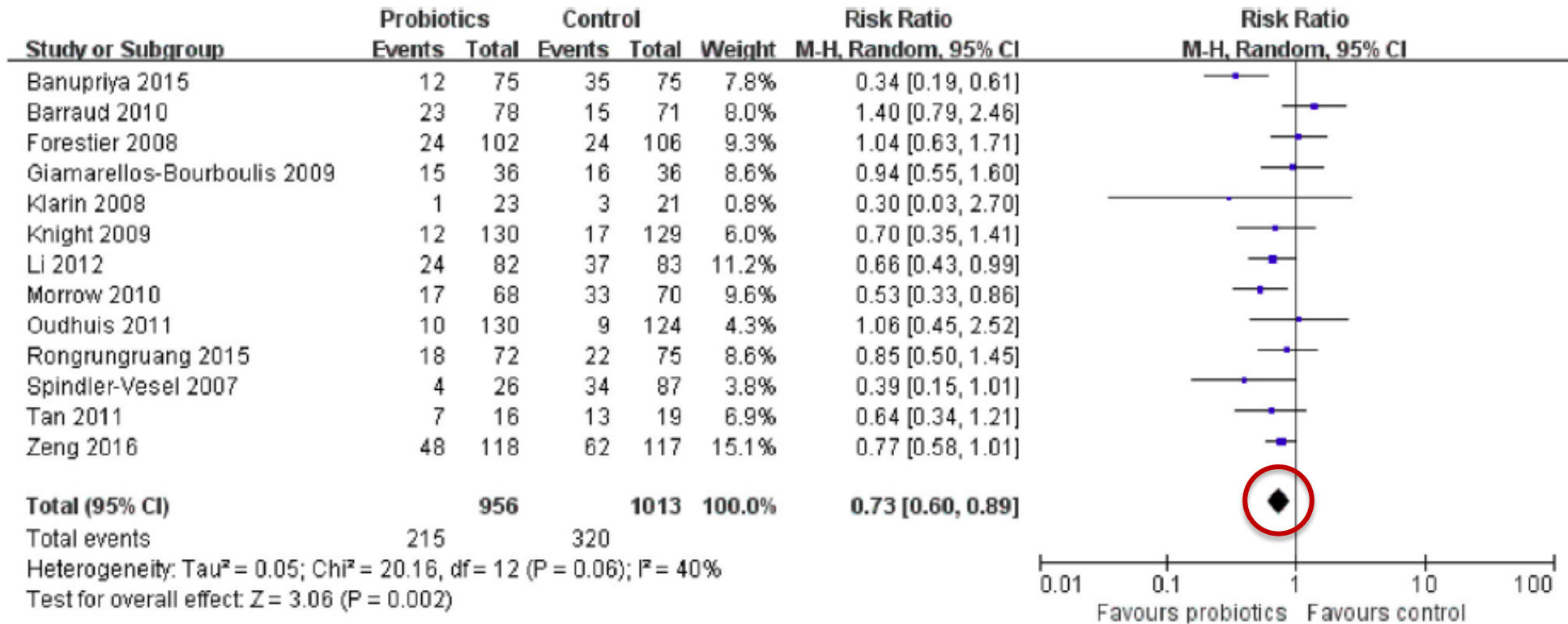
Interventions that decrease VAP rates but
insufficient data available on their impact on
objective outcomes

Special Practices: Lower VAP Rates, Insufficient Data on Other Outcomes

- Probiotics
- Automated control of endotracheal tube cuff pressures
- Saline instillation before tracheal suctioning
- Mechanical toothbrushing

Probiotics

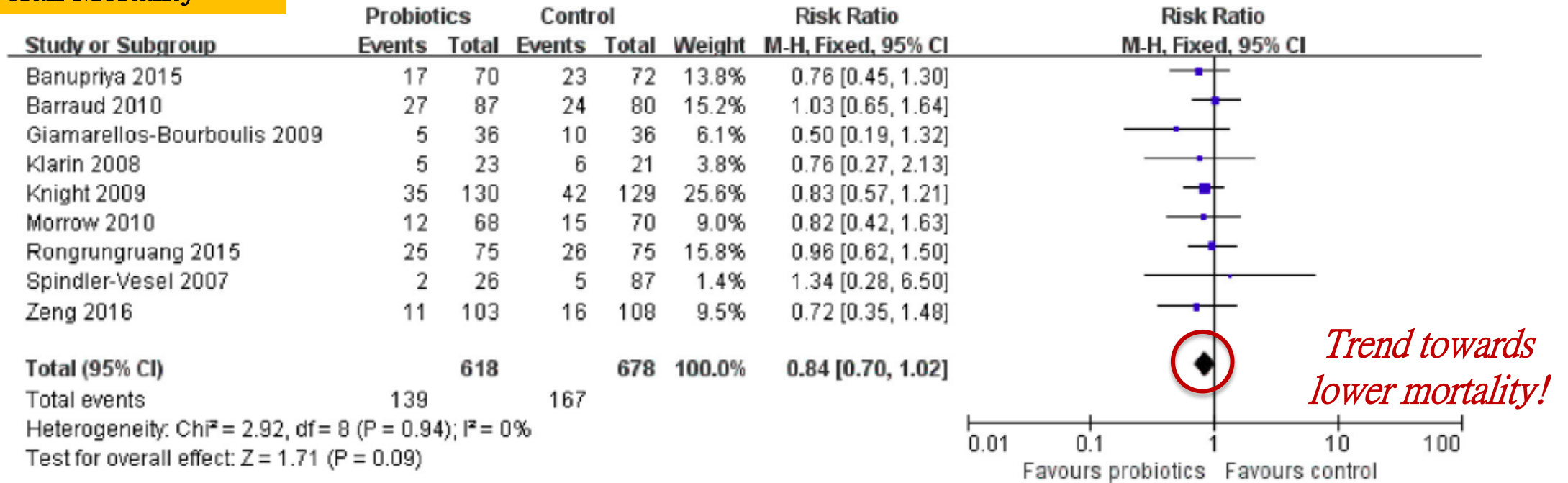
Ventilator-associated pneumonia



Risk Ratio for VAP
0.73 (95% 0.60-0.89)

Probiotics

Overall Mortality



Parallel non-significant trends towards fewer ventilator days and shorter ICU length-of-stay

Generally Not Recommended

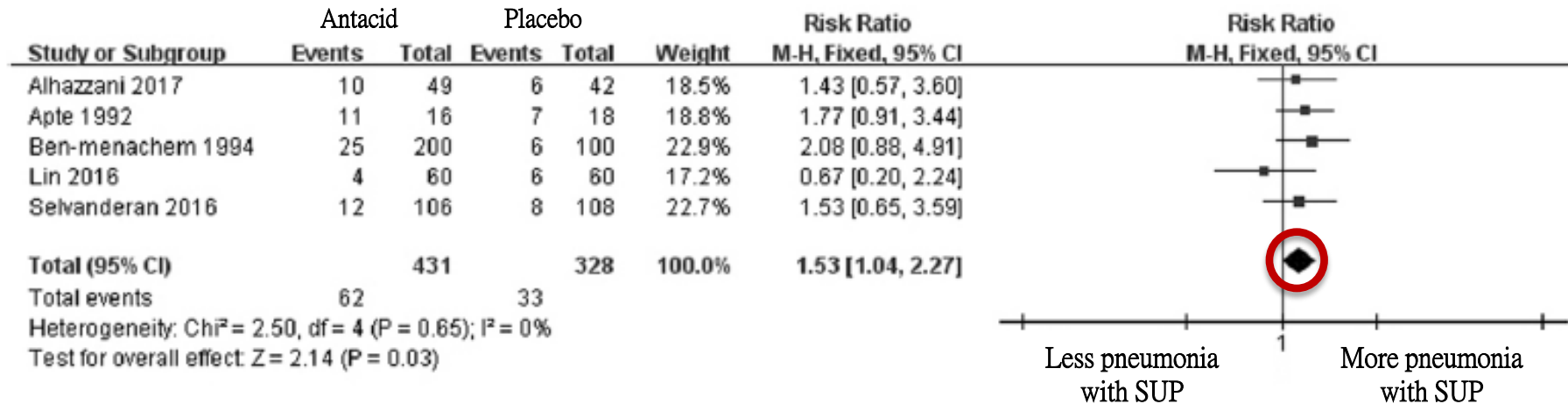
No impact on VAP and/or objective outcomes

- Oral chlorhexidine
 - Stress ulcer prophylaxis
 - Tapered endotracheal tubes
 - Subglottic secretion drainage
 - Silver-coated endotracheal tubes
 - Monitoring residual gastric volumes
 - Early parenteral nutrition
 - Kinetic beds
 - Prone positioning
-

Stress Ulcer Prophylaxis

Randomized controlled trials of ulcer prophylaxis vs placebo in patients getting enteral nutrition

Ventilator-associated pneumonia



OR 1.53 (95% CI 1.04-2.27)

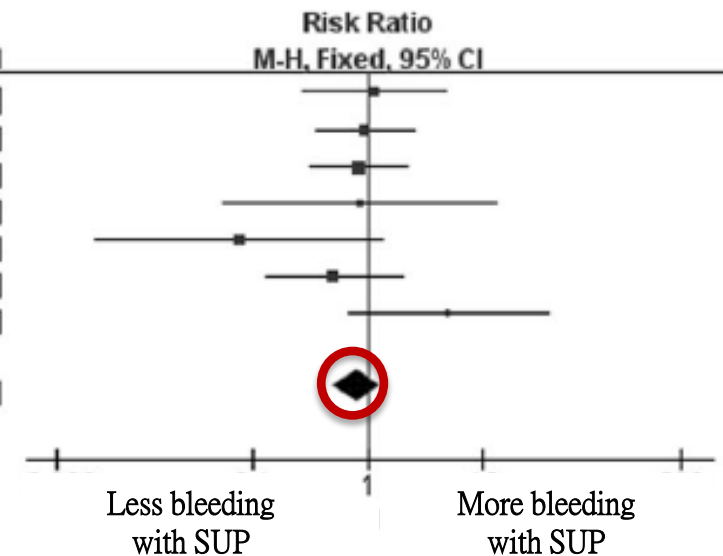
Higher risk for VAP!

Stress Ulcer Prophylaxis

Randomized trials of stress ulcer prophylaxis vs placebo in patients getting enteral nutrition

Gastrointestinal Bleeding

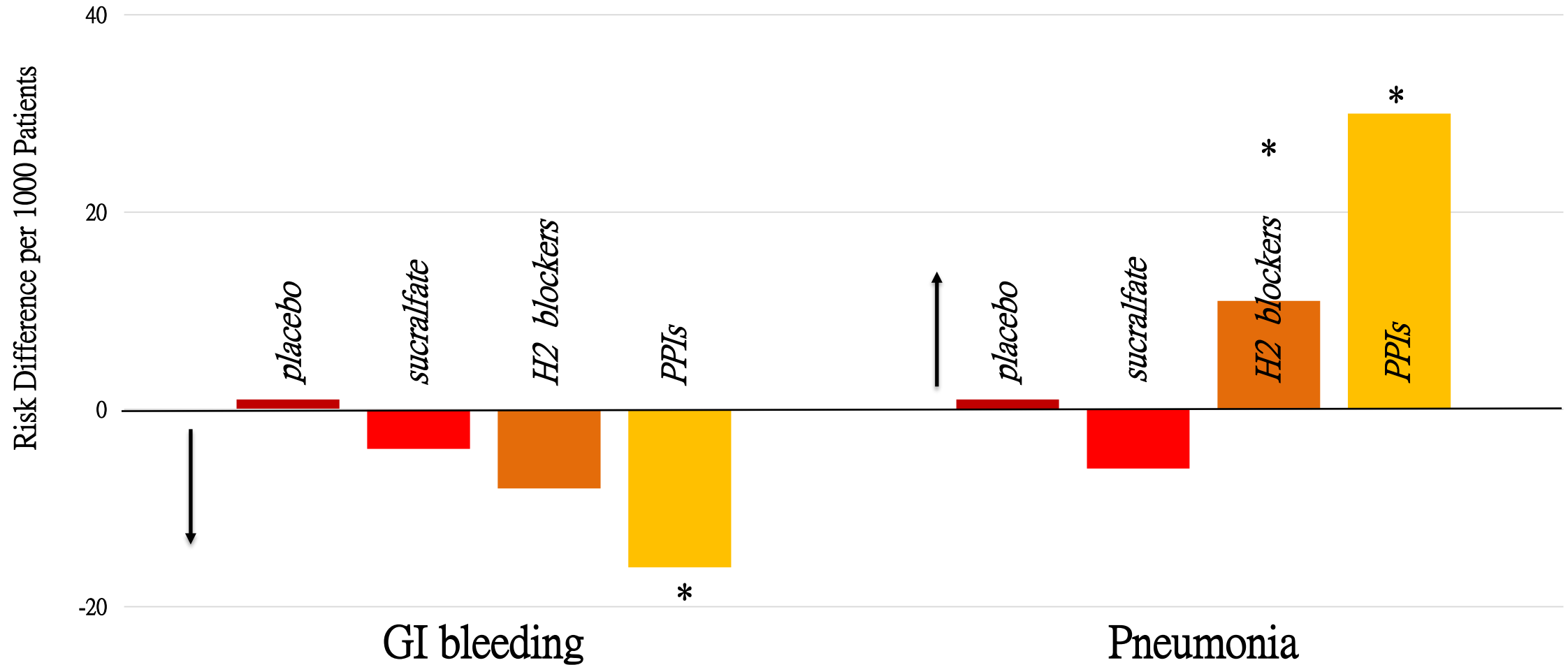
Study or Subgroup	Antacid		Placebo		Weight	Risk Ratio M-H, Fixed, 95% CI
	Events	Total	Events	Total		
Alhazzani 2017	4	49	3	42	10.3%	1.14 [0.27, 4.82]
Apte 1992	5	16	6	18	18.0%	0.94 [0.35, 2.49]
Ben-menachem 1994	10	200	6	100	25.5%	0.83 [0.31, 2.23]
El-Kersh 2017	1	55	1	47	3.4%	0.85 [0.05, 13.29]
Lin 2016	0	60	6	60	20.7%	0.08 [0.00, 1.34]
Selvanderan 2016	3	106	6	108	18.9%	0.51 [0.13, 1.98]
Van den Berg 1985	5	14	1	14	3.2%	5.00 [0.67, 37.51]
Total (95% CI)		500		389	100.0%	0.80 [0.49, 1.31]
Total events	28		29			
Heterogeneity: $\text{Chi}^2 = 6.53$, $\text{df} = 6$ ($P = 0.37$); $I^2 = 8\%$						
Test for overall effect: $Z = 0.89$ ($P = 0.37$)						



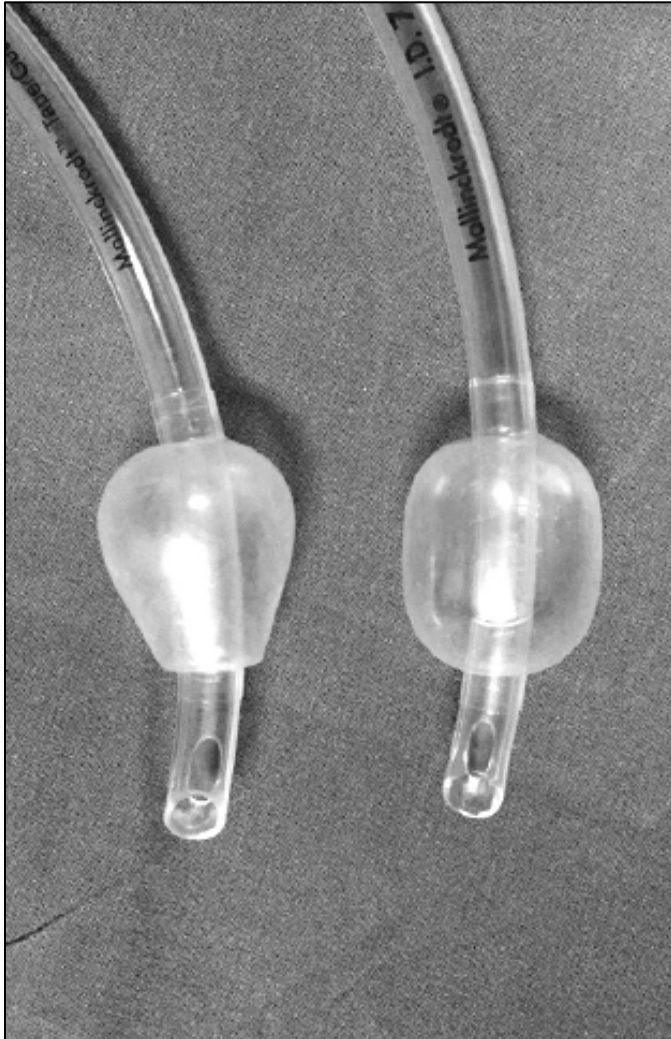
No clear decrease in bleeding!

Stress Ulcer Prophylaxis

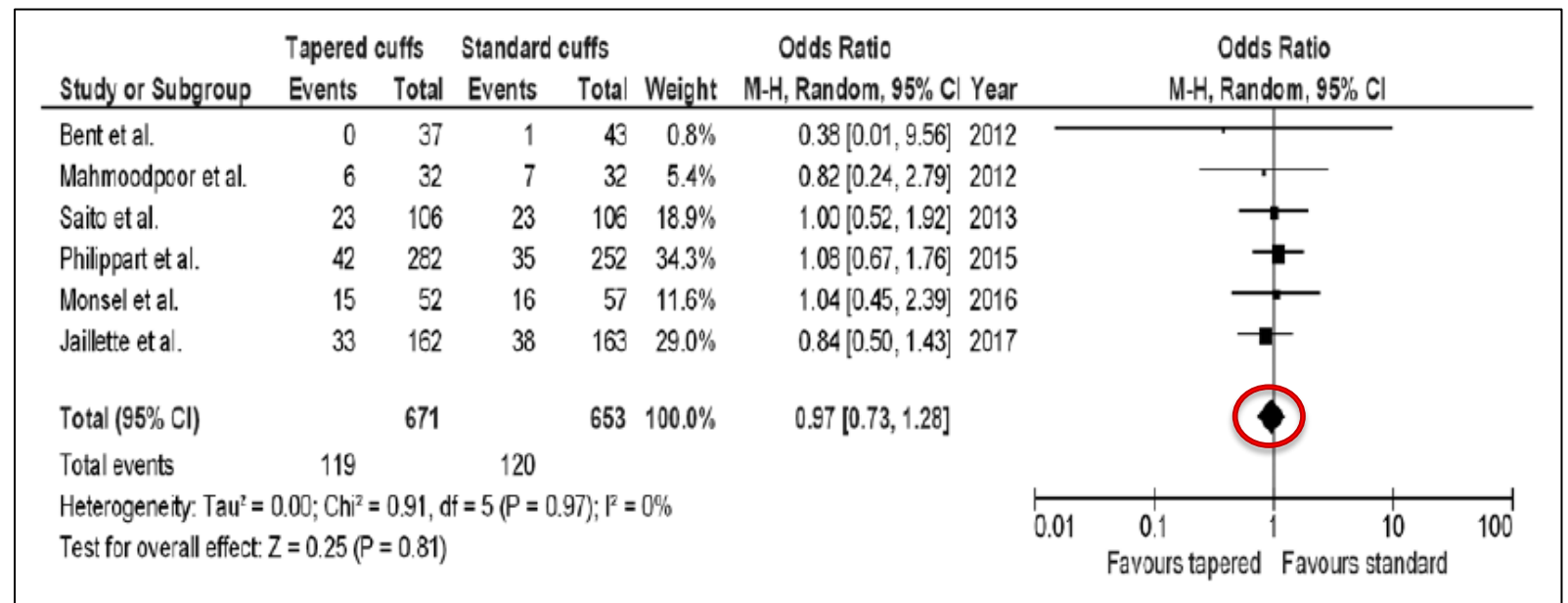
Network meta-analysis of 57 randomized controlled trials, N=7,293



Tapered vs Conical Endotracheal Tube Cuffs



Animal studies suggest that a tapered cuff may better protect against seepage of secretions around the endotracheal tube cuff

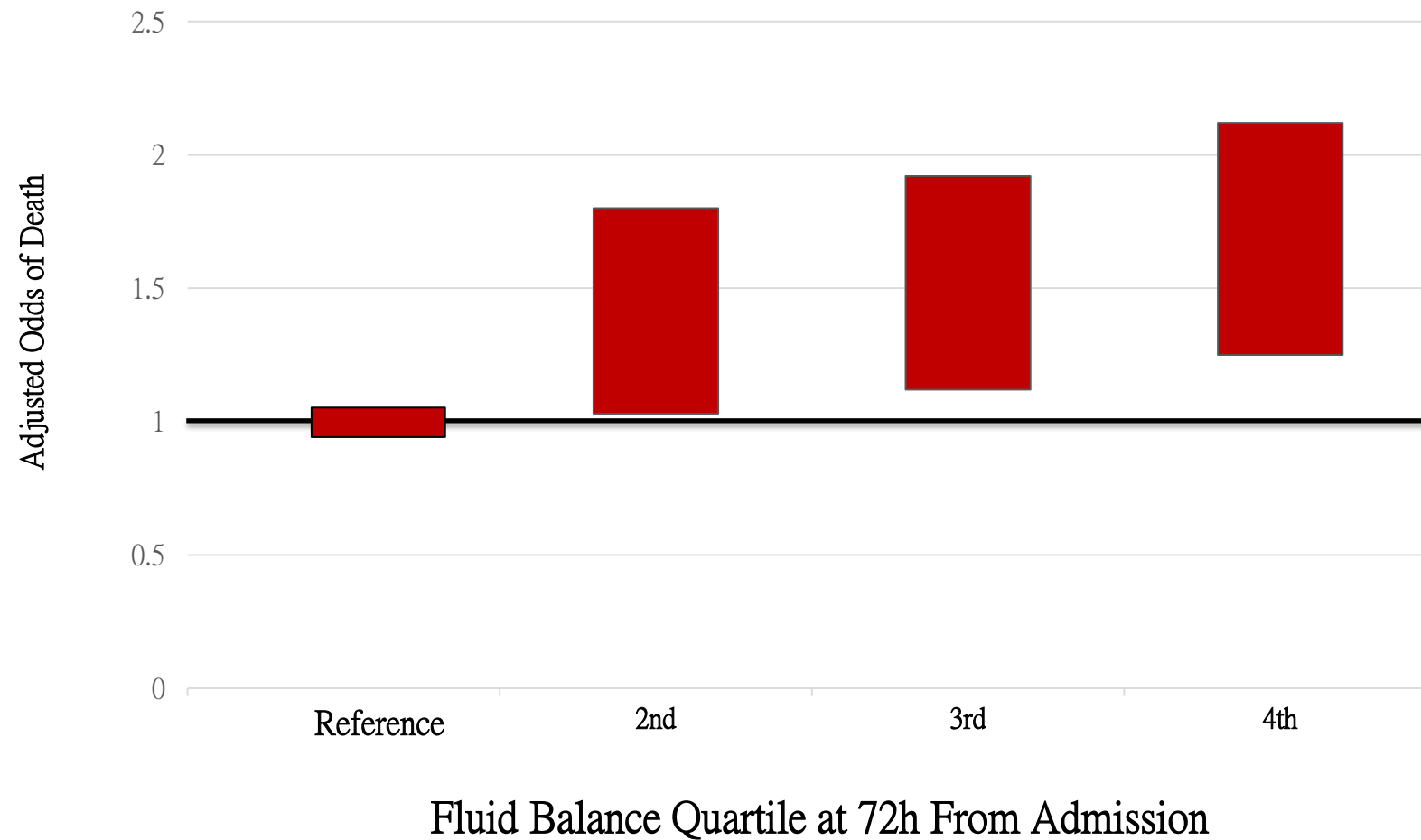


No Impact on VAP Rates !

What's missing?

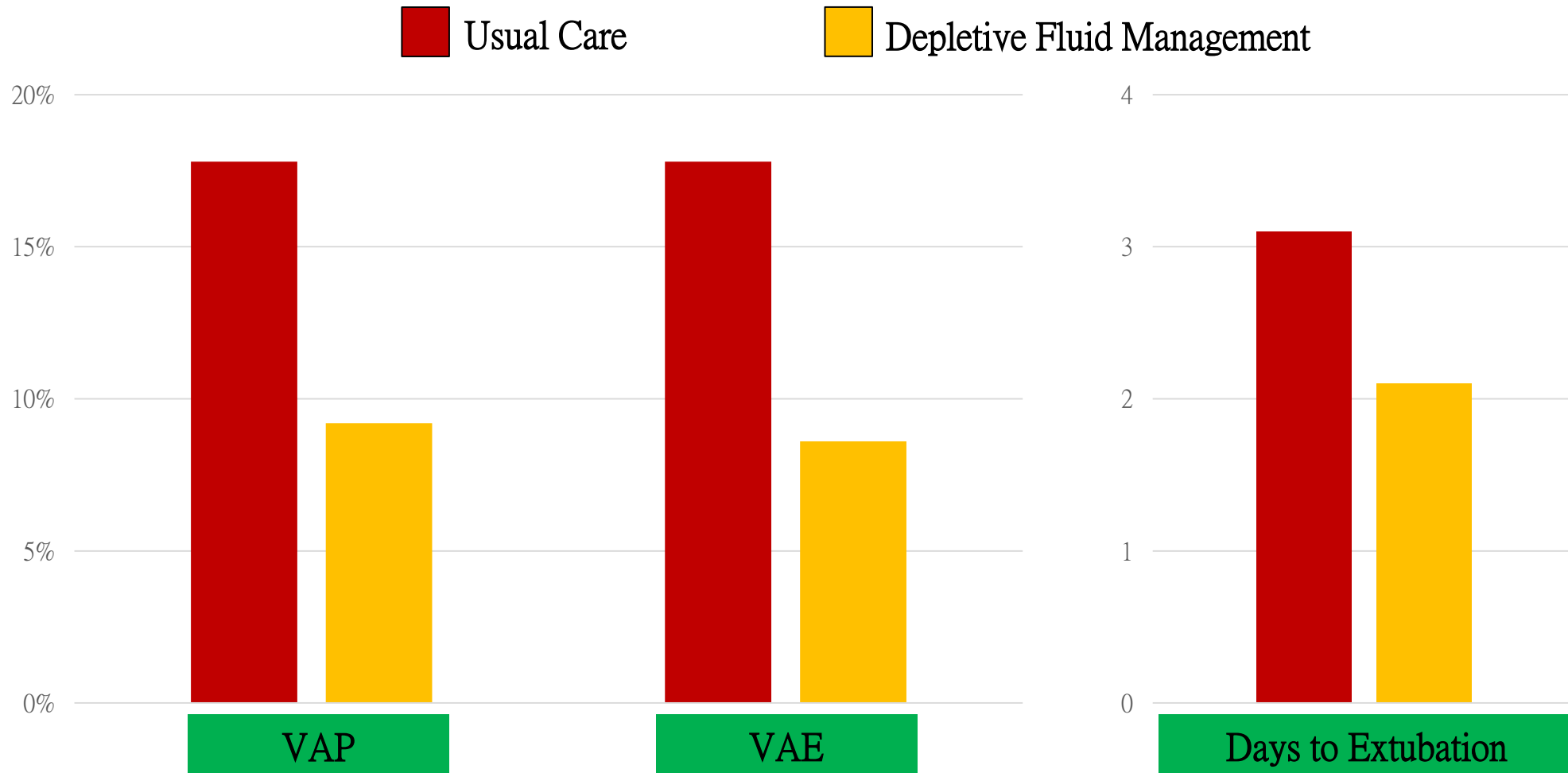
Cumulative Fluid Balance and Risk of Death

International survey of 1,808 patients with sepsis admitted to an intensive care unit



Depletive Fluid Management and VAP

*Randomized controlled trial of depletive fluid management during ventilator weaning
(smaller volume infusions, more diuresis), N=304*



Summary

- Many interventions proposed to prevent VAP
 - Many reported to lower VAP rates but few have been associated with improvements in objective outcomes.
 - Possible reasons for the VAP Prevention Paradox
 - VAP diagnosis is subjective (observer bias may favor lower VAP rates)
 - VAP signs are non-specific (interventions may decrease colonization more than infection)
 - Lack of power
 - Implication: need to look at objective outcomes for corollary evidence of benefit when evaluating prevention studies
-

My Recommendations

- Avoid intubation if possible (use non-invasive strategies)
 - Elevate the head of the bed
 - Provide oral care without chlorhexidine
 - Minimize sedation
 - Paired daily spontaneous awakening and breathing trials
 - Early mobility
 - Thromboprophylaxis
 - Conservative fluid management
 - +/- Selective digestive decontamination
-

Thank You!

mklompas@bwh.harvard.edu
